

Status of the Electroweak Baryogenesis

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our goal

Baryon Asymmetry of the Universe

$$\frac{n_B}{s} \equiv \frac{n_b - n_{\bar{b}}}{s} \simeq (0.37 - 0.88) \times 10^{-10}$$

key words

- sphaleron — leptogenesis: $L \longrightarrow B$
- electroweak phase transition (EWPT) — related to Higgs physics

CP violation, ...

content

- Introduction
 - Saharov's conditions
 - Baryogenesis in GUTs and the others
- Sphaleron Process
- Electroweak Baryogenesis
 - EW Phase Transition vs Higgs mass
- Summary

- (1) baryon number violation
- (2) C and CP violation
- (3) departure from equilibrium

\therefore (2) If C or CP is conserved, no B is generated: $\Leftarrow B$ is odd under C and CP .

indeed . . .

ρ_0 : baryon-symmetric initial state of the universe *s.t.*

$$\langle n_B \rangle_0 = \text{Tr}[\rho_0 n_B] = 0$$

time evolution of $\rho \iff$ Liouville eq.: $i\hbar \frac{\partial \rho}{\partial t} + [\rho, H] = 0$

If H is C - or CP -invariant, $[\rho, C] = 0$ or $[\rho, CP] = 0$ [spont. CP viol. $\Rightarrow [\rho, CP] \neq 0$]

Since $CB C^{-1} = -B$ and $CP B (CP)^{-1} = -B$ [i.e., B is vectorlike, odd under C .]

$$\langle n_B \rangle = \text{Tr}[\rho n_B] = \text{Tr}[\rho C n_B C^{-1}] = -\text{Tr}[\rho n_B]$$

or

$$\langle n_B \rangle = \text{Tr}[\rho CP n_B (CP)^{-1}] = -\text{Tr}[\rho n_B]$$

\therefore Both C and CP must be violated to have $\langle n_B \rangle \neq 0$, starting from $\langle n_B \rangle_0 = 0$.

possibilities ?

- B violation $\left\{ \begin{array}{ll} \text{explicit violation} & \text{GUTs} \\ \text{spontaneous viol.} & \langle \text{squark} \rangle \neq 0 \\ \text{chiral anomaly} & \text{sphaleron process} \end{array} \right.$

It must be **suppressed at present** for **protons not to decay**.

- C violation \Leftarrow chiral gauge interactions (EW, GUTs)

- CP violation $\left\{ \begin{array}{l} \text{KM phase in the MSM} \\ \text{beyond the SM — SUSY, extended Higgs sector} \end{array} \right.$

- out of equilibrium $\left\{ \begin{array}{ll} \text{expansion of the universe} & \Gamma_{\Delta B \neq 0} \simeq H(T) \\ \text{first-order phase transition} & \\ \text{reheating (or preheating) after inflation} & \end{array} \right.$

$SU(5)$ model:

$$\text{matter: } \begin{cases} \mathbf{5}^* : \psi_L^i & \ni d_R^c, l_L \\ \mathbf{10} : \chi_{[ij]L} & \ni q_L, u_R^c, e_R^c \\ i = 1 - 5 & \rightarrow (\alpha = 1 - 3, a = 1, 2) \end{cases} \quad \text{gauge: } A_\mu = \begin{pmatrix} G_\mu, B_\mu & X_\mu^{a\alpha} \\ X_\mu^{a\alpha} & W_\mu, B_\mu \end{pmatrix}$$

$$\begin{aligned} \mathcal{L}_{\text{int}} &\ni g \bar{\psi} \gamma^\mu A_\mu \psi + g \text{Tr} [\bar{\chi} \gamma^\mu \{A_\mu, \chi\}] \\ &\ni g X_{\alpha\mu}^a [\varepsilon^{\alpha\beta\gamma} \bar{u}_{R\gamma}^c \gamma^\mu q_{L\beta a} + \epsilon_{ab} (\bar{q}_{Lb}^\alpha \gamma^\mu e_R^c + \bar{l}_{Lb} \gamma^\mu d_R^{c\alpha})] \end{aligned}$$

in the decay of X - \bar{X} pairs

$$\langle \Delta B \rangle = \frac{2}{3}r - \frac{1}{3}(1-r) - \frac{2}{3}\bar{r} + \frac{1}{3}(1-\bar{r}) = r - \bar{r}$$

$\therefore C$ or CP is conserved ($r = \bar{r}$)
 $\implies \Delta B = 0$

process	br. ratio	ΔB
$X \longrightarrow qq$	r	$2/3$
$X \longrightarrow \bar{q}\bar{l}$	$1-r$	$-1/3$
$\bar{X} \longrightarrow \bar{q}\bar{q}$	\bar{r}	$-2/3$
$\bar{X} \longrightarrow q, l$	$1-\bar{r}$	$1/3$

If the inverse process is suppressed, $B \propto r - \bar{r}$ is generated.

At $T \simeq m_X$, decay rate of X $= \Gamma_D \simeq \alpha m_X$ $\alpha \sim 1/40$ for gauge boson,

$\Gamma_D \simeq H(T \simeq m_X) \implies$ decay and production of $X\bar{X}$ are **out of equilibrium**

The $SU(5)$ GUT model **conserves $B - L$** . *i.e.* $(B + L)$ -genesis



Any B is washed-out by the sphaleron process, as we shall see later



new varieties of baryogenesis

e.g. Leptogenesis \implies **BAU** $B = -L$

- \exists Majorana neutrino $\Rightarrow L$ -violating interaction [Fukugita & Yanagida, PL174B]

$\left. \begin{array}{l} \text{decoupling of heavy-}\nu \text{ decay} \\ CP \text{ violation in the heavy } -\nu \text{ sector} \end{array} \right\}$	}	\Rightarrow Leptogenesis $\xRightarrow{\text{sphaleron}}$ BAU
[review: Buchmüller et al., hep-ph/0401240]		
- Affleck-Dine mechanism in a SUSY model [A-D, NPB249; Dine, et al., NPB458]

$\langle \tilde{q} \rangle \neq 0$ or $\langle \tilde{l} \rangle \neq 0$ along (nearly) flat directions, at high temperature
 coherent motion of complex $\langle \tilde{q} \rangle, \langle \tilde{l} \rangle \neq 0$ $B(L), C, CP$ viol.
 $\Rightarrow B$ - and/or L -genesis
- Electroweak Baryogenesis
 - (1) $\Delta(B + L) \neq 0$

{	enhanced by sphaleron at $T > T_C$
}	suppressed by instanton at $T = 0$
 - (2) C -violation (chiral gauge); CP -violation: KM phase or extension of the MSM
 - (3) first-order EWPT with expanding bubble walls
- topological defects
 EW string, domain wall \sim EW baryogenesis effective volume is too small

Sphaleron Process

★ Anomalous fermion number nonconservation

← axial anomaly in the SM

$$\partial_\mu j_{B+L}^\mu = \frac{N_f}{16\pi^2} [g^2 \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) - g'^2 B_{\mu\nu} \tilde{B}^{\mu\nu}]$$

$$\partial_\mu j_{B-L}^\mu = 0$$

N_f = number of the generations

$$\tilde{F}^{\mu\nu} \equiv \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$$

integrating these equations,

$$B(t_f) - B(t_i) = \frac{N_f}{32\pi^2} \int_{t_i}^{t_f} d^4x \left[g^2 \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) - g'^2 B_{\mu\nu} \tilde{B}^{\mu\nu} \right]$$

$$= N_f [N_{CS}(t_f) - N_{CS}(t_i)]$$

where N_{CS} is the Chern-Simons number:

in the $A_0 = 0$ gauge,

$$N_{CS}(t) = \frac{1}{32\pi^2} \int d^3x \epsilon_{ijk} \left[g^2 \text{Tr} \left(F_{ij} A_k - \frac{2}{3} g A_i A_j A_k \right) - g'^2 B_{ij} B_k \right]_t$$

