



# The Origin of Matter

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# fundamental theory of elementary particles

Local Quantum Field Theory

causality

relativistic quantum theory

## CPT Theorem



For each particle species,  
there is an **antiparticle** with  
**the same mass and**  
**the opposite charge.**



# The Standard Model

particle	electron $e$	quark $u$	$W^-$	$W^-$
antiparticle	positron $e^+$	antiquark $\bar{u}$	$W^+$	$W^+$
particle = antiparticle		photon, gluon, Z, h		

The theory is *almost symmetric*  
under exchange of  
the particle and its antiparticle.

However, the Nature is ...

# We find only the particles in the Universe.

We refer to them as *particles*.

★ The Moon, solar system

★ Our Galaxy (the Milky Way)

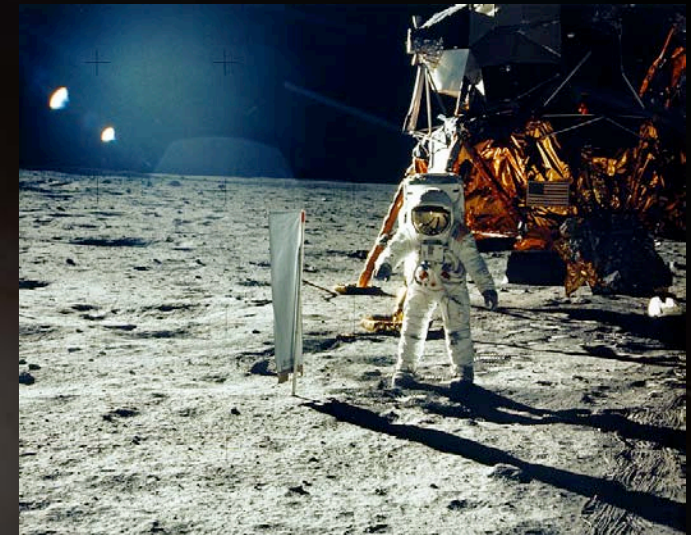
cosmic ray from the Milky Way

$$\frac{\text{antiproton}}{\text{proton}} = 10^{-4} \quad \text{secondary particles}$$

★ Galaxies, clusters of galaxies

$10^{12}$  times of the solar mass

If the Universe were matter-antimatter-symmetric, it would be impossible to separate the matters from the antimatters at some epoch in early universe.



## content of my talk

- **The **B**aryon **A**symmetry of the **U**niverse**
- **Requirements for the generation of the asymmetry**
- **Scenarios of baryogenesis**
- **Can the Standard Model explain the BAU ?**

# Baryon Asymmetry of the Universe

$$\frac{n_B}{s} = \frac{n_b - n_{\bar{b}}}{s} = (0.67 - 0.92) \times 10^{-10} \quad (95\%CL)$$

entropy density

$$s = \frac{2\pi^2}{45} g_{*S} T^3 = \frac{2\pi^2}{45} \left( \sum_B g_B(T) + \frac{7}{8} \sum_F g_F(T) \right) T^3$$

invariant during **adiabatic expansion** of the Universe

$$s = 7.04 n_\gamma \quad \text{at present}$$

$$\eta \equiv \frac{n_B}{n_\gamma} = \frac{n_b - n_{\bar{b}}}{n_\gamma} = (4.7 - 6.5) \times 10^{-10}$$

The value of BAU is determined  
by **cosmological observations**.

## Light-element abundances

H, D, T,  $^3\text{He}$ ,  $^4\text{He}$ ,  $^7\text{Li}$ ,...

Big Bang Nucleosynthesis

## Fluctuation in the CMB temperature

Cosmic Microwave Background  $T = 2.726\text{K}$

remnants of the equilibrium plasma

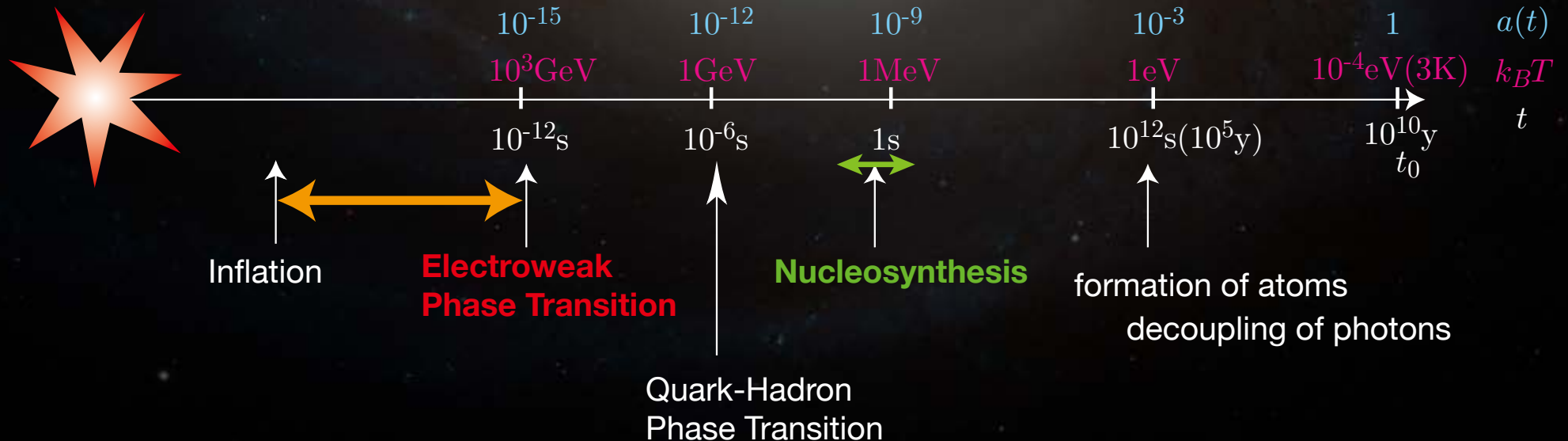
The small fluctuation is related to the cosmological parameters.

$$\frac{\delta T}{T} \sim 10^{-5}$$

# Big Bang Nucleosynthesis

The Big Bang cosmology naturally explains

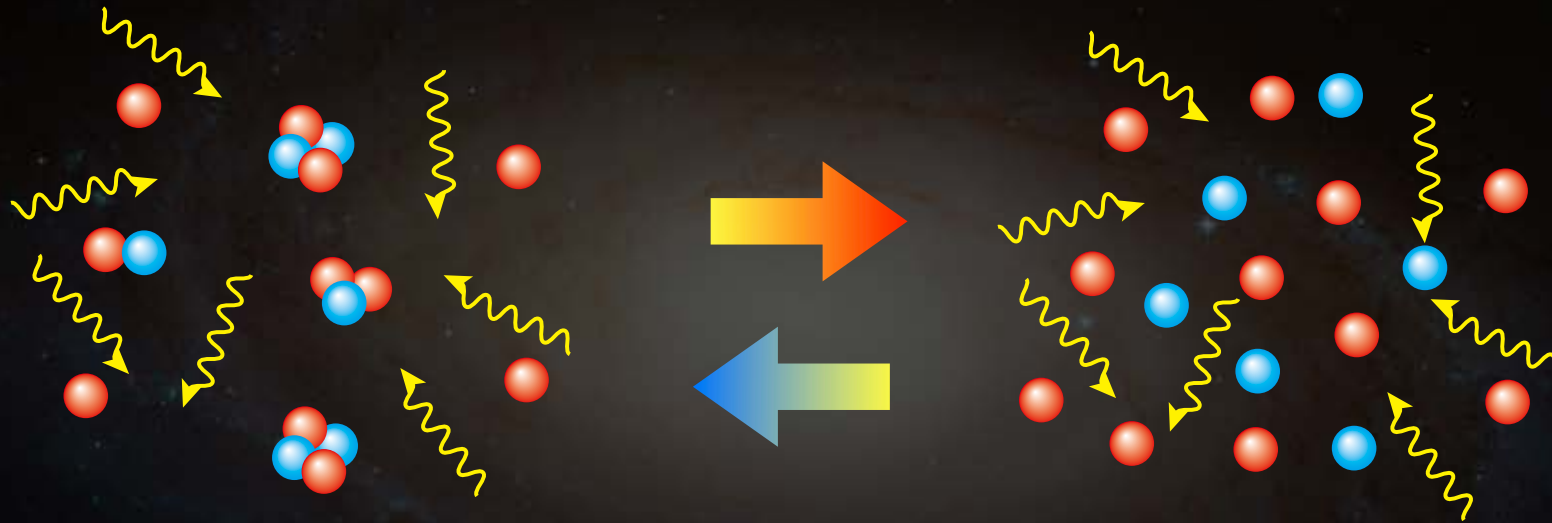
- expansion of the Universe
- existence of the CMB
- light element abundances





# When $T > 1 \text{ MeV}$

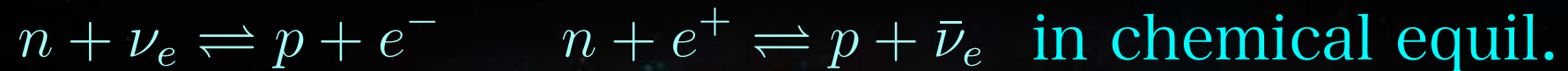
energy of photons  $>$  binding energy of nuclei



As the Universe cools down,  
nucleons bind with each other.

