

Electroweak and Inflationary Baryogenesis

Koichi Funakubo, Saga U.

May 7, 2001
at Univ. of Tokyo

reviews

K.F., Prog. Theor. Phys. **96** ('96) 475

V.A. Rubakov and M.E. Shaposhnikov, hep-ph/9603208

A.Cohen, et al., Ann.Rev.Nucl.Part.Sci. **33** ('93) 27

Introduction

Baryon Asymmetry of the Universe

$$\frac{n_B}{s} \equiv \frac{n_b - n_{\bar{b}}}{s} = (1.7 - 8.1) \times 10^{-11}$$

We attempt to explain this quantity, assuming that the Universe was *B-symmetric* at the beginning.

3 requirements for generation of BAU

[Sakharov, '67]

- (1) baryon number violation
- (2) *C* and *CP* violation
- (3) departure from equilibrium

candidates:

- (1) GUTs, SUSY ($\langle \tilde{q} \rangle \neq 0$, *R*-viol.) — constraint by p-decay axial anomaly of $(B + L)$ current in the SM
- (2) Yukawa int. (\sim chiral gauge int.), scalar self-int., θ -term

1st example satisfying these conditions:

GUTs — decay of heavy X bosons

[Yoshimura, '78]

$$\Gamma_X \simeq H(T_{\text{GUT}})$$

Sphaleron process

“sphaleron” : classical static solution with **one negative mode**
 — $SU(2)$ gauge-Higgs system

[Manton, '83; Klinkhammer & Manton, '84]



top of the energy barrier dividing two classical **vacua**

vacua of the gauge sector $\Leftrightarrow A = iU^{-1}dU$ with $U \in SU(2)$

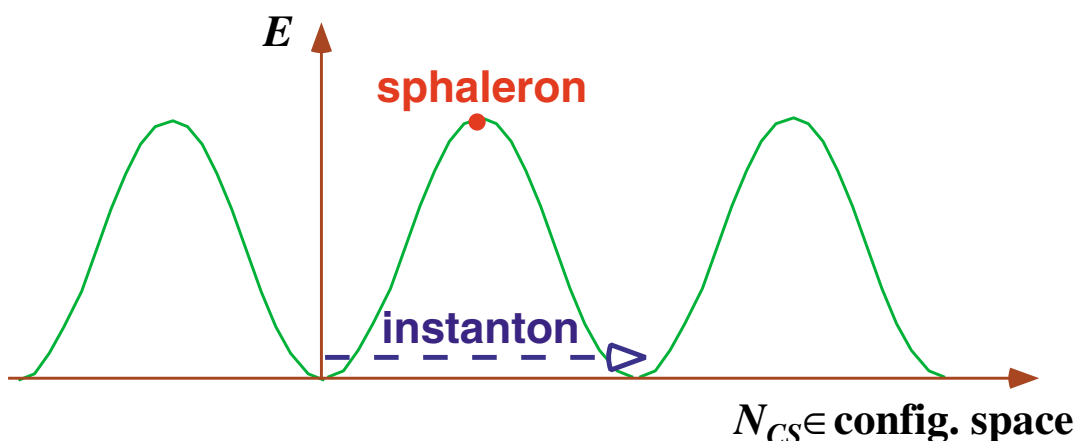
$$\partial_\mu j_{B+L}^\mu = \frac{N_f}{16\pi^2} g^2 \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}), \quad \partial_\mu j_{B-L}^\mu = 0$$

$$\begin{aligned} B(t_f) - B(t_i) &= \frac{N_f g^2}{32\pi^2} \int_{t_i}^{t_f} d^4x \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) \\ &= N_f [N_{CS}(t_f) - N_{CS}(t_i)] \end{aligned}$$

where N_{CS} is the **Chern-Simons number**:

in the $A_0 = 0$ gauge,

$$N_{CS}(t) = \frac{g^2}{32\pi^2} \int d^3x \epsilon_{ijk} \text{Tr} \left(F_{ij} A_k - \frac{2}{3} g A_i A_j A_k \right) \Big|_t$$



$$E_{\text{sph}}(T = 0) = \frac{2M_W}{\alpha_W} B \left(\frac{\lambda}{g^2} \right) \simeq 10 \text{TeV}$$