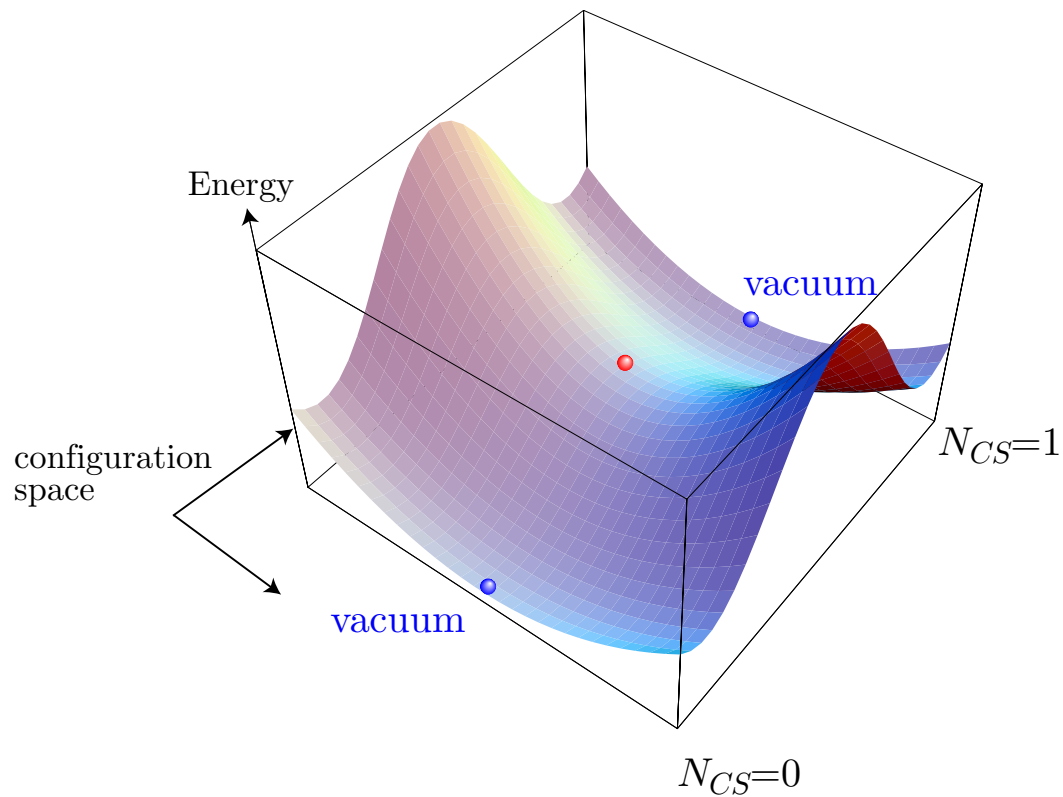
classical vacua of the gauge sector: $\mathcal{E} = \frac{1}{2}(\mathbf{E}^2 + \mathbf{B}^2) = 0$

$$\iff F_{\mu\nu} = B_{\mu\nu} = 0$$

$$\iff A = iU^{-1}dU \text{ and } B = dv \text{ with } U \in SU(2)$$

$$\therefore U(\mathbf{x}) : S^3 \ni \mathbf{x} \longrightarrow U \in SU(2) \simeq S^3$$

$\pi_3(S^3) \simeq \mathbf{Z} \Rightarrow U(\mathbf{x})$ is classified by an integer N_{CS}

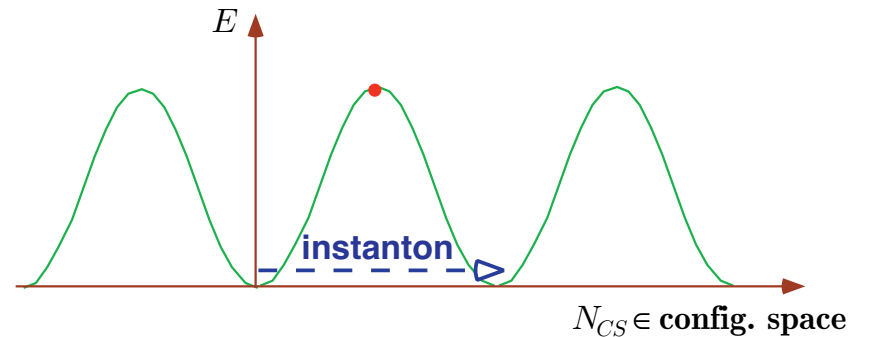


background U changes with $\Delta N_{CS} = 1$
 $\implies \Delta B = 1$ ($\Delta L = 1$) in each (left-) generation

\iff fermion:
 {
 • level crossing
 • index theorem

Transition of the field config. with $\Delta B \neq 0$

- ▷ quantum tunneling low temperature
- ▷ thermal activation high temperature



transition rate with $N_{CS} = 1 \iff$ WKB approx.

At $T = 0$, tunneling amplitude $\simeq e^{-S_{\text{instanton}}} = e^{-4\pi^2/g^2}$

instanton {
 * 4d solution with finite euclidean action
 * integer Pontrjagin index $\sim \int d^4x_E F_{\mu\nu} \tilde{F}^{\mu\nu}$

What is Sphaleron ?

sphaleros : $\sigma\varphi\alpha\lambda\epsilon\rho\sigma$ = 'ready to fall'

a **saddle-point** solution of 4d $SU(2)$ gauge-Higgs system

[Klinkhammer & Manton, PRD30 ('84)]

$$E_{\text{sph}} = 8 - 14 \text{ TeV}$$

- ★ unstable
- ★ static (3d) solution with finite energy
- ★ Chern-Simons No. = "1/2"

⇒ over-barrier transition at finite temperature

$$\Gamma_{\text{sph}} \sim e^{-E_{\text{sph}}/T}$$

cf. for EW theory

$$\Gamma_{\text{tunneling}} \sim e^{-2S_{\text{instanton}}} = 10^{-164}$$

★ Transition rate

[Arnold and McLerran, P.R.D36('87)]

$$\clubsuit \frac{\omega_-}{(2\pi)} \lesssim T \lesssim T_C$$

ω_- : negative-mode freq. around the sphaleron

$$\Gamma_{\text{sph}}^{(b)} \simeq k \mathcal{N}_{\text{tr}} \mathcal{N}_{\text{rot}} \frac{\omega_-}{2\pi} \left(\frac{v^2}{T} \right)^3 e^{-E_{\text{sph}}/T}$$

$$\mathcal{N}_{\text{tr}} = 26, \mathcal{N}_{\text{rot}} = 5.3 \times 10^3 \leftarrow \text{zero modes}$$

$$\omega_-^2 \simeq (1.8 \sim 6.6) m_W^2 \text{ for } 10^{-2} \leq \lambda/g^2 \leq 10, \quad k \simeq O(1)$$

$$\clubsuit T \gtrsim T_C$$

symmetric phase — no mass scale

$$\Gamma_{\text{sph}}^{(s)} \simeq \kappa (\alpha_W T)^4$$

▷ Monte Carlo simulation

$$\langle N_{CS}(t) N_{CS}(0) \rangle = \langle N_{CS} \rangle^2 + A e^{-2\Gamma_V t} \text{ as } t \rightarrow \infty$$

$$\kappa > 0.4$$

$SU(2)$ gauge-Higgs system

[Ambjørn, et al. N.P.B353('91)]

$$\kappa = 1.09 \pm 0.04$$

$SU(2)$ pure gauge system

[Ambjørn and Krasnitz, P.L.B362('95)]

'sphaleron transition' even in the symmetric phase.