BBN predicts the abundances of  
the light elements *quantitatively*.

$$T \gg 1\text{MeV} \quad (k_B = 1)$$



$$\#(\text{neutrons}) / \#(\text{protons}) \quad \frac{n_n}{n_p} \simeq e^{-Q/T} \simeq 1$$

$$Q \equiv m_n - m_p = 1.29\text{MeV}$$

$$T \simeq 1\text{MeV}$$

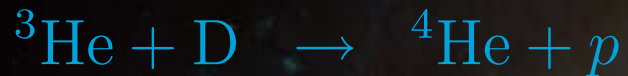
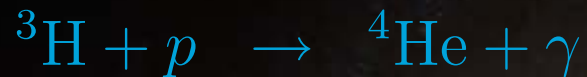
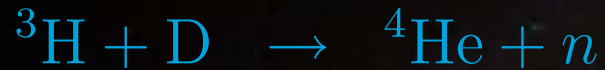
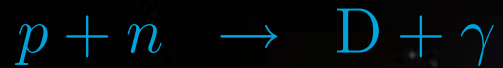
$$\Gamma_{n \leftrightarrow p} \simeq H(T) \quad \text{expansion rate}$$

$$\text{the reaction is frozen:} \quad \left( \frac{n_n}{n_p} \right)_{\text{freeze-out}} \simeq 0.167$$

Then the number of neutrons decreases by



$T \simeq 0.1\text{MeV}$  the light elements are synthesized



binding energies

	$E_B$ (MeV)	$E_B/A$ (MeV)
D	2.22	1.11
${}^3\text{H}$	6.92	2.31
${}^3\text{He}$	7.72	2.57
${}^4\text{He}$	28.3	7.08

${}^4\text{He} + {}^3\text{He} \rightarrow {}^7\text{Li} + \gamma$  etc. elements up to  ${}^7\text{Li}$  are produced

Almost all the neutrons are caught within  ${}^4\text{He}$ .

Abundance of  ${}^4\text{He}$  is determined by that of the neutrons at BBN.

$\eta = \frac{n_B}{n_\gamma}$  is larger  $\longrightarrow$  BBN starts with more neutrons

In detail, we solve the Boltzmann equations.

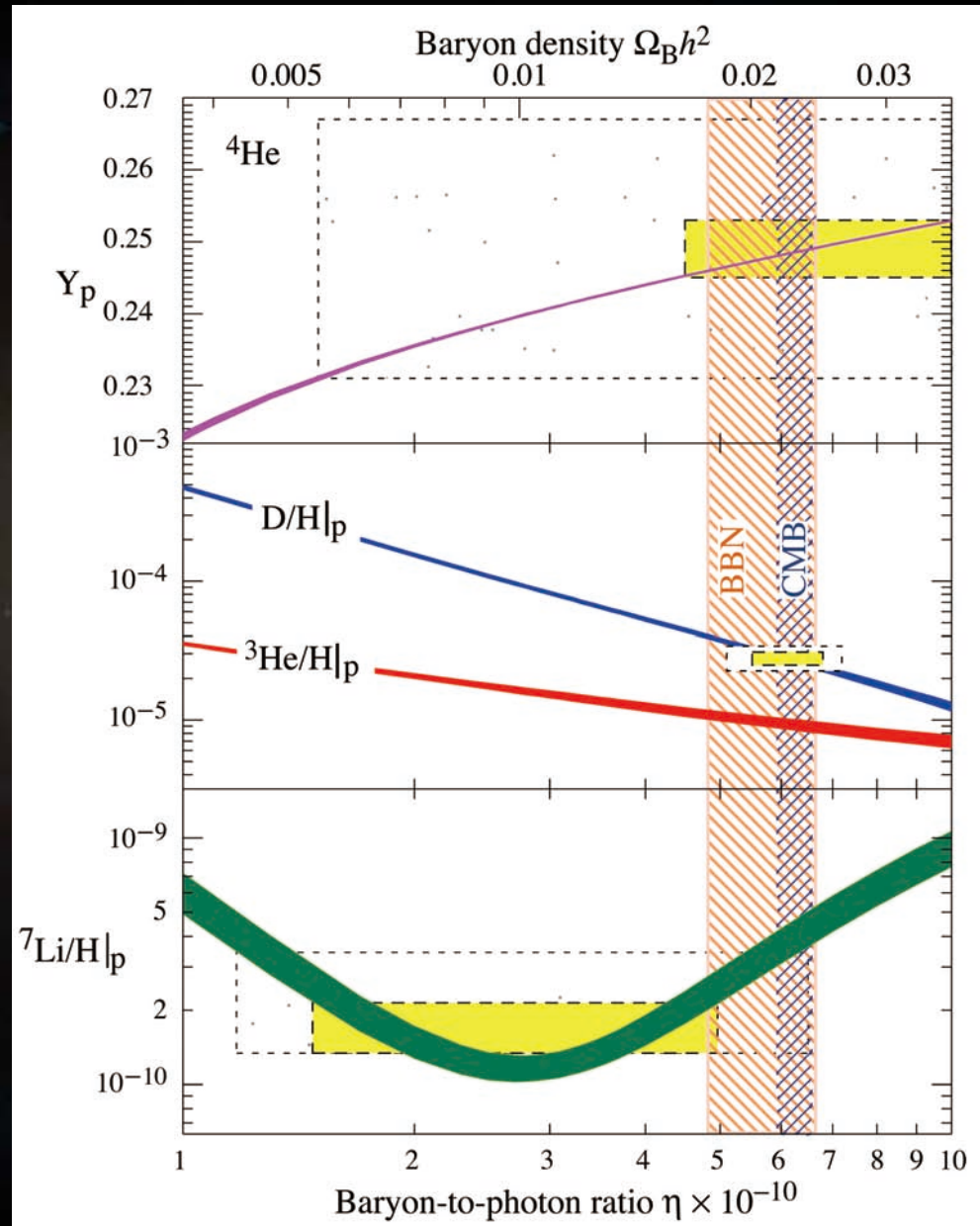
# $\eta$ vs light element abundances

mass fraction of  $^4\text{He}$

$$Y = \frac{(2m_p + 2m_n)n_n/2}{m_p n_p + m_n n_n}$$

$$= \frac{2n_n/n_p}{1 + n_n/n_p}$$

$$n_n/n_p = 0.13 \Rightarrow Y = 0.23$$



↕ observation (95%CL)



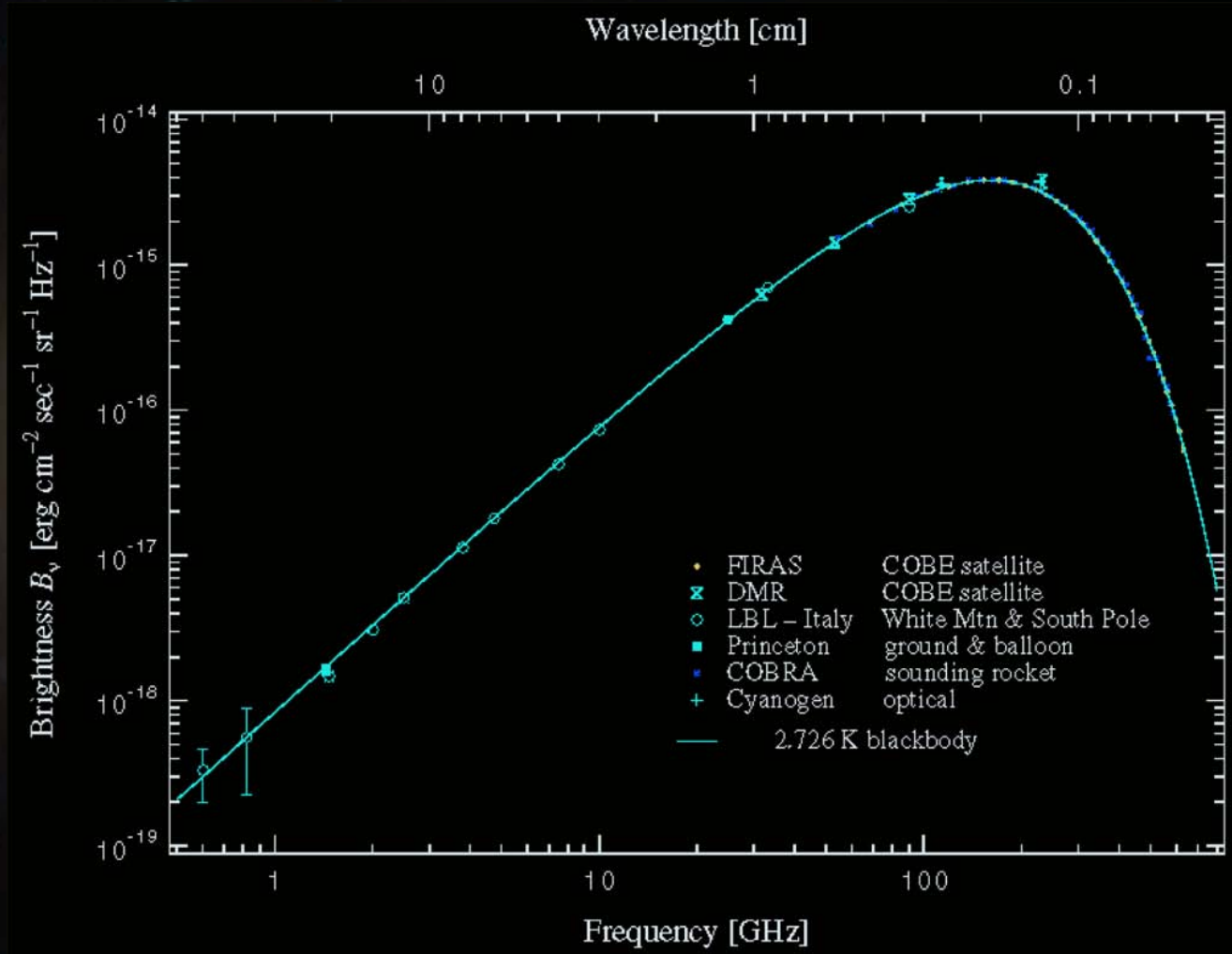
# CMB anisotropy

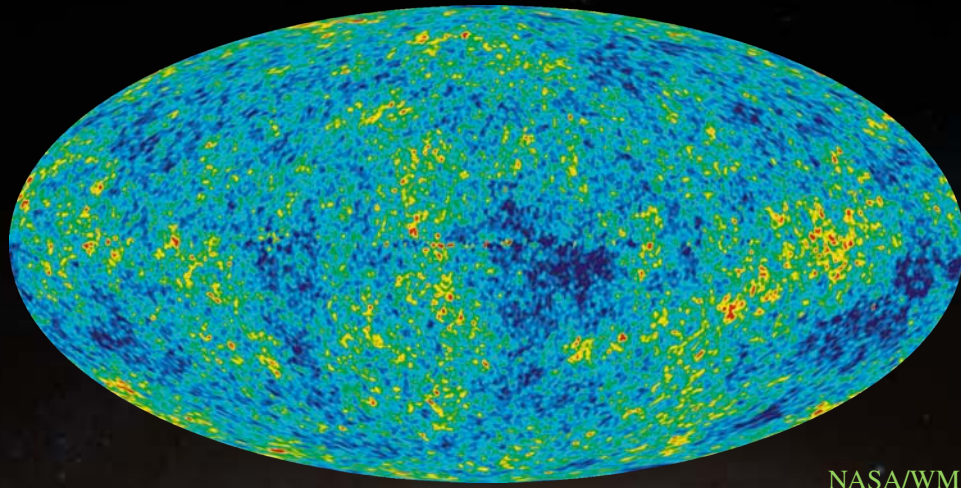
agreement with  
the Planckian  
at  $T=2.725\text{K}$