λ : the Higgs self coupling, $\alpha_W = g^2/(4\pi)$
 $1.5 \leq B \leq 2.7$ for $\lambda/g^2 \in [0, \infty)$

★ Transition rate

- $T = 0$ — (constrained or valley) instanton

$$\propto e^{-2S_{\text{inst}}} \simeq e^{-378} = 10^{-164}$$

- $\omega_-/(2\pi) \lesssim T \lesssim T_C$ ω_- : negative-mode freq.

$$\Gamma_{\text{sph}}^{(b)} \simeq k \mathcal{N}_{\text{tr}} \mathcal{N}_{\text{rot}} \frac{\omega_-}{2\pi} \left(\frac{\alpha_W(T)T}{4\pi} \right)^3 e^{-E_{\text{sph}}/T}$$

zero modes: $\mathcal{N}_{\text{tr}} = 26$, $\mathcal{N}_{\text{rot}} = 5.3 \times 10^3$ for $\lambda = g^2$
 $\omega_-^2 \simeq (1.8 \sim 6.6)m_W^2$ for $10^{-2} \leq \lambda/g^2 \leq 10$
 $k \simeq O(1)$

- $T \gtrsim T_C$ symmetric phase — no mass scale

$$\Gamma_{\text{sph}}^{(s)} \simeq \kappa (\alpha_W T)^4$$

Monte Carlo simulation $\langle N_{CS}^2(t) \rangle = e^{-2\Gamma_V t}$ as $t \rightarrow \infty$

$\kappa > 0.4$ $SU(2)$ gauge-Higgs system
[Ambjørn, et al. N.P.B353('91)]

$\kappa = 1.09 \pm 0.04$ $SU(2)$ pure gauge system
[Ambjørn and Krasnitz, P.L.B362('95)]

Washout of $B + L$

if the sphaleron process is **in equilibrium**,

$$B \text{ and } L \propto (B - L)_{\text{primordial}}$$

To have **nonzero BAU**,

- (i) we must have nonzero $B - L$ before the sphaleron process decouples, or
- (ii) $B + L$ must be created at the first-order EWPT, *and* the sphaleron process must decouple immediately after that.

(i) \Leftarrow GUTs, Affleck-Dine, Leptogenesis, ...

(ii) $=$ Electroweak Baryogenesis

Electroweak Baryogenesis

realization of **non-equilibrium** state

$$\Gamma_{\text{gauge}}, \Gamma_{\text{Yukawa}}, \Gamma_{\text{sph}}^{(s)} \gg H(T \simeq 100\text{GeV})$$

$$\Gamma_{\text{sph}}^{(b)} < H(T \simeq 100\text{GeV}),$$

if $\langle \text{Higgs} \rangle$ is large enough in the broken phase

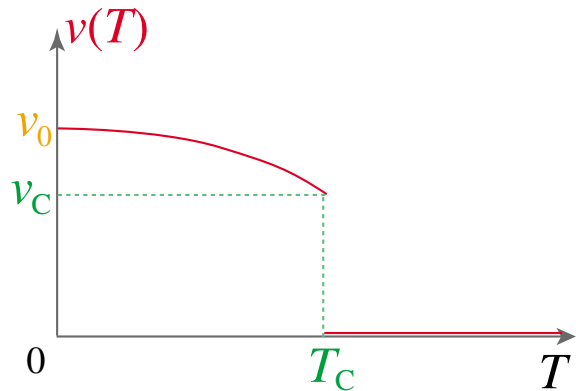


first-order electroweak phase transition (EWPT)