B and L in the Hot Universe

reaction rate: $\Gamma(T) > H(t) \iff$ the process is in **chemical equilibrium**

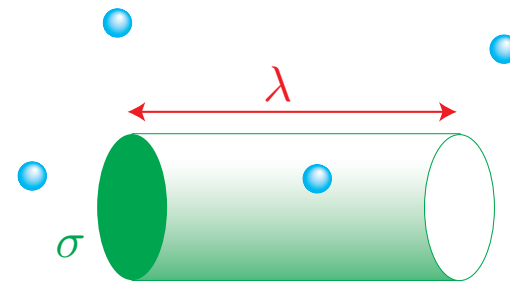
$$H(t) \equiv \frac{\dot{a}(t)}{a(t)} \simeq \sqrt{\frac{8\pi G}{3} \rho} \simeq 1.66 \sqrt{g_*} \frac{T^2}{m_{Pl}}$$

$\Gamma(T) \rightarrow$ time scale of interactions

mean free path : $\lambda \cdot \sigma = \frac{1}{n}$

$m \ll T \Rightarrow \lambda \simeq \bar{t} =$ mean free time

$$n = g \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{e^{\omega_k/T} \mp 1} \quad \begin{matrix} m \ll T \\ \simeq \\ \\ \\ \simeq \\ m \gg T \end{matrix} \quad g \begin{cases} \frac{\zeta(3)}{\pi^2} T^3 \\ \frac{3}{4} \frac{\zeta(3)}{\pi^2} T^3 \\ \left(\frac{mT}{2\pi}\right)^{3/2} e^{-m/T} \end{cases}$$



$$\zeta(3) = 1.2020569 \dots$$

For relativistic particles at T , $\sigma \simeq \frac{\alpha^2}{s} \simeq \frac{\alpha^2}{T^2}$, we have $\lambda \simeq \frac{10}{gT^3} \left(\frac{\alpha^2}{T^2} \right)^{-1} = \frac{10}{g\alpha^2 T}$.

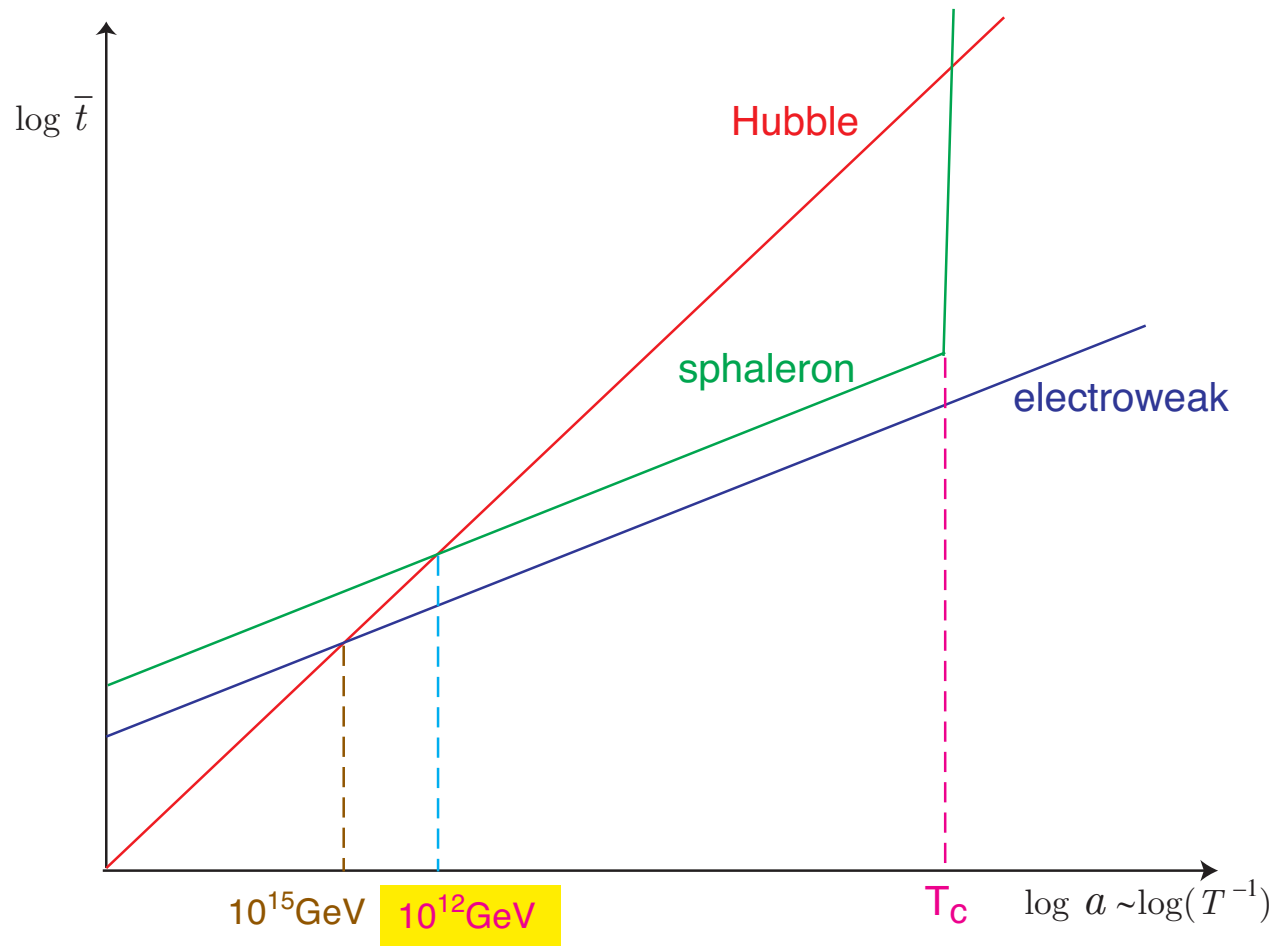
For $T = 100\text{GeV}$, $H^{-1} \simeq 10^{14}\text{GeV}^{-1}$,

$\lambda_s \simeq \frac{1}{\alpha_s^2 T} \sim 1 \text{ GeV}^{-1}$	for strong interactions
$\lambda_{EW} \simeq \frac{1}{\alpha_W^2 T} \sim 10 \text{ GeV}^{-1}$	for EW interactions
$\lambda_Y \simeq \left(\frac{m_W}{m_f} \right)^4 \lambda_{EW}$	for Yukawa interactions

time scale of sphaleron process

$$\bar{t}_{\text{sph}} = (\Gamma_{\text{sph}}/n)^{-1} \simeq \begin{cases} 10^6 T^{-1} \text{ GeV}^{-1} & (T > T_C) \\ 10^5 T^{-1} e^{E_{\text{sph}}/T} \text{ GeV}^{-1} & (T < T_C) \end{cases}$$

[cf. $E_{\text{sph}} \simeq 10\text{TeV}$ for $v_0 = 246\text{GeV}$]



If $v(T_C) \ll 200\text{GeV}$ (eg. 2nd order EWPT), $\exists T_{\text{dec}}$, s.t.

$$T_{\text{dec}} < T < T_C \implies \Gamma_{\text{sph}}^{(b)}(T) > H(T)$$

wash-out of $B + L$ even in the broken phase

★ Quantum numbers in equilibrium

Q_i : conserved quantum number $[H, Q_i] = 0$

equilibrium partition function: $Z(T, \mu) \equiv \text{Tr} \left[e^{-(H - \sum_i \mu_i Q_i)/T} \right]$

$$\Rightarrow \langle Q_i \rangle(T, \mu) = T \frac{\partial}{\partial \mu_i} \log Z(T, \mu)$$

→ relations among μ 's \iff relations among Q 's

In the SM, $Q_i = \frac{1}{N} B - L_i$ without lepton-flavor mixing.

1st-principle calculation of $Z(T, \mu)$



- perturbation
- free-field approximation

- path integral over *all* fields
- *nonperturbative* $B + L$ violation

[Shaposhnikov, et al, PLB387 ('96); PRD61 ('00)]

chemical potentials of the particles

number density of free particles (per degree of freedom)

$$\langle N \rangle = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \left[\frac{1}{e^{(\omega_k - \mu)/T} \mp 1} - \frac{1}{e^{(\omega_k + \mu)/T} \mp 1} \right]$$

$$\stackrel{m \ll T}{\approx} \frac{T^3}{2\pi^2} \int_0^\infty dx \left[\frac{x^2}{e^{x - \mu/T} \mp 1} - \frac{x^2}{e^{x + \mu/T} \mp 1} \right] \stackrel{|\mu| \ll T}{\approx} \begin{cases} \frac{T^3}{3} \cdot \frac{\mu}{T}, & \text{(bosons)} \\ \frac{T^3}{6} \cdot \frac{\mu}{T}, & \text{(fermions)} \end{cases}$$

Quantum number densities in terms of μ

[Harvey & Turner, PRD42 ('90)]

SM with N generations and N_H Higgs doublets ($\phi^0 \phi^-$)