$$\frac{\delta T}{T} \sim 10^{-5}$$



dynamics of the  
plasma and photon  
at the decoupling





NASA/WMAP project

evolution of  $\delta T(\mathbf{x})$

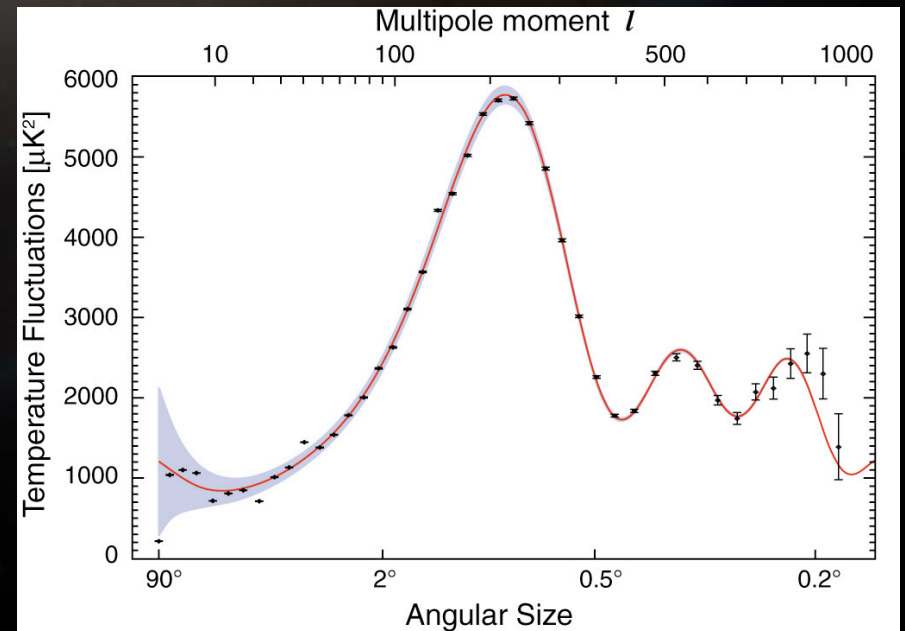
$h, \Omega_m h^2, \Omega_B h^2, \Omega_\Lambda, \dots$   
as parameters

$$H_0 = 100 h \text{ km/s Mpc}^{-1}$$

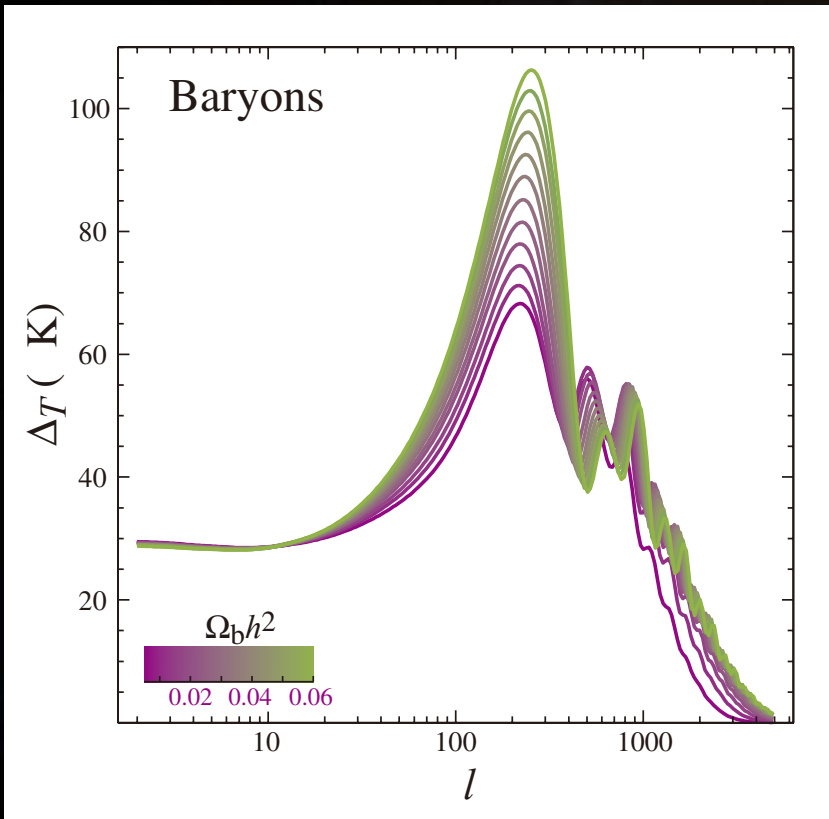
$$\left\langle \frac{\delta T(\mathbf{x})}{T} \frac{\delta T(\mathbf{y})}{T} \right\rangle$$

Fourier trf.

$$C_l \rightarrow l \text{ vs } \sqrt{\frac{l(l+1)C_l}{2\pi}}$$



Fitting the fluctuation spectrum data, we find the values of the cosmological parameters.



$$\Omega_B h^2 = 0.0227 \pm 0.0006 \quad (68\% \text{CL})$$

$$h = 0.72 \pm 0.03$$

$$\Omega_B = \frac{\rho_B}{\rho_C} = \frac{m_p n_B}{\frac{3H_0^2}{8\pi G}}$$

Before the nucleosynthesis ( $T=1\text{ MeV}$ ), we need

$$\frac{n_B}{s} = \frac{n_b - n_{\bar{b}}}{s} = (0.67 - 0.92) \times 10^{-10}$$

What is the origin of this asymmetry ?

★ Initial condition of the Universe      anthropic principle

Huge entropy generated by **Inflation** dilutes the asymmetry.

★ **Baryogenesis**

The Universe begins with B-symmetry and the BAU was generated before the nucleosynthesis.



The BAU should be quantitatively explained by particle physics.



# Requirements for Baryogenesis

[Sakharov, JETP Lett. 5 (1967) 24]

- (1) Baryon number nonconservation
- (2)  $C$  and  $CP$  violation
- (3) out of equilibrium

The condition (1) is obvious.

Without the condition (3), generated BAU is washed out by the inverse process.

# C and CP symmetries

represented by unitary transformations in QFT

## P transformation (space inversion)

$$\phi(t, \mathbf{x}) \mapsto \pm\phi(t, -\mathbf{x})$$

$$A_\mu(t, \mathbf{x}) \mapsto (A_0(t, -\mathbf{x}), -\mathbf{A})(t, -\mathbf{x})$$

$$\psi(t, \mathbf{x}) \mapsto \gamma_0\psi(t, -\mathbf{x}) \quad \psi_L(x) \rightleftharpoons \psi_R(x)$$

## C transformation (charge conjugation)

$$\phi(x) \mapsto \phi^*(x)$$

$$A_\mu(x) \mapsto A_\mu^T(x)$$

$$\psi(x) \mapsto C\bar{\psi}^T(x) = i\gamma^2\gamma^0\bar{\psi}^T(x) \quad \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} \mapsto \begin{pmatrix} i\sigma_2\psi_R^* \\ -i\sigma_2\psi_L^* \end{pmatrix}$$

$$\psi_L \xrightarrow{CP} \psi_L^* \quad \psi_R \xrightarrow{CP} \psi_R^*$$

Without the condition (2),

any BAU cannot be generated starting from B-sym. Universe.

density operator representing  
the state of the Universe

$$\rho(t) = \sum_n p_n |\psi_n(t)\rangle \langle \psi_n(t)|$$

expectation value

$$\langle \mathcal{O} \rangle(t) = \text{Tr} [\rho(t) \mathcal{O}]$$

time evolution

Liouville eq. : 
$$i\hbar \frac{\partial \rho(t)}{\partial t} + [\rho(t), H] = 0$$

initial cond.

$\rho_0$  : B-sym. Universe 
$$\langle n_B \rangle_0 = \text{Tr} [\rho_0 n_B] = 0$$

The solution can be expressed in terms of

$\rho_0$  and  $H$

●  $H$  has  $C$  or  $CP$  sym.  $[\rho(t), C] = 0$  or  $[\rho(t), CP] = 0$

● Baryon number is odd under  $C$  and  $CP$

$$CBC^{-1} = -B, \quad CPBCP^{-1} = -B$$

Starting from a B-symmetric  $\rho_0$ ,

if  $H$  is symmetric under  $C$  or  $CP$ ,

$$\langle n_B \rangle = \text{Tr}[\rho n_B] = \text{Tr}[\rho C n_B C^{-1}] = -\text{Tr}[\rho n_B] = 0$$

$$\langle n_B \rangle = \text{Tr}[\rho n_B] = \text{Tr}[\rho CP n_B CP^{-1}] = -\text{Tr}[\rho n_B] = 0$$

In order to have  $\langle n_B \rangle \neq 0$ ,  
both  $C$  and  $CP$  must be violated.