minimal SM

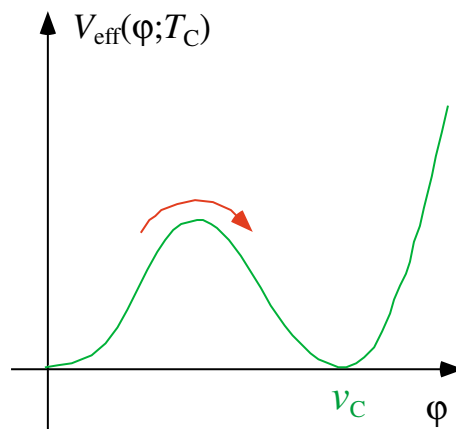
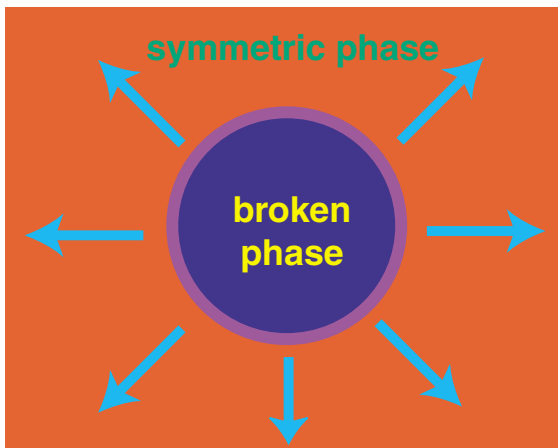
$$\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \varphi \end{pmatrix}$$

$$v_C \equiv \lim_{T \uparrow T_C} \varphi(T) \neq 0$$

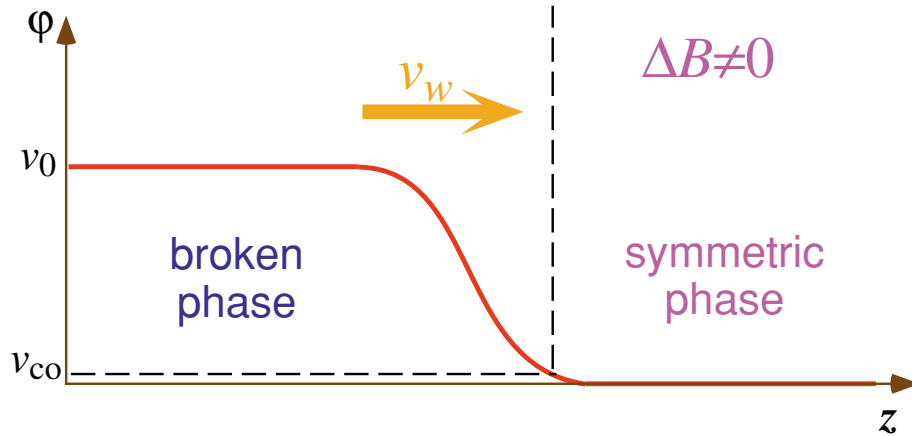


$$\text{sphaleron decoupling at } T < T_C \implies \frac{v_C}{T_C} > 1$$

with **bubble nucleation/growth**



★ Mechanism



$$v_{co} \simeq 0.01v_0 \iff E_{\text{sph}}/T_C \simeq 1$$

bubble wall \iff classical config. of the gauge-Higgs system

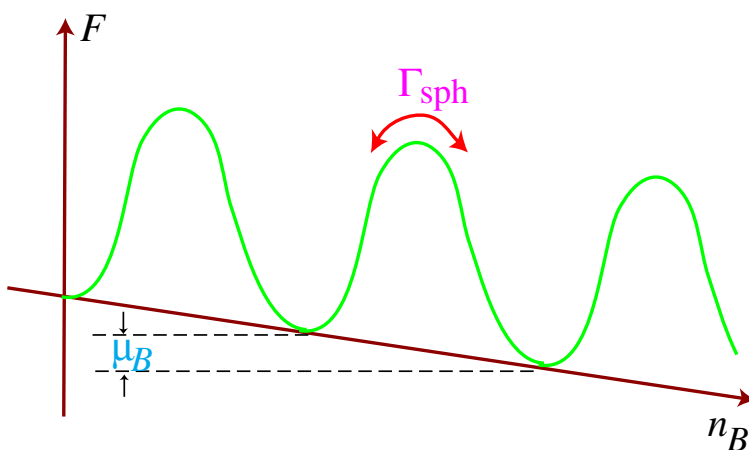
- interactions between the particles and the bubble wall
- accumulation of chiral charge in the **symmetric phase**