W^-	$u_{L(R)}$	$d_{L(R)}$	$e_{iL(R)}$	ν_{iL}	ϕ^0	ϕ^-
μ_W	$\mu_{u_{L(R)}}$	$\mu_{d_{L(R)}}$	$\mu_{iL(R)}$	μ_i	μ_0	μ_-

gauge int., Yukawa int, quark mixings are in equilibrium.

$$\mu_\gamma = \mu_Z = \mu_{\text{gluon}} = 0$$



$$(3N + 7) \mu\text{'s}$$

$$\text{gauge} \Leftrightarrow \mu_W = \mu_{d_L} - \mu_{u_L} = \mu_{iL} - \mu_i = \mu_- + \mu_0$$

$$\text{Yukawa} \Leftrightarrow \mu_0 = \mu_{u_R} - \mu_{u_L} = \mu_{d_L} - \mu_{d_R} = \mu_{iL} - \mu_{iR}$$

$2(N + 2)$ relations $\Rightarrow N + 3$ independent μ 's: $(\mu_W, \mu_0, \mu_{u_L}, \mu_i)$

$$\text{sphaleron process in equilibrium: } |0\rangle \leftrightarrow \prod_i (u_L d_L d_L \nu_L)_i \Leftrightarrow N(\mu_{u_L} + 2\mu_{d_L}) + \sum_i \mu_i = 0$$

Quantum number densities [in unit of $T^2/6$]

$$B = N(\mu_{u_L} + \mu_{u_R} + \mu_{d_L} + \mu_{d_R}) = 4N\mu_{u_L} + 2N\mu_W,$$

$$L = \sum_i (\mu_i + \mu_{iL} + \mu_{iR}) = 3\mu + 2N\mu_W - N\mu_0$$

$$Q = \frac{2}{3}N(\mu_{u_L} + \mu_{u_R}) \cdot 3 - \frac{1}{3}N(\mu_{d_L} + \mu_{d_R}) \cdot 3 - \sum_i (\mu_{iL} + \mu_{iR}) - 2 \cdot 2\mu_W - 2N_H\mu_-$$

$$= 2N\mu_{u_L} - 2\mu - (4N + 4 + 2N_H)\mu_W + (4N + 2N_H)\mu_0$$

$$I_3 = -(2N + N_H + 4)\mu_W \quad \mu \equiv \sum_i \mu_i$$

- $T \gtrsim T_C$ (symmetric phase)

We require $Q = I_3 = 0$. ($\mu_W = 0$)

$$B = \frac{8N + 4N_H}{22N + 13N_H} (B - L), \quad L = -\frac{14N + 9N_H}{22N + 13N_H} (B - L)$$

- $T \lesssim T_C$ (broken phase)

$Q = 0$ and $\mu_0 = 0$ ($\because \phi^0$ condensates.)

$$B = \frac{8N + 4(N_H + 2)}{24N + 13(N_H + 2)} (B - L), \quad L = -\frac{16N + 9(N_H + 2)}{24N + 13(N_H + 2)} (B - L)$$

\therefore Once $B - L = 0$ in the era when the sphaleron is in equilibrium,



$B = L = 0$

To have nonzero BAU,

- (i) we must have $B - L$ before the sphaleron process decouples, *or*
- (ii) $B + L$ must be created at the first-order EWPT, *and* the sphaleron process must decouple immediately after that.

N.B.

$\Delta(B + L) \neq 0$ process is *in equilibrium*, for $T_C \simeq 100\text{GeV} < T < 10^{12}\text{GeV}$.

If $\Delta L \neq 0$ process is in equilibrium in this range of T , $B = L = 0!$

To leave $B \neq 0$, $\Gamma_{\Delta L \neq 0} < H(T)$ for $T \in [T_C, 10^{12}\text{GeV}]$.

\implies constraints on models with $\Delta L \neq 0$ processes.

\longrightarrow $\left\{ \begin{array}{l} \text{lower (upper) bound on } m_N (m_\nu) \\ \text{[Fukugita \& Yanagida, PRL89]} \\ \text{Hasegawa's talk} \end{array} \right.$

Electroweak Baryogenesis

review articles:

- KF, Prog.Theor.Phys. 96 (1996) 475
- Rubakov and Shaposhnikov, Phys.Usp. 39 (1996) 461-502
(hep-ph/9603208)
- Riotto and Trodden, Ann. Rev. Nucl. Part. Sci. 49 (1999) 35
(hep-ph/9901362)
- Bernreuther, Lect.Notes Phys. 591 (2002) 237
(hep-ph/0205279)

$$T \simeq 100\text{GeV} \Rightarrow H^{-1}(T) \simeq 10^{14}\text{GeV}^{-1} \ll \bar{t}_{EW} \simeq \frac{1}{\alpha_W T^2} \sim 10\text{GeV}^{-1}$$

\therefore All the particles of the SM are *in kinetic equilibrium*.

nonequilibrium state \Leftarrow **1st order EW phase transition**

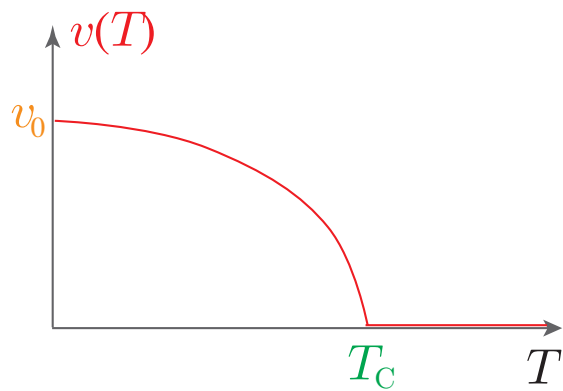
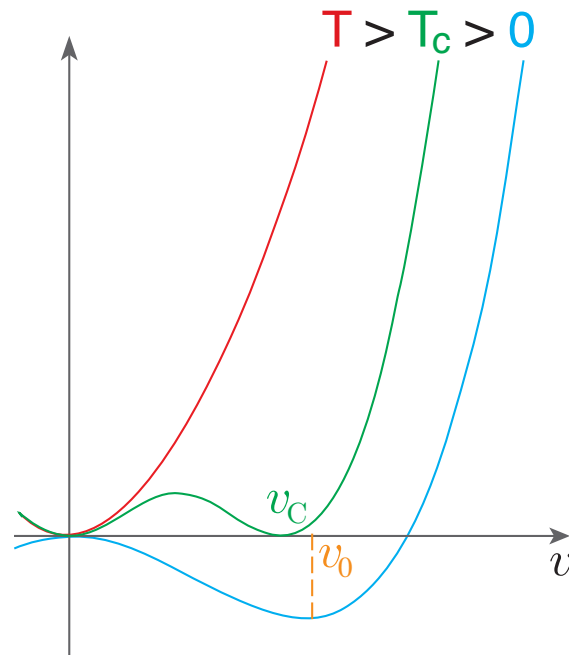
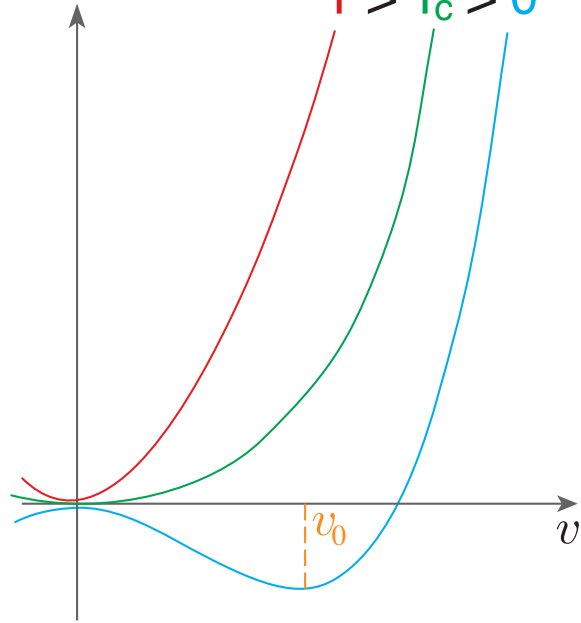
study of the EWPT