# The first example of baryogenesis

[Yoshimura, Phys. Rev. Lett. 41(1978)]

## Grand Unified Theories

SM gauge group  $SU(3)_c \times SU(2)_L \times U(1)_Y \subset G_{\text{GUT}}$

quarks and leptons within a single multiplet  $\mathbb{B}, \mathbb{L}$

process	Branch. Ratio	$\Delta B$
$X \longrightarrow qq$	$r$	$2/3$
$X \longrightarrow \bar{q}l$	$1 - r$	$-1/3$
$\bar{X} \longrightarrow \bar{q}\bar{q}$	$\bar{r}$	$-2/3$
$\bar{X} \longrightarrow ql$	$1 - \bar{r}$	$1/3$

by decay of  $X\bar{X}$  pair thermally populated  $\langle \Delta B \rangle = \frac{2}{3}r - \frac{1}{3}(1 - r) - \frac{2}{3}\bar{r} + \frac{1}{3}(1 - \bar{r}) = r - \bar{r}$

C or CP is conserved  $\longrightarrow r = \bar{r} \quad \langle \Delta B \rangle = 0$

$X$  decays at temperature  $T \simeq m_X \simeq 10^{16} \text{ GeV}$

$$\begin{array}{ccc} \text{decay rate} & \longleftrightarrow & \text{expansion rate} \\ \Gamma_D \simeq \alpha m_X \quad (\alpha \sim 1/40) & & H(T \simeq m_X) \\ & & H(T) \simeq 1.66 \sqrt{g_*} \frac{T^2}{m_{\text{P}}} \end{array}$$

Then the pair production and pair annihilation of  $X\bar{X}$  are suppressed.

The  $X$  and  $\bar{X}$  decay into  $qq$  and  $ql$ .

The theory explicitly violates the baryon number cons.

**proton decay**  $p \rightarrow e^+ \pi^0, \nu_e \pi^+, \dots$

$$\tau_p > 10^{31-33} \text{ y}$$

**Kamiokande**

nucleon decay exper.  
neutrino detection exper.

# Scenarios of Baryogenesis

How to satisfy the 3 requirements.

## (1) Baryon number nonconservation

*never* observed so far

**Standard Model**  $\mathcal{L}_{\text{SM}}$  is symmetric under  $U(1)_B \times U(1)_L$

$U(1)_{B+L}$  is violated *nonperturbatively*

effective at finite temperatures, free from proton decay

**GUTs**

**Supersymmetric models**

$\langle \tilde{q} \rangle \neq 0$ ,  $\langle \tilde{l} \rangle \neq 0$  at some time in the early universe

## (2) C and CP violation

**C violation** chiral gauge interactions

$$\bar{u}_L \gamma^\mu d_L W_\mu^+ + \bar{d}_L \gamma^\mu u_L W_\mu^- \quad \left( \frac{1}{6} \bar{u}_L \gamma^\mu u_L + \frac{2}{3} \bar{u}_R \gamma^\mu u_R \right) B_\mu$$

**CP violation**

renormalizable (mass dim.  $\leq 4$ ) operators:

chiral gauge interactions and Yukawa interactions ( $N_f \geq 3$ ),  
scalar trilinear and quartic interactions

Majorana mass term,  $\theta$ -term

$$\mathcal{L}_{CC} = \frac{g_2}{\sqrt{2}} \left[ \bar{u}_{AL} \gamma^\mu V_{AB} d_{BL} W_\mu^+ + \bar{d}_{AL} \gamma^\mu V_{AB}^\dagger u_{BL} W_\mu^- \right]$$

hermitian conjugate

$$\bar{u}_{AL} \gamma^\mu d_{BL} W_\mu^+ \xrightleftharpoons{\text{CP}} \bar{d}_{BL} \gamma^\mu u_{AL} W_\mu^-$$



### (3) Out of equilibrium

B-changing rate

$$\Gamma_{\Delta B \neq 0} < \left\{ \begin{array}{l} H(t) = \frac{\dot{a}(t)}{a(t)} \quad : \text{expansion rate of the Universe} \\ (\text{time scale of variation of some background field})^{-1} \\ \vdots \end{array} \right.$$

GUTs baryogenesis

$$\Gamma_D(X \rightarrow qq, ql) \simeq H(T) \quad \text{at } T \simeq M_{\text{GUT}}$$

# Scenarios of Baryogenesis

scenarios	$\Delta B \neq 0 (\Delta L \neq 0)$	CP violation	out of equil.
<b>GUTs</b>	decay of leptoquarks	decay vertex	$\Gamma_D < H(T)$
<b>Electroweak</b>	$(B + L)$ -anomaly	Yukawa, gauge,...	1st order PT
Leptogenesis	decay of the heavy- $\nu$	decay vertex	$\Gamma_D < H(T)$
Affleck-Dine <sup>(1)</sup>	$\langle \tilde{q} \rangle, \langle \tilde{l} \rangle \neq 0$	scalar potential	moving scalar field
string, DW <sup>(2)</sup>	anomaly	Yukawa, gauge	moving defects
inflationary <sup>(3)</sup>	$\langle \tilde{q} \rangle, \langle \tilde{l} \rangle \neq 0$	scalar potential	(p)reheating

- (1) Affleck and Dine, Nucl. Phys. B249 ('85)  
 Dine, Randall and Thomas, Nucl. Phys. B458 ('96)
- (2) Brandenberger and Davis, Phys. Lett. B308 ('93)  
 Brandenberger, Davis and Trodden, Phys. Lett. B349 ('94)
- (3) KF, Kakuto, Otsuki and Toyoda, Prog. Theor. Phys. 105 ('01)  
 Rangarajan and Nanopoulos, Phys. Rev. D64 ('01)

After the discovery of the **sphaleron solution** in the Standard Model, we come to notice that baryon number can be generated from lepton number.

## sphaleron process

(B+L)-changing process through the *chiral anomaly* at high temperatures

suppressed by  $e^{-8\pi^2/g_2^2} \simeq e^{-164}$  at  $T = 0$

free from the proton decay

What is the sphaleron ?

What is its role in baryon number violation?

# Baryon number violation in electroweak theories

$U(1)_B$  and  $U(1)_L$  invariance of  $\mathcal{L}$

Both  $B$  and  $L$  are conserved **classically**.

The  $U(1)_{B+L}$  is broken by the **chiral anomaly**.

$$\partial_\mu j_{B+L}^\mu = \frac{N_f}{16\pi^2} \left[ g_2^2 \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) - g_1^2 B_{\mu\nu} \tilde{B}^{\mu\nu} \right]$$

$SU(2)_L$   $U(1)_Y$

$$\partial_\mu j_{B-L}^\mu = 0$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - g[A_\mu, A_\nu]$$

$$\tilde{F}^{\mu\nu} = \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$$

$$N_f = \#(\text{generations})$$

$$\begin{aligned}
B(t_f) - B(t_i) &= \frac{N_f}{32\pi^2} \int_{t_i}^{t_f} d^4x \left[ g_2^2 \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) - g_1^2 B_{\mu\nu} \tilde{B}^{\mu\nu} \right] \\
&= N_f [N_{CS}(t_f) - N_{CS}(t_i)]
\end{aligned}$$

Chern-Simon number  $A_0 = 0$

$$N_{CS}(t) = \frac{1}{32\pi^2} \int d^3\mathbf{x} \epsilon_{ijk} \left[ g_2^2 \text{Tr} \left( F_{ij} A_k - \frac{2}{3} g_2 A_i A_j A_k \right) - g_1^2 B_{ij} B_k \right]$$

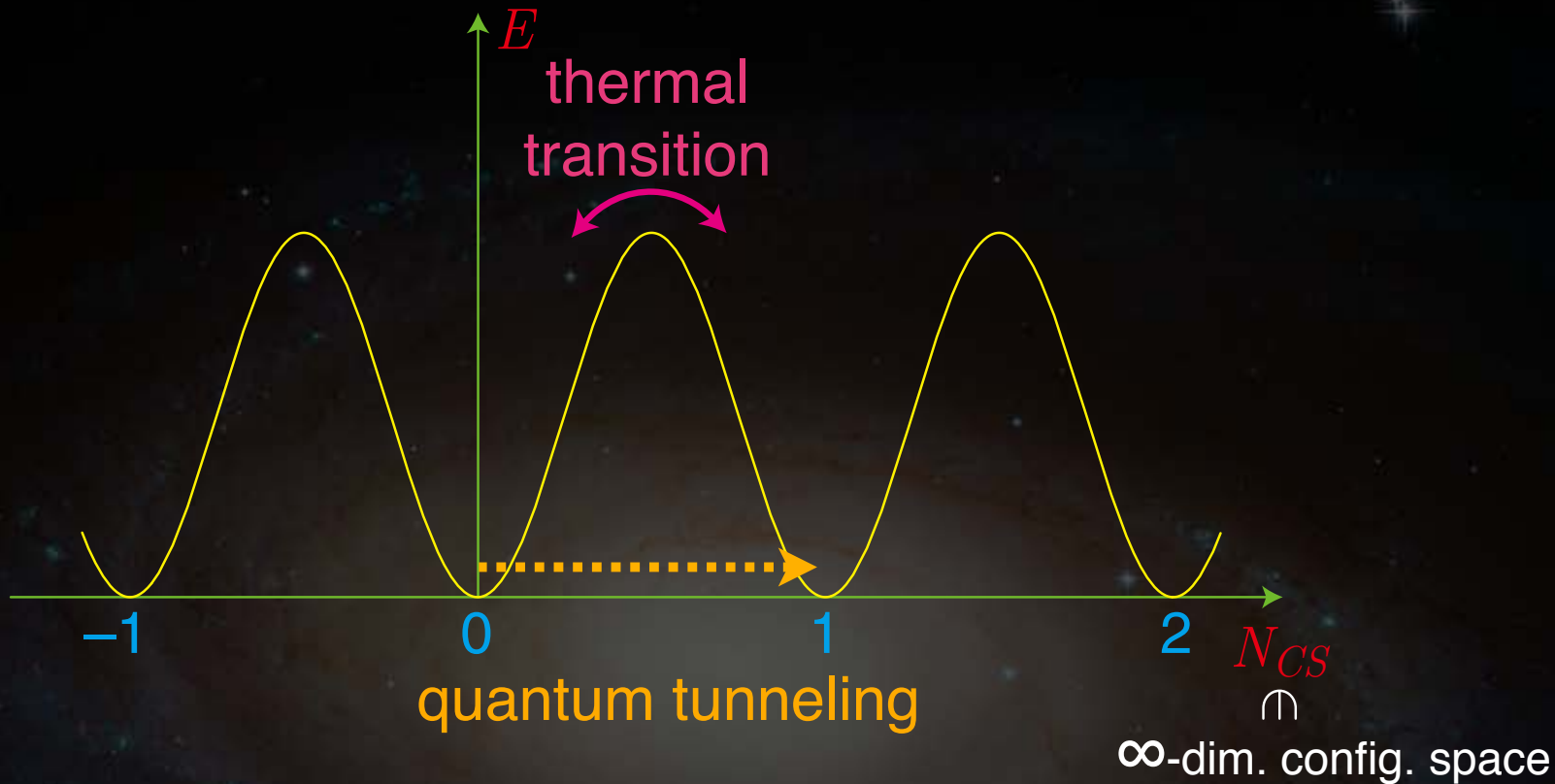
Classical vacua of the gauge fields  $\mathcal{E} = \frac{1}{2}(\mathbf{E}^2 + \mathbf{B}^2) = 0$

$$F_{ij} = B_{ij} = 0 \iff A_i = iU^{-1}(\mathbf{x})\partial_i U(\mathbf{x}), \quad B_i = \partial_i v(\mathbf{x})$$

$$U(\mathbf{x}) : S^3 \rightarrow SU(2) \simeq S^3$$

$\pi_3(S^3) \simeq \mathbf{Z} \longrightarrow U(\mathbf{x})$  is classified by the integer  $N_{CS}$





## (B+L)-changing rate

$T = 0$  tunneling prob.  $\sim e^{-2S_{\text{instanton}}} = e^{-8\pi^2/g_2^2} \simeq e^{-164} \simeq 0$

$T \neq 0$  thermal trans.  $\sim e^{-F(T)/T}$

$F(T) \propto v(T)$   
 expectation value  
 of the Higgs field

The thermal transition rate is determined by  
the **top-of-barrier configuration**.

||

## **sphaleron**

$\sigma\varphi\alpha\lambda\epsilon\rho\varsigma$  = ready to fall

Klinkhamer & Manton, Phys. Rev. D30 ('84)

cf. a-sphalt

- classical static solution to the field theory
- unstable — **one negative mode** in the fluctuation spectrum

4-dim. SU(2) gauge + 1-doublet Higgs Klinkhamer & Manton, Phys. Rev. D30 ('84)

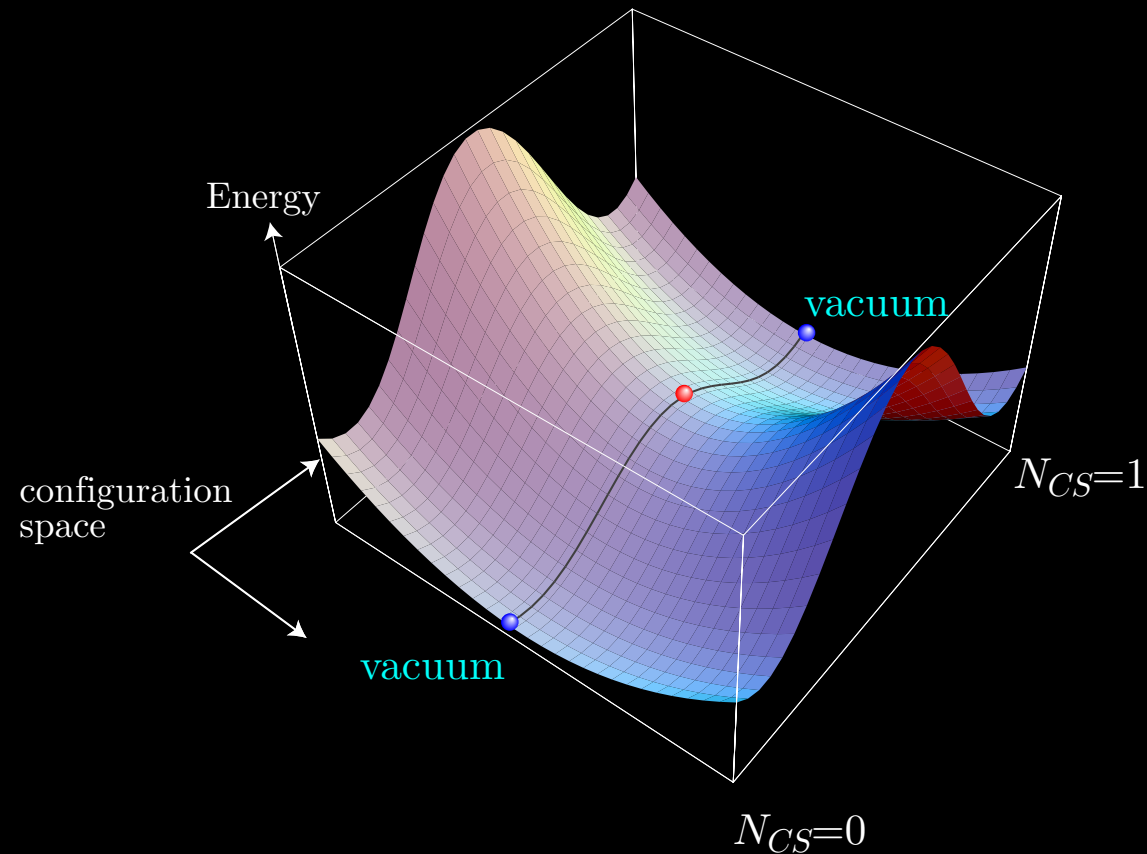
2-dim. U(1) gauge-Higgs model Bocharev & Shaposhnikov, Mod. Phys. Lett. A2 ('87)

2-dim. O(3) nonlinear sigma model Mottola & Wipf, Phys. Rev. D39 ('89)

2-Higgs-Doublet Model Kastening, Peccei and Zhang, Phys. Lett. B266 ('91)

Next-to-MSSM KF, Kakuto, Tao and Toyoda, Prog. Theor. Phys. 114 ('05)

**saddle point** = the max. energy config. along the least energy path



the least-E path/(large) gauge trf. = **noncontractible loop**



spherically symmetric config.

Manton, Phys. Rev. D28 (1983) 2019

# $(B+L)$ -changing rate

(1/volume/time)

4 -dim. SU(2) | Higgs doublet

[Arnold & McLerran, Phys. Rev. D36 ('87)]

## ★ broken phase

$$\Gamma_{\text{sph}}^{(b)}(T) \simeq k \mathcal{N}_{\text{tr}} \mathcal{N}_{\text{rot}} \frac{\omega_-}{2\pi} \left( \frac{\alpha_W(T)T}{4\pi} \right)^3 e^{-E_{\text{sph}}/T}$$

fluctuation zero modes:  $\mathcal{N}_{\text{tr}} = 26$ ,  $\mathcal{N}_{\text{rot}} = 5.3 \times 10^3$  for  $\lambda = g^2$

negative mode:  $\omega_-^2 \simeq (1.8 \sim 6.6)m_W^2$  for  $10^{-2} \leq \lambda/g^2 \leq 10$

$k = O(1)$

## ★ symmetric phase

SU(2) pure gauge system

$$\Gamma_{\text{sph}}^{(s)}(T) \simeq \kappa (\alpha_W T)^4$$

$\kappa = 1.09 \pm 0.04$

[Ambjorn & Krasnitz, Phys. Lett. B362 ('95)]

MC simulation

$$\langle N_{CS}(t)N_{CS}(0) \rangle \sim \langle N_{CS} \rangle^2 + Ae^{-\Gamma V t}$$

# fermion number nonconservation

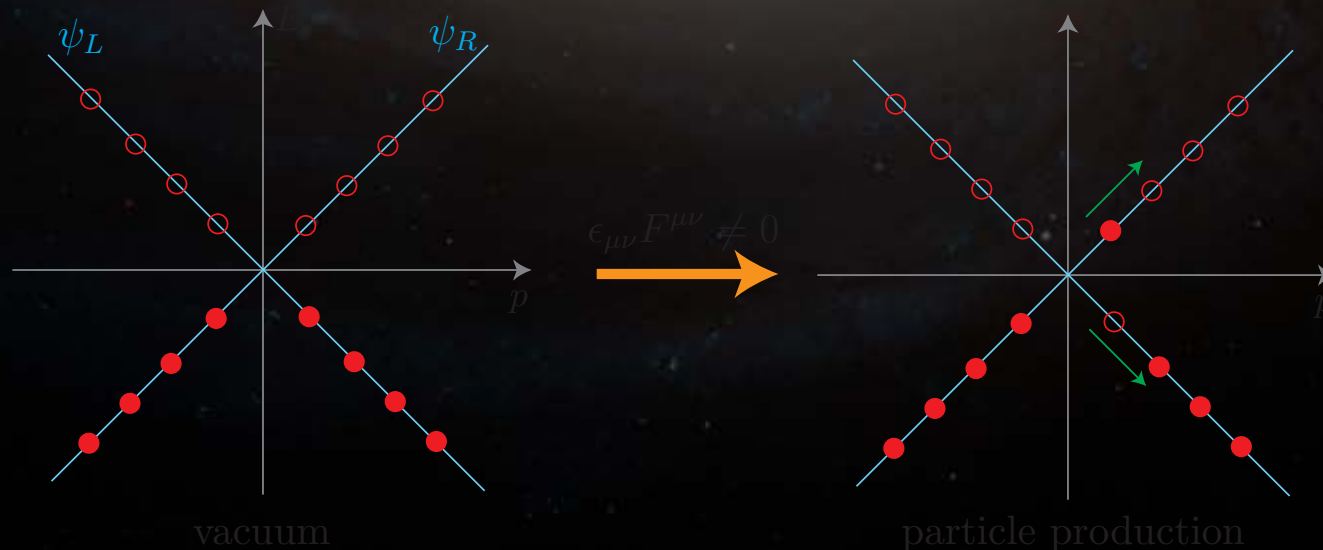
by change of the background bosonic fields

## Atiyah-Singer index theorem

$$n_R - n_L = \nu = \frac{g_2^2}{16\pi^2} \int d^4x \text{Tr} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

Pontrjagin index = instanton number

## spectral flow (level crossing)





# Sphaleron process in equilibrium

Irrespective of the existence of the solution,  
we call the anomalous process as **sphaleron process**.

$$\Gamma_{\text{sph}}(T) > H(T) \longrightarrow \text{B+L washout } B_{\text{final}} \propto (B - L)_{\text{primordial}}$$

Nonvanishing Lepton number before the sphaleron decoupling leads to nonzero Baryon number.