★ static properties \Leftarrow **effective potential** = free energy density

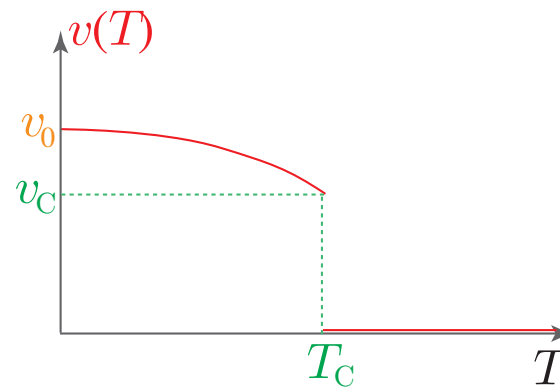
$$V_{\text{eff}}(\mathbf{v}; T) = -\frac{1}{V} T \log Z = -\frac{1}{V} \log \text{Tr} \left[e^{-H/T} \right]_{\langle \phi \rangle = \mathbf{v}}$$

★ dynamics — formation and motion of the bubble wall when 1st order PT

$$V_{\text{eff}}(v;T) - V_{\text{eff}}(0;T)$$



2nd order PT



1st order PT

Minimal SM

order parameter:

$$\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \varphi \end{pmatrix}$$

\therefore 1st order EWPT



$$v_c \equiv \lim_{T \uparrow T_c} \varphi(T) \neq 0$$

Minimal SM — perturbation at the 1-loop level

$$V_{\text{eff}}(\varphi; T) = -\frac{1}{2}\mu^2\varphi^2 + \frac{\lambda}{4}\varphi^4 + 2Bv_0^2\varphi^2 + B\varphi^4 \left[\log\left(\frac{\varphi^2}{v_0^2}\right) - \frac{3}{2} \right] + \bar{V}(\varphi; T)$$

where $B = \frac{3}{64\pi^2 v_0^4} (2m_W^4 + m_Z^4 - 4m_t^4),$

$$\bar{V}(\varphi; T) = \frac{T^4}{2\pi^2} [6I_B(a_W) + 3I_B(a_Z) - 6I_F(a_t)], \quad (a_A = m_A(\varphi)/T)$$

$$I_{B,F}(a^2) \equiv \int_0^\infty dx x^2 \log\left(1 \mp e^{-\sqrt{x^2+a^2}}\right).$$

high-temperature expansion [$m/T \ll 1$]

$$I_B(a^2) = -\frac{\pi^4}{45} + \frac{\pi^2}{12}a^2 - \frac{\pi}{6}(a^2)^{3/2} - \frac{a^4}{16} \log \frac{\sqrt{a^2}}{4\pi} - \frac{a^4}{16} \left(\gamma_E - \frac{3}{4} \right) \frac{a^4}{2} + O(a^6)$$

$$I_F(a^2) = \frac{7\pi^4}{360} - \frac{\pi^2}{24}a^2 - \frac{a^4}{16} \log \frac{\sqrt{a^2}}{\pi} - \frac{a^4}{16} \left(\gamma_E - \frac{3}{4} \right) + O(a^6)$$

For $T > m_W, m_Z, m_t$,

$$V_{\text{eff}}(\varphi; T) \simeq D(T^2 - T_0^2)\varphi^2 - ET\varphi^3 + \frac{\lambda_T}{4}\varphi^4$$

where

$$D = \frac{1}{8v_0^2}(2m_W^2 + m_Z^2 + 2m_t^2), \quad E = \frac{1}{4\pi v_0^3}(2m_W^3 + m_Z^3) \sim 10^{-2}$$

$$\lambda_T = \lambda - \frac{3}{16\pi^2 v_0^4} \left(2m_W^4 \log \frac{m_W^2}{\alpha_B T^2} + m_Z^4 \log \frac{m_Z^2}{\alpha_B T^2} - 4m_t^4 \log \frac{m_t^2}{\alpha_F T^2} \right)$$

$$T_0^2 = \frac{1}{2D}(\mu^2 - 4Bv_0^2), \quad \log \alpha_{F(B)} = 2 \log(4)\pi - 2\gamma_E$$

At T_C , \exists degenerate minima: $\varphi_C = \frac{2ET_C}{\lambda_{T_C}}$

$$\Gamma_{\text{sph}}^{(b)}/T_C^3 < H(T_C) \iff \frac{\varphi_C}{T_C} \gtrsim 1 \implies \text{upper bound on } \lambda \quad [m_H = \sqrt{2}\lambda v_0]$$

$$m_H \lesssim 46\text{GeV}$$

\implies MSM is excluded

★ Monte Carlo simulations

[MSM]

effective fermion mass : $m_f(T) \sim O(T) \leftarrow$ nonzero modes

\therefore simulation only with the bosons

QFT on the lattice $\left\{ \begin{array}{l} \text{scalar fields: } \phi(x) \text{ on the sites} \\ \text{gauge fields: } U_\mu(x) \text{ on the links} \end{array} \right.$

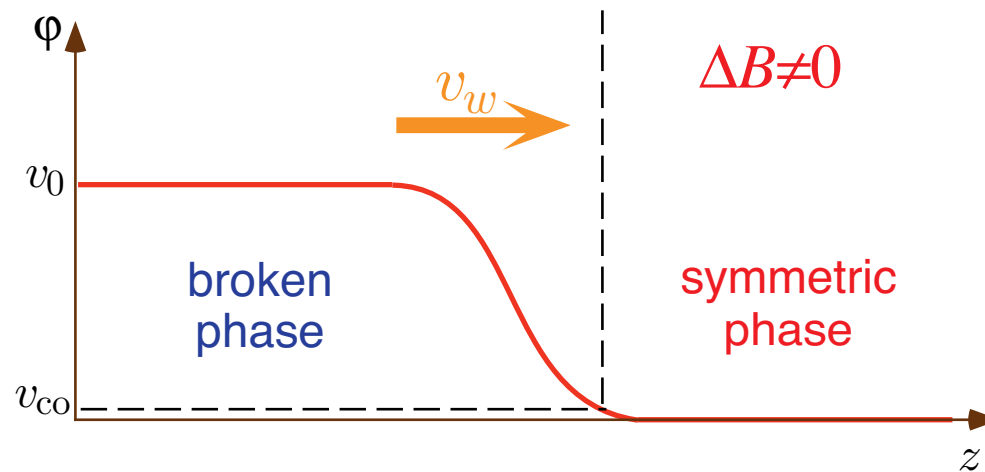
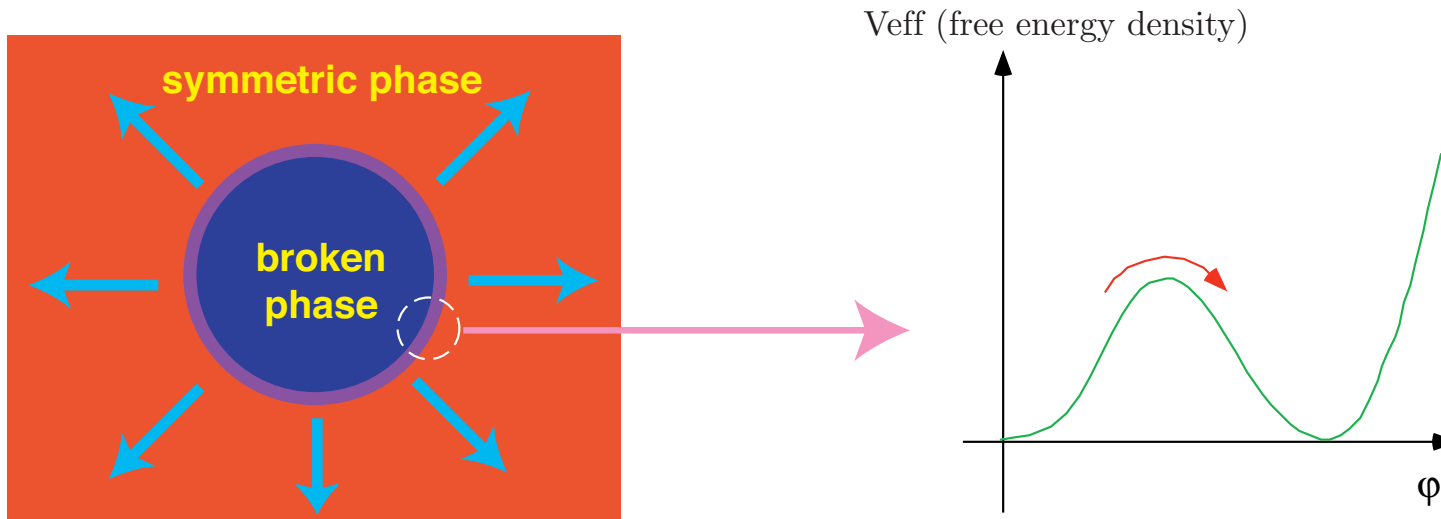
$$Z = \int [d\phi dU_\mu] \exp \{ -S_E[\phi, U_\mu] \}$$