New possibilities of Baryogenesis

e.g. Leptogenesis

# Time scales of various processes at high temperatures

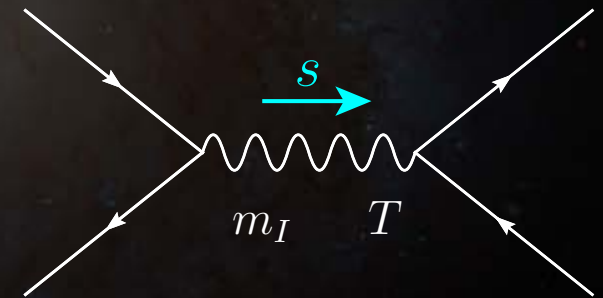
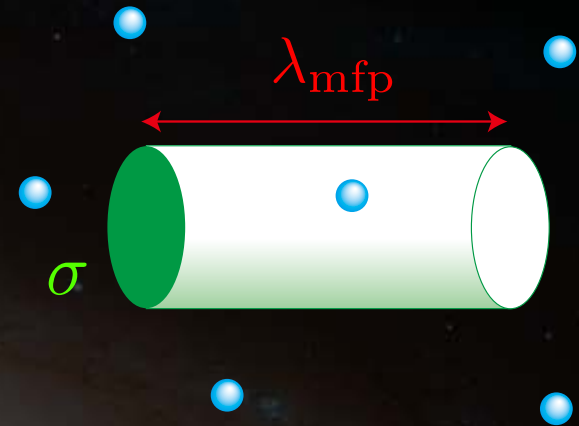
for a relativistic particle  $\bar{t} = \lambda_{\text{mfp}}$

total cross section  $\sigma$

number density  $n(T) \simeq g_{*n} \frac{\zeta(3)}{\pi^2} T^3$

$$\sigma \cdot \lambda_{\text{mfp}} \cdot n(T) = 1$$

$$m_I \ll T \rightarrow \sigma \simeq \frac{\alpha^2}{s} \simeq \frac{\alpha^2}{T^2}$$



$$\bar{t} = \lambda \simeq \frac{10}{g_* T^3} \left( \frac{\alpha^2}{T^2} \right)^{-1} = \frac{10}{g_* \alpha^2 T}$$

$T=100\text{GeV}$

expansion

$$H(T)^{-1} \simeq \frac{m_{\text{Pl}}}{1.66\sqrt{g_*}T^2}$$

$$10^{14}\text{GeV}^{-1}$$

particle interaction

$$\bar{t} \simeq \lambda_{\text{mfp}} = \frac{1}{\sigma n(T)} \simeq \frac{1}{\alpha^2 T}$$

$$1 - 10\text{GeV}^{-1}$$

sphaleron process

$$\bar{t}_{\text{sph}}^{(\text{sym})} \simeq \frac{1}{\alpha_W^4 T}$$

$$10^3\text{GeV}^{-1}$$

$$\bar{t}_{\text{sph}}^{(\text{br})} \simeq \frac{1}{\alpha_W^4 T} e^{E_{\text{sph}}/T}$$

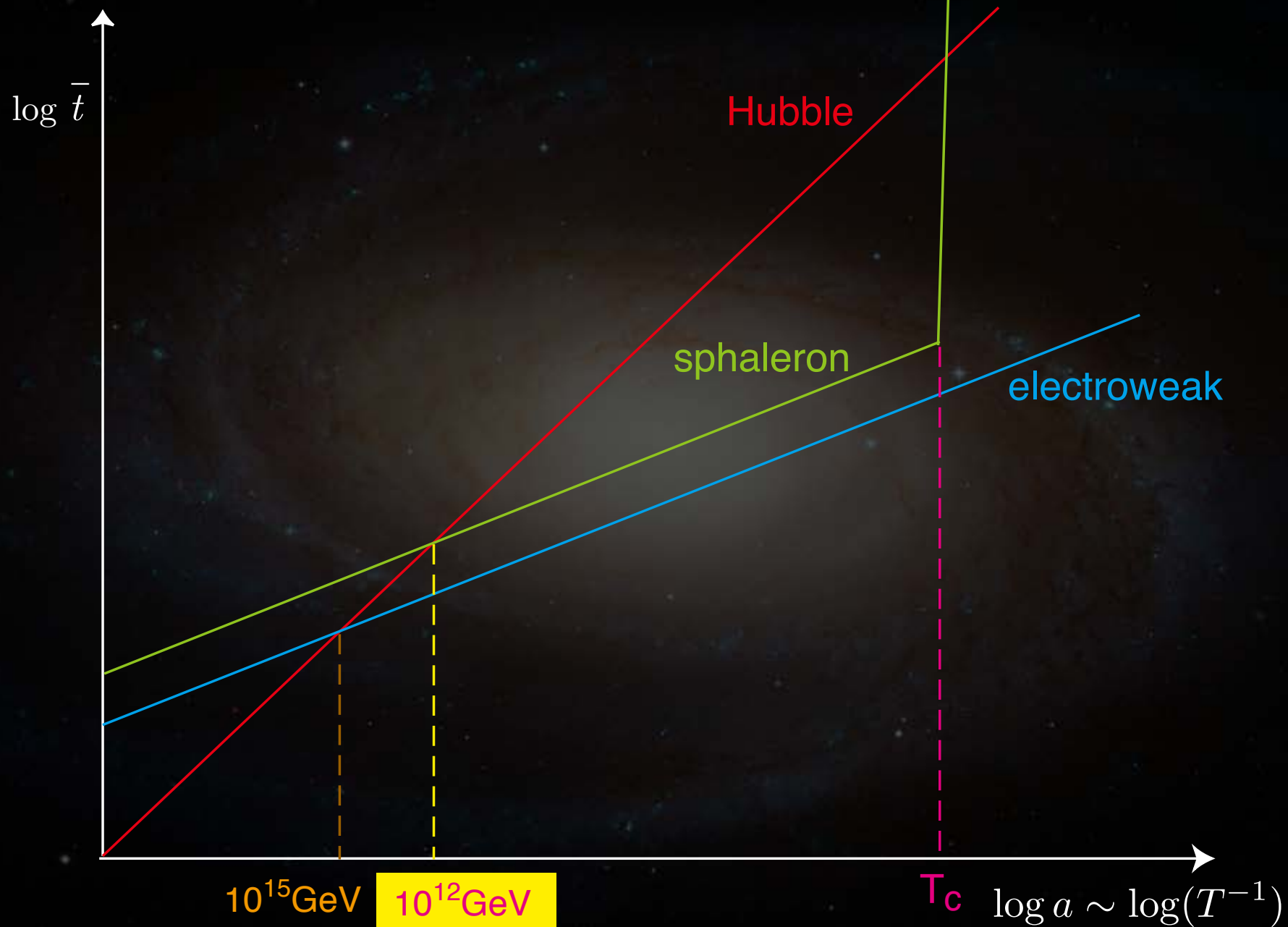
EW symmetric phase

$SU(2) \times U(1)$  restored

$$\bar{t}_{\text{QCD}} < \bar{t}_{\text{EW}} < \bar{t}_{\text{sph}}^{(\text{sym})} \ll H(T)^{-1}$$



All the gauge interactions and sphaleron process  
are in chemical equilibrium.



In order to have nonzero BAU at present,  
either of the followings had to occur.

- (i)  $B-L \neq 0$  exists before the sphaleron process decoupled.
- (ii)  $B+L$  was generated at the electroweak phase transition and the sphaleron process decoupled just after it.

(i)  $\rightarrow$  Leptogenesis, (B-L)-violating GUTs, Affleck-Dine, ...

(ii)  $\rightarrow$  Electroweak Baryogenesis

Can the SM make it possible ?



# Electroweak Baryogenesis

based on the SM and its extension **testable**  
**more constrained**

(1) Baryon number violation **sphaleron process**  
must decouple just after the PT

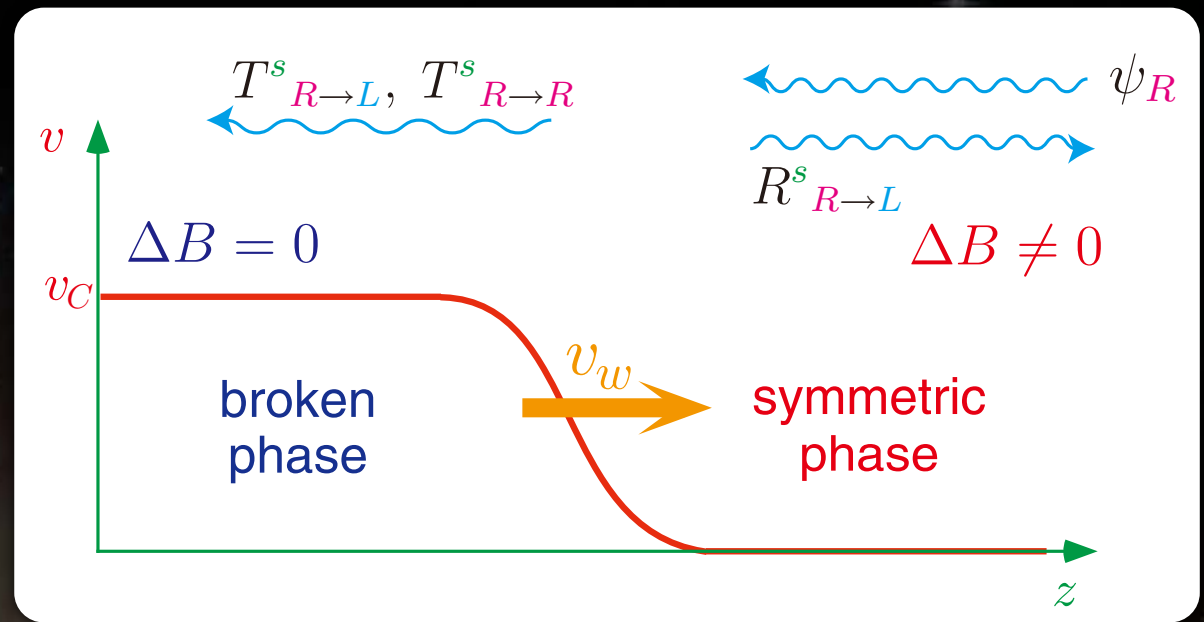
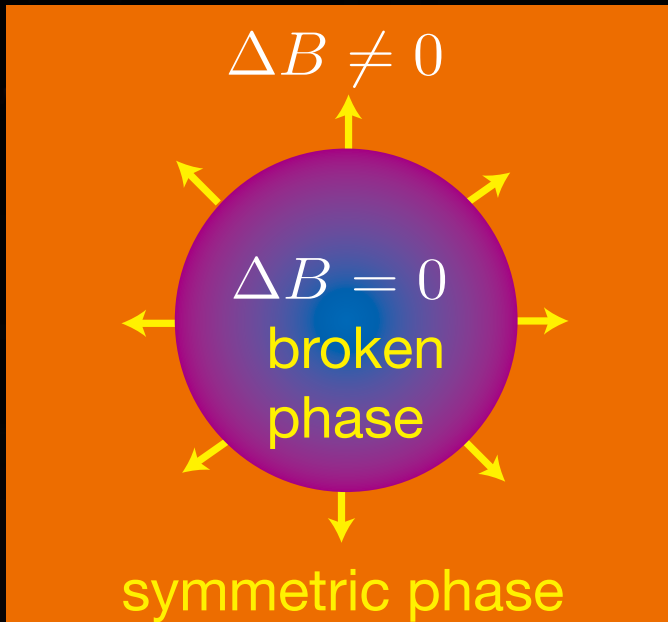
(2) CP violation KM phase is insufficient (**see below**)  
**extension of the SM** SUSY-SM, extra Higgs, ...