- 3-dim. $SU(2)$ system with a Higgs doublet and a triplet time-component of U_μ
[Laine & Rummukainen, hep-lat/9809045]
- 4-dim. $SU(2)$ system with a Higgs doublet [Csikor, hep-lat/9910354]
EWPT is first order for $m_h < 66.5 \pm 1.4 \text{ GeV}$

Both the simulations found end-point of EWPT at

$$m_h = \left\{ \begin{array}{l} 72.3 \pm 0.7 \text{ GeV} \\ 72.1 \pm 1.4 \text{ GeV} \end{array} \right. \Rightarrow \boxed{\text{no PT (cross-over) in the MSM !}}$$

strongly first-order phase transition



bubble wall \Leftarrow classical config. of the gauge-Higgs system

Mechanism of the baryogenesis

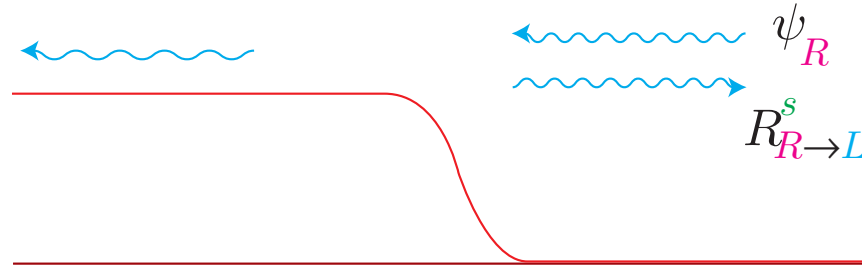
$$\bar{t}_s \simeq 0.1 \text{GeV}^{-1} \ll \bar{t}_{EW} \simeq 1 \text{GeV}^{-1} \ll \bar{t}_{\text{sph}} \simeq 10^5 \text{GeV}^{-1} \ll H^{-1} \simeq 10^{14} \text{GeV}^{-1}$$

EW bubble wall motion: $t_{\text{wall}} = \frac{l_w}{v_w} = \frac{(1 - 40)/T}{0.1 - 0.9} = (0.01 - 4) \text{GeV}^{-1}$

1. All the particles are in *kinetic equilibrium at the same temperature*, because of $H^{-1} \gg \bar{t}_{EW}$, far from the bubble wall.
2. Since $\lambda_Y > \lambda_{EW} \gg l_w$, the leptons and some of the quarks propagate almost freely before and after the scattering off the bubble wall.
3. Because of $t_{\text{wall}} \ll \bar{t}_{\text{sph}}$, the sphaleron process is *out of chemical equilibrium* near the bubble wall.

chiral charge accumulated in the sym. phase $\xrightarrow{\text{sphaleron}} B \neq 0$

$$\dot{n}_B = -\frac{\mu_B}{T} \Gamma_{\text{sph}}, \quad \mu_B \propto \text{chiral charge}$$



total flux injected into the *symmetric phase* region

$$F^i_Q = \frac{Q_L^i - Q_R^i}{4\pi^2\gamma} \int_{m_0}^{\infty} dp_L \int_0^{\infty} dp_T p_T [f_i^s(p_L, p_T) - f_i^b(-p_L, p_T)] \Delta R(m_0, p_L)$$

$$\Delta R = R^s_{R \rightarrow L} - \bar{R}^s_{R \rightarrow L},$$

$$f_i^s(p_L, p_T) = \frac{p_L}{E} \frac{1}{\exp[\gamma(E - v_w p_L)/T] + 1}$$

$$f_i^b(-p_L, p_T) = \frac{p_L}{E} \frac{1}{\exp[\gamma(E + v_w \sqrt{p_L^2 - m_0^2})/T] + 1}$$

$Q_L - Q_R \neq 0$ and conserved in the sym. phase $\implies Y, I_3$

N.B. For B , no F_B is generated, since it is vectorlike.

EW baryogenesis in the MSSM

- EW Phase Transition

3 order parameters: $\langle \Phi_d \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} v_1 \\ 0 \end{pmatrix}, \quad \langle \Phi_u \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_2 + iv_3 \end{pmatrix}$

- CP Violation

complex parameters: $\mu, M_{3,2,1}, A, \mu B = m_3^2$

$v_3 \neq 0$ — $v_3 = 0$ at the tree level

- sphaleron solution

{ 2HDM
squarks vs sphaleron
NMSSM

[Peccei, et al, PLB '91]
[Moreno, et al, PLB '97]
[KF, et al, in progress]

★ Electroweak phase transition

light stop scenario

[de Carlos & Espinosa, NPB '97]

$$\mathcal{M}_{\tilde{t}}^2 = \begin{pmatrix} m_{\tilde{t}_L}^2 + \left(\frac{g_1^2}{24} - \frac{g_2^2}{8}\right)(v_u^2 - v_d^2) + \frac{y_t^2}{2}v_u^2 & \frac{y_t}{\sqrt{2}}(\mu v_d + A(v_2 - iv_3)) \\ * & m_{\tilde{t}_R}^2 - \frac{g_1^2}{6}(v_u^2 - v_d^2) + \frac{y_t^2}{2}v_u^2 \end{pmatrix}$$

$m_{\tilde{t}_L}^2 = 0$ or $m_{\tilde{t}_R}^2 = 0 \implies$ smaller eigenvalue: $m_{\tilde{t}_1}^2 \sim O(v^2)$

\therefore high- T expansion

$$\bar{V}_{\tilde{t}}(\mathbf{v}; T) \implies -\frac{T}{6\pi}(m_{\tilde{t}_1}^2)^{3/2} \sim T v^3$$