(3) Out of equilibrium At  $T=100\text{GeV}$ , one can ignore the expansion.

$$\bar{t}_{EW} = 10\text{GeV}^{-1} < \bar{t}_{sph}^{(sym)} = 10^3\text{GeV}^{-1} \ll H(T)^{-1} = 10^{14}\text{GeV}^{-1}$$

**EW phase transition must be of first order accompanying nucleation and growth of the phase boundaries.**

This also requires some extension of the SM.



particles interact with the **bubble wall** with CP violation

$q, l$

Higgs profile

B-conserving

difference in reflection rate of chiral fermions + bubble wall motion

**chiral charge** flows into the symmetric phase region

$$(Q_L - Q_R)(R^s_{R \rightarrow L} - R^s_{L \rightarrow R})$$

conserved in the sym. phase

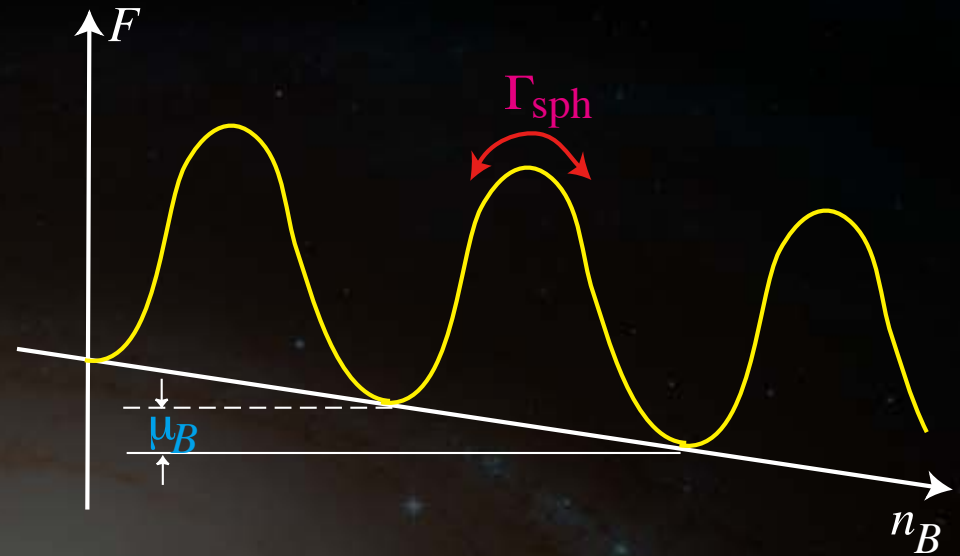
$$Q_Y, I_3$$

bias on the sphaleron process in the sym. phase  $\mu_B \neq 0$



**baryon number generation**

$$\dot{n}_B = -\frac{\mu_B}{T} \Gamma_{\text{sph}}^{(\text{sym})}(T)$$



after the PT, frozen in the broken phase

$$\frac{v(T_C)}{T_C} > 1$$

for detail, see KF, Prog.Theor.Phys. 96 ('96)

and other reviews

Rubakov and Shaposhnikov, Phys. Usp. 39 ('96) 461  
Riotto and Trodden, Ann. Rev. Nucl. Part. Sci. 49 ('99) 35  
Bernreuther, Lect. Notes Phys. 591 ('02) 237

# Electroweak Phase Transition

Spontaneously broken SU(2)xU(1) restores at high temperatures

Standard Model  
order parameter

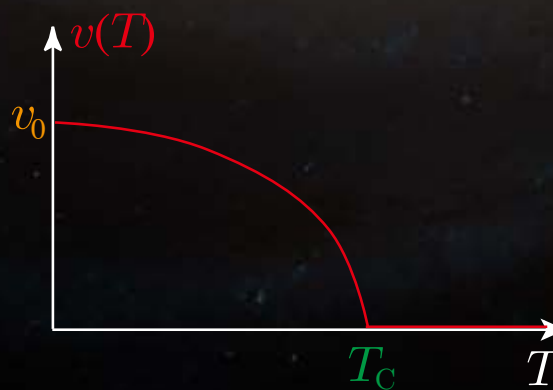
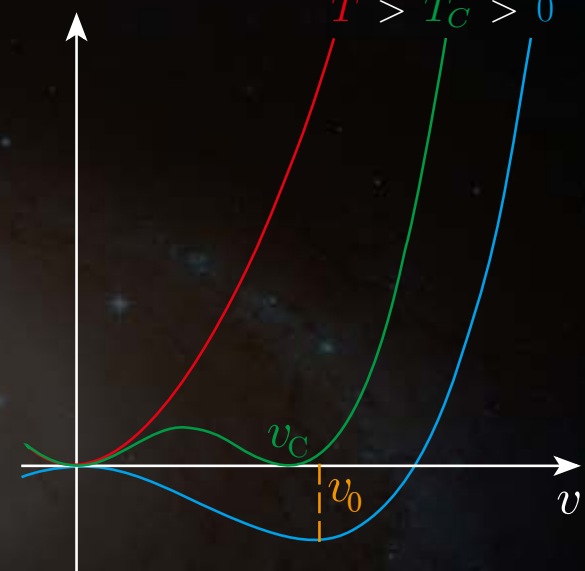
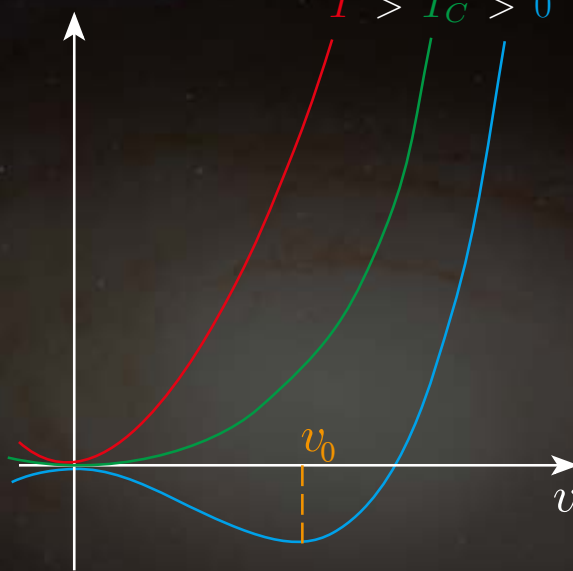
$$\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v(T) \end{pmatrix}$$

$$v_C \equiv \lim_{T \uparrow T_C} v(T) \neq 0$$

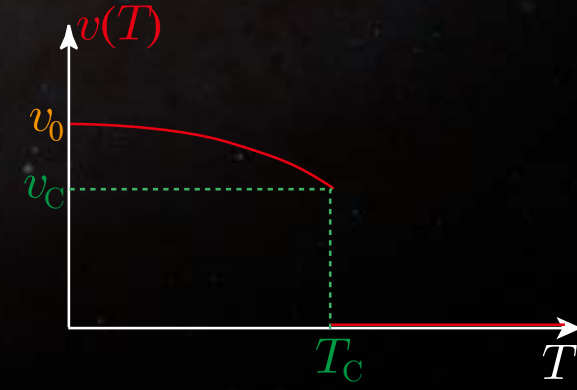


**first order**

$$V_{\text{eff}}(v;T) - V_{\text{eff}}(0;T)$$



2nd order PT



1st order PT

# study by the effective potential (=free energy density)

## Standard Model

$$V_{\text{eff}}(v; T) = -\frac{1}{2}\mu^2 v^2 + \frac{\lambda}{4}v^4 + 2Bv_0^2 v^2 + Bv^4 \left[ \log \left( \frac{v^2}{v_0^2} \right) - \frac{3}{2} \right] + \bar{V}(v; T)$$

$$B = \frac{3}{64\pi^2 v_0^4} (2m_W^4 + m_Z^4 - 4m_t^4)$$

$$\bar{V}(v; T) = \frac{T^4}{2\pi^2} [6I_B(a_W) + 3I_B(a_Z) - 6I_F(a_t)], \quad (a_A = \frac{m_A(v)}{T})$$

$$I_{B,F}(a) \equiv \int_0^\infty dx x^2 \log \left( 1 \mp e^{-\sqrt{x^2+a^2}} \right)$$

infrared unanalyticity

high-T expansion [  $a = m/T \ll 1$  ]

$$I_B(a) = -\frac{\pi^4}{45} + \frac{\pi^2}{12}a^2 - \frac{\pi}{6}(a^2)^{3/2} - \frac{a^4}{16} \log \frac{\sqrt{a^2}}{4\pi} - \frac{a^4}{16} \left( \gamma_E - \frac{3}{4} \right) + O(a^6)$$

$$I_F(a) = \frac{7\pi^4}{360} - \frac{\pi^2}{24}a^2 - \frac{a^4}{16} \log \frac{\sqrt{a^2}}{\pi} - \frac{a^4}{16} \left( \gamma_E - \frac{3}{4} \right) + O(a^6)$$