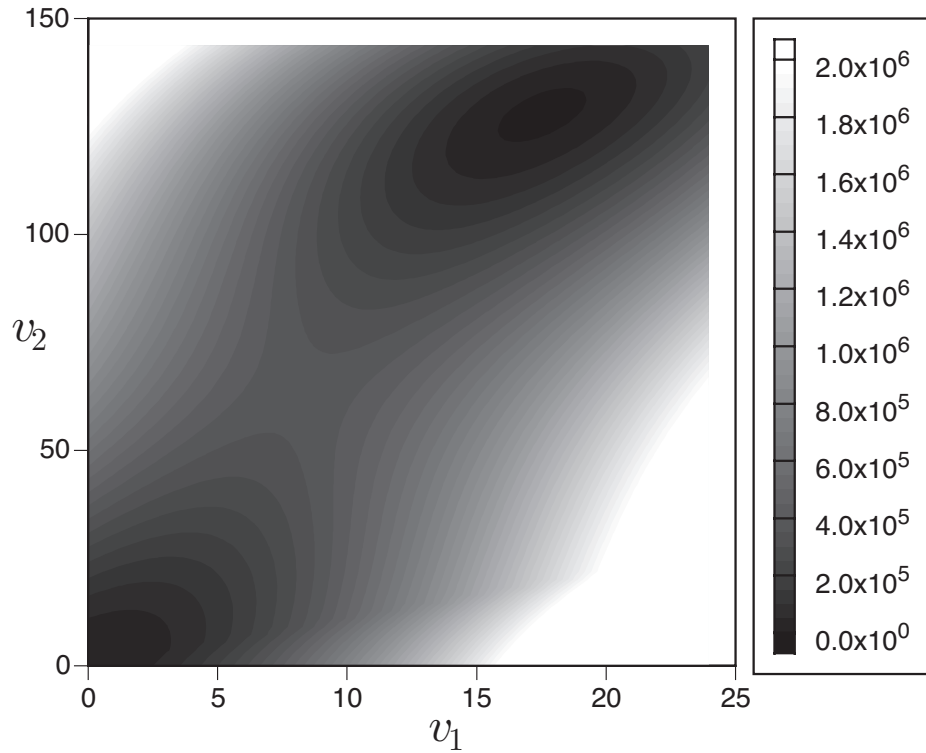
\longrightarrow stronger 1st order PT

effective for larger y_t — smaller $\tan \beta$

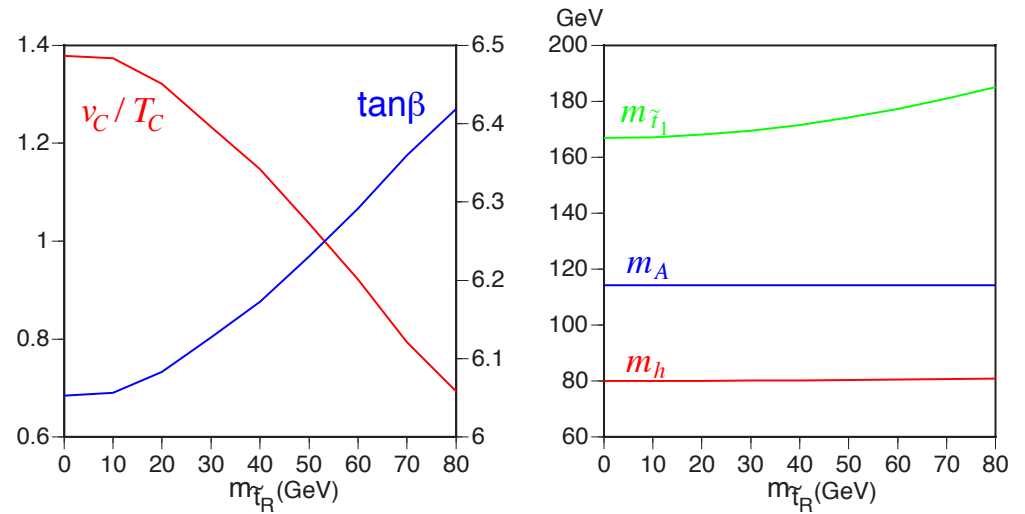
An example: $\tan \beta = 6$, $m_h = 82.3\text{GeV}$, $m_A = 118\text{GeV}$, $m_{\tilde{t}_1} = 168\text{GeV}$

$T_C = 93.4\text{GeV}$, $v_C = 129\text{GeV}$

[KF, PTP101]



$V_{\text{eff}}(v_1, v_2, v_3 = 0; T_C)$



m_{t_R} -dependence ($\tan \beta = 6$)

★ Lattice MC studies

● 3d reduced model

[Laine et al. hep-lat/9809045]

strong 1st order for $m_{\tilde{t}_1} \lesssim m_t$ and $m_h \leq 110\text{GeV}$

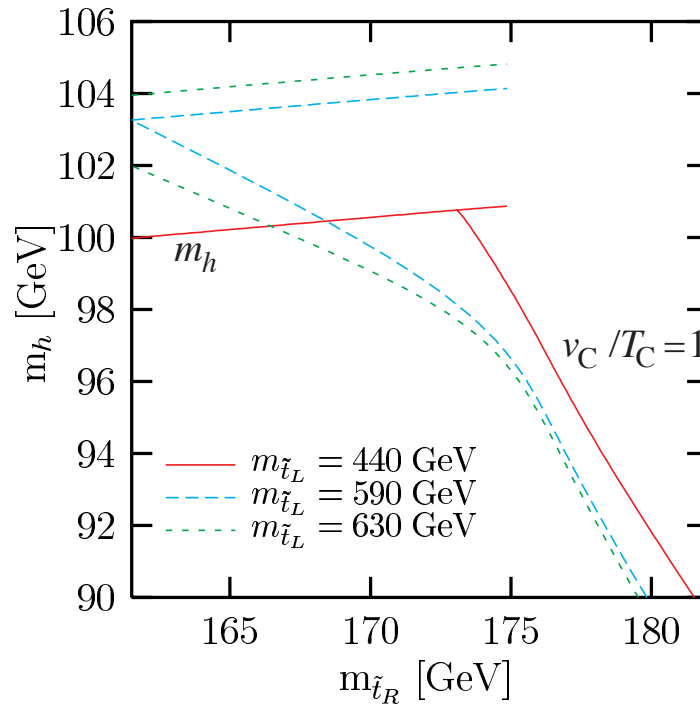
● 4d model

[Csikor, et al. hep-lat/0001087]

with $SU(3)$, $SU(2)$ gauge bosons, 2 Higgs doublets, stops, sbottoms

$A_{t,b} = 0$, $\tan \beta \simeq 6$

→ agreement with the perturbation theory within the errors



$m_A = 500\text{ GeV}$

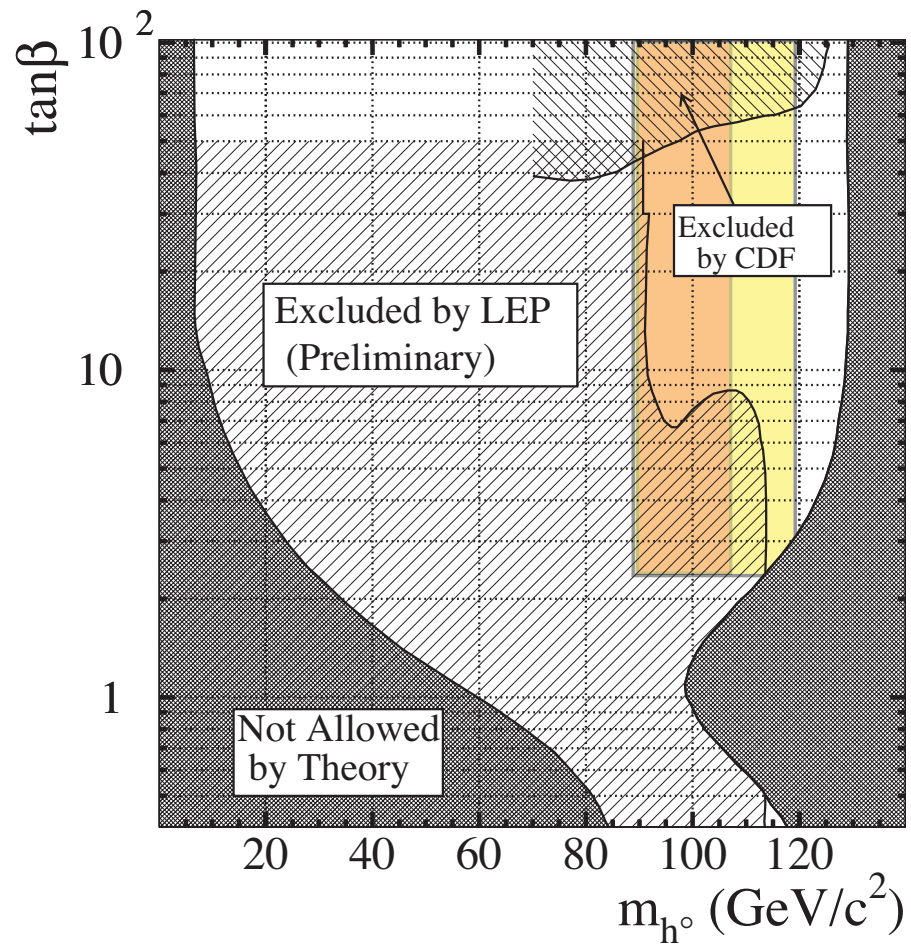
$v_C/T_C > 1$

below the steeper lines



max. $m_h = 103 \pm 4\text{ GeV}$

for $m_{\tilde{t}_L} \simeq 560\text{ GeV}$



[PDG,
<http://ccwww.kek.jp/pdg/>]

light stop: $m_{t_R} = 0$

negative soft mass²: $m_{t_R}^2 > -(65\text{GeV})^2$

[Laine & Rummukainen, NPB597]

Effects of CP violation on the EWPT

[KF, Tao & Toyoda, PTP 109]

EWPT in the light-stop scenario [$m_{\tilde{t}_R} = 10\text{GeV}$]

$$\text{Im}(\mu A_t e^{i\theta}) \neq 0 \Rightarrow \begin{cases} \triangleright \text{scalar-pseudoscalar mixing} \\ \triangleright \text{induces } \delta = \text{Arg}(m_3^2) \\ \bullet \text{ weakens the EWPT} \end{cases}$$

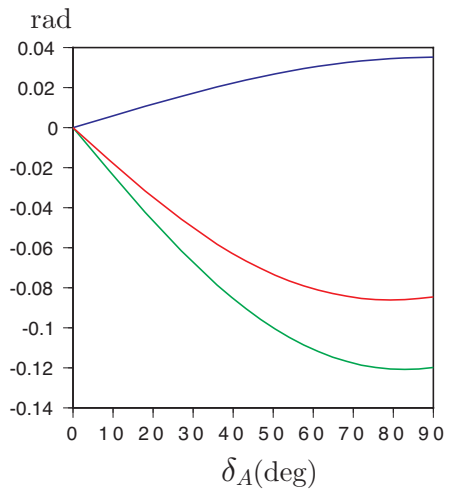
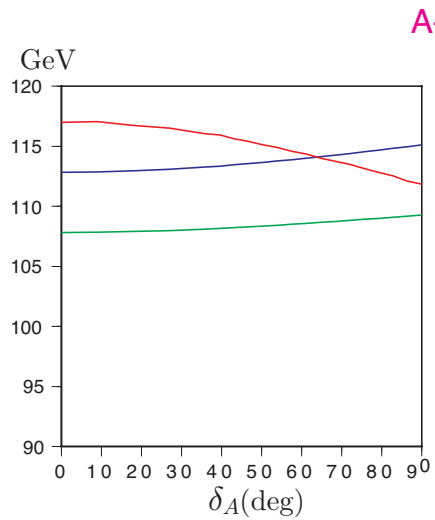
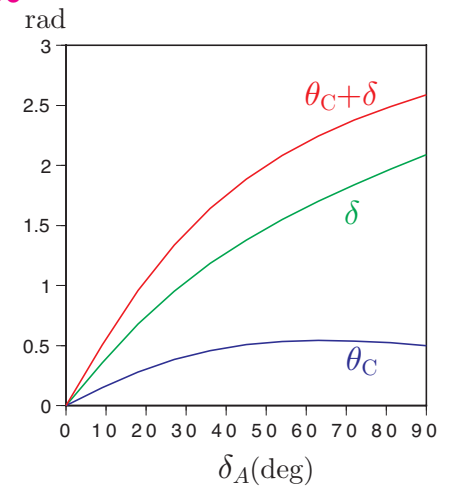
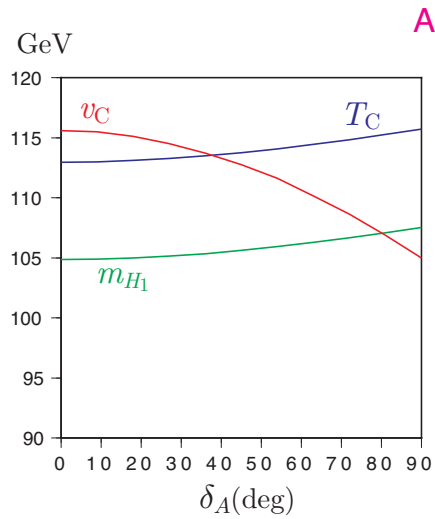
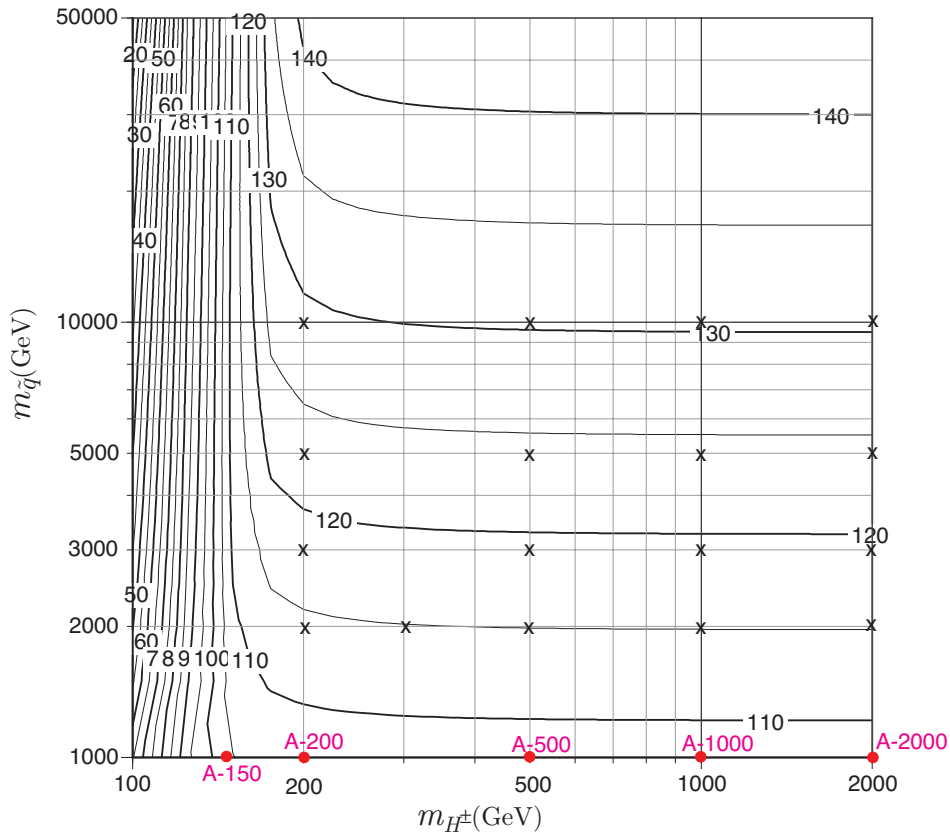
[Carena, et al., NPB586]

field-dependent mass² of the **lighter** stop:

$$\bar{m}_{\tilde{t}_1}^2 = \frac{1}{2} \left[m_{\tilde{q}}^2 + m_{\tilde{t}_R}^2 + y_t^2 v_u^2 + \frac{g_2^2 + g_1^2}{4} (v_d^2 - v_u^2) \right. \\ \left. - \sqrt{\left(m_{\tilde{q}}^2 - m_{\tilde{t}_R}^2 + \frac{x_t}{2} (v_d^2 - v_u^2) \right)^2 + y_t^2 |\mu v_d - A_t^* e^{-i\theta} v_u|^2} \right]$$

$$\tan \beta = 10, \mu = 1500\text{GeV}, |A| = 150\text{GeV}$$

mass of the lightest Higgs boson (CP conserving)



Summary

Electroweak Baryogenesis

- based on a testable model \longleftrightarrow stringent constraints
- free from proton decay problem

other attempts:

- ★ GUTs
- ★ Leptogenesis
- ★ Affleck-Dine
- ★ Gravitational Baryogenesis
[Alexander, Peskin & Sheikh-Jabbari, hep-th/0405214]
- ★ Inflationary Baryogenesis [KF, Kakuto, Otsuki & Toyoda, PTP 105]
[Nanopoulos & Rangarajan, PRD 64]

viable models for EW baryogenesis

- Minimal SM — excluded !! × {
 - strongly 1st-order EWPT (with acceptable m_h)
 - sufficient CP violation

- MSSM
 - ★ $m_h \leq 110\text{GeV}$ and $m_{\tilde{t}_1} \leq m_t$
 - ★ $m_h \leq 120\text{GeV}$ if $m_{\tilde{t}_R}^2 < 0$? } \implies 1st-order EWPT with $\frac{v_C}{T_C} > 1$
 - ★ many sources of CP violation
 - complex parameters: $\mu, M_2, M_1, A; \theta$ — $\text{Im}(\mu A_t e^{i\theta})$ weakens the EWPT

- Other extensions of the MSM
 - ▷ non-SUSY : 2HDM — many parameters not so constrained
 - favored parameters for 1st-order PT and hhh -coupling \rightarrow Senaha's talk
 - ▷ Next-to-MSSM (NMSSM) = MSSM + Singlet chiral superfield
 - strong 1st order PT without a light stop \rightarrow Tao's talk