Assuming  $T > m_W, m_Z, m_t$

$$V_{\text{eff}}(v; T) \simeq D(T^2 - T_0^2)v^2 - ETv^3 + \frac{\lambda_T}{4}v^4$$

$$D = \frac{1}{8v_0^2}(2m_W^2 + m_Z^2 + 2m_t^2), \quad E = \frac{1}{4\pi v_0^3}(2m_W^3 + m_Z^3) \sim 10^{-2}$$

$$\lambda_T = \lambda - \frac{3}{16\pi^2 v_0^4} \left[ 2m_W^4 \log \frac{m_W^2}{\alpha_B T^2} + m_Z^4 \log \frac{m_Z^2}{\alpha_B T^2} - 4m_t^4 \log \frac{m_t^2}{\alpha_F T^2} \right]$$

$$T_0^2 = \frac{1}{2D}(\mu^2 - 4Bv_0^2), \quad \log \alpha_{F(B)} = 2 \log(4)\pi - 2\gamma_E$$

At  $T_C$   $v = 0$  degenerates with  $v_C$   $v_C = \frac{2ET_C}{\lambda_{T_C}}$

$$\Gamma_{\text{sph}}^{(\text{br})} < H(T_C) \iff \frac{v_C}{T_C} \gtrsim 1$$

**sphaleron decoupling condition**

→ upper bound on  $\lambda$   $\xrightarrow{m_h = \sqrt{2}\lambda v_0}$   $m_h < 46\text{GeV}$

# Lattice MC calculation

scalar fields  $\Phi(x)$   $\longrightarrow$  sites

gauge fields  $U_\mu(x) = e^{igA_\mu(x)}$   $\longrightarrow$  links

$$Z(T) = \text{Tr} \left( e^{-H/T} \right) = \int_{\phi(1/T)=\phi(0)} [d\Phi dU_\mu] \exp(-S_E[\Phi, U])$$

## Standard Model

**3-dim. system** high-T limit [Laine & Rummukainen, hep-lat/9809045]

**4-dim.** 1st order PT at  $m_h < 66.5 \pm 1.4 \text{ GeV}$  [Csikor, hep-lat/9910354]

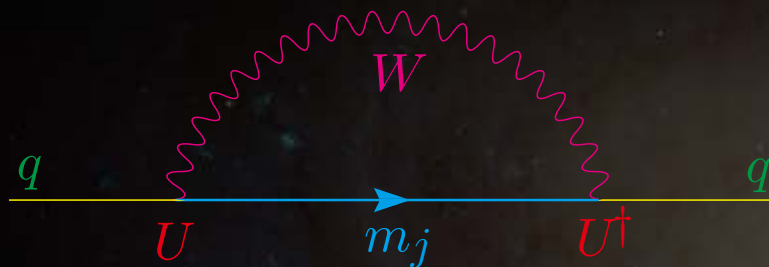
both find the **end point** of PT at

$m_h = 72.3 \pm 0.7 \text{ GeV}$   
 $m_h = 72.1 \pm 1.4 \text{ GeV}$

# Can the SM generate the BAU ?

★  $m_h > 114\text{GeV}$   $\longrightarrow$  no phase boundary out of ~~equil.~~

★ viable CP violation is the **KM phase**



$$m_i \neq m_j$$

$\longrightarrow$   $O(\alpha_W)$  CP viol. in the dispersion

[Farrar and Shaposhnikov, Phys. Rev. D50 ('94)]

It is too small, since it is a one-loop effect.

decoherence by **QCD correction** and **multiple scattering** with the wall

[Gavela, et al., Nucl. Phys. B430 ('94)]

[Huet and Sather, Phys. Rev. D51 ('95)]

$$\longrightarrow \left| \frac{n_B}{s} \right| < 10^{-26}$$

Extensions of the SM are needed for

**1st order EWPT** and **CP violation**

# for 1st order EWPT

• from **bosonic loops**  $V_{\text{eff}}(v; T) \sim -T (m(v)^2)^{3/2}$

bosons interacting with the Higgs  $m(v)^2 \sim g^2 v^2$  (for  $v \sim 0$ )

extra Higgs in the 2HDM, sferminos in SUSY-SM

$$m(v)^2 = m_0^2 + g^2 v^2 \quad (m_0^2 \ll g^2 v_0^2)$$

e.g. **MSSM**  $m_{H^\pm} > 200\text{GeV} \longrightarrow$  **SM-like EWPT**

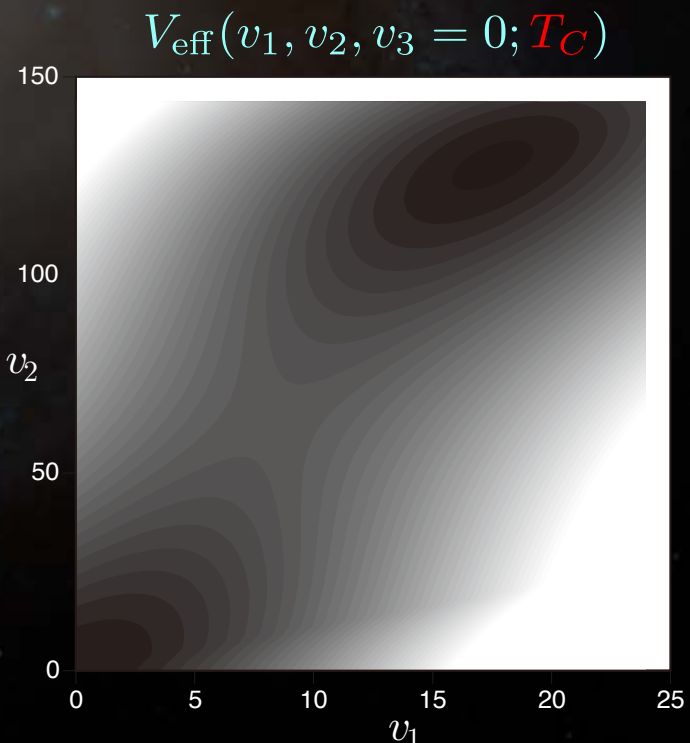
light Higgs, light stop

$$m_h < 105\text{GeV}, m_{\tilde{t}_1} < m_t$$

$$\longrightarrow v_C/T_C > 1$$

• new type of PTs in a mode with a **singlet scalar**

**NMSSM** [KF, Tao and Toyoda, PTP 114 ('05)]



# complex parameters for CP violation

- ★ scalar self-interaction

$\lambda_{6,7}$  in 2HDM;  $\mu B, A$  in the MSSM

- ★ complex Majorana mass

gaugino mass,  $\mu$  in the MSSM

- ★ expectation values of complex scalar fields

relative phase of the expectation values  
in the neighborhood of the bubble wall

some combinations of these phase are physical

$\text{Im}(\mu M_2), \text{Im}(\mu A_t), \dots$

constrained by experiments: EDM, decay asym., etc.

**With these extensions,  
successful models can be constructed.**

**MSSM** a light stop is necessary

non-SM like EWPT requires light Higgs bosons

decoupling limit  $\longrightarrow$  SM-like EWPT

**2HDM**

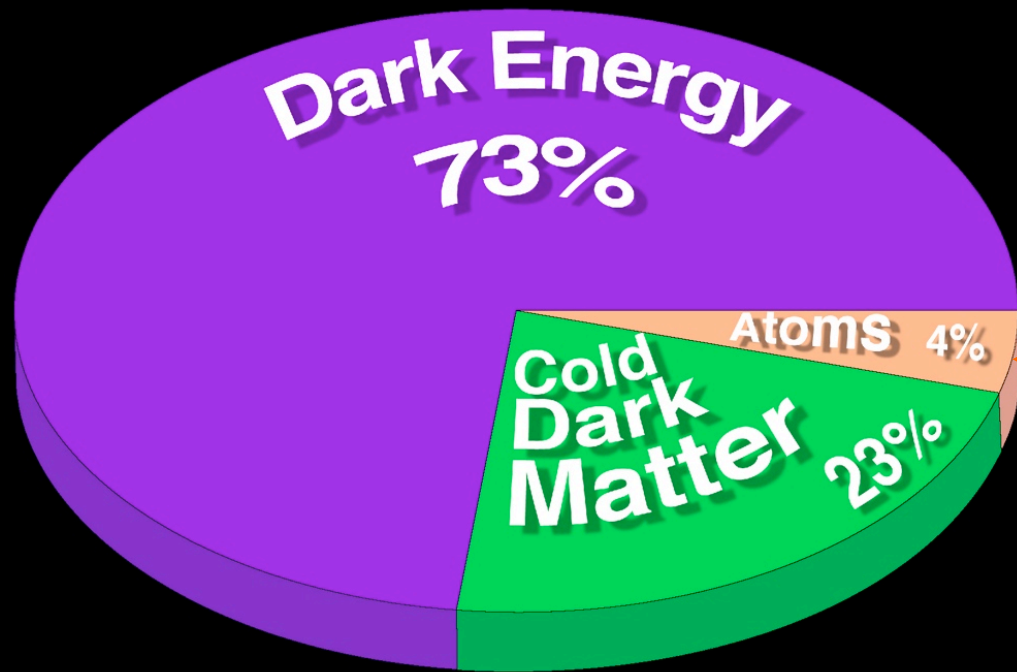
**NMSSM** still broad parameter space is available

**etc.**



# Concluding remarks

- ★ The existence of the BAU is obvious and its value is definite.
- ★ The Standard Model cannot explain the origin of the BAU.
- ★ Some extensions of the SM could generate the BAU at the EW scale.
- ★ Leptogenesis, Affleck-Dine mechanism and GUTs baryogenesis are still candidates for the origin, but it's difficult to check whether one of them did generate the BAU.



We've discussed this tiny portion.

A discovery of CP violation beyond the KM phase is an essential key to the origin of matter in the Universe.