generation of baryon number through **sphaleron process**



decoupling of sphaleron process in the broken phase



$$\dot{n}_B \simeq -\frac{\mu_B \Gamma_{\text{sph}}^{(s)}}{T}$$

$$\mu_B \propto \text{chiral charge } (Y, I_3)$$

$$\frac{n_B}{s} \simeq \mathcal{N} \frac{100}{\pi^2 g_*} \cdot \kappa \alpha_W^4 \cdot \frac{F_Y}{v_w T^3} \cdot \tau T$$

e.g. finite-T perturbation theory (+ high-T expansion)

$$V_{\text{eff}}(\varphi; T) \simeq D(T^2 - T_0^2)\varphi^2 - E T \varphi^3 + \frac{\lambda_T}{4}\varphi^4$$

where

$$D = \frac{1}{8v_0^2}(2m_W^2 + m_Z^2 + 2m_t^2)$$

$$E = \frac{1}{4\pi v_0^3}(2m_W^3 + m_Z^3) \sim 10^{-2}$$

$$T_0^2 = \frac{1}{2D}(\mu^2 - 4Bv_0^2)$$

$$\lambda_T = \lambda$$

$$- \frac{3}{16\pi^2 v_0^4} \left[2m_W^4 \log \frac{m_W^2}{\alpha_B T^2} + m_Z^4 \log \frac{m_Z^2}{\alpha_B T^2} - 4m_t^4 \log \frac{m_t^2}{\alpha_F T^2} \right]$$

with $\log \alpha_B = 2 \log 4\pi - 2\gamma_E$ and $\log \alpha_F = 2 \log \pi - 2\gamma_E$

$$\text{At } T_C, \quad \varphi_C = \frac{2E T_C}{\lambda_{T_C}}$$

$$\Gamma_{\text{sph}}^{(b)}/T_C^3 < H(T_C) \iff \frac{\varphi_C}{T_C} \gtrsim 1$$

\implies upper bound on λ

$$[m_h = \sqrt{2}\lambda v_0]$$

$$m_h \lesssim 46 \text{ GeV}$$

\longleftrightarrow inconsistent with the lower bound $m_h > 95.3 \text{ GeV}$
 107.7 GeV (LEP)

★ Monte Carlo simulations

[MSM]

effective fermion mass : $m_f(T) \sim O(T) \leftarrow$ nonzero modes

\therefore simulation **only with the bosons**

QFT on the lattice $\left\{ \begin{array}{l} \text{scalar fields: } \phi(x) \text{ on the sites} \\ \text{gauge fields: } U_\mu(x) \text{ on the links} \end{array} \right.$

$$Z = \int [d\phi dU_\mu] \exp \{ -S_E[\phi, U_\mu] \}$$

- **3-dim.** $SU(2)$ system with a Higgs doublet and a triplet



time-component of the gauge field

only zero-freq. modes of the bosons survive as $T \rightarrow$ large

matching finite- T Green's functions with 4-dim. theory

$\Rightarrow T$ -dependent parameters

[Laine & Rummukainen, hep-lat/9809045]

- **4-dim.** $SU(2)$ system with a Higgs doublet

[Csikor, hep-lat/9910354]

EWPT is first order for $m_h < 66.5 \pm 1.4 \text{ GeV}$

Both the simulations found end-point of EWPT at

$$m_h = \left\{ \begin{array}{l} 72.3 \pm 0.7 \text{ GeV} \\ 72.1 \pm 1.4 \text{ GeV} \end{array} \right. \Rightarrow \boxed{\text{no PT in the MSM !}}$$

an extension of the SM — MSSM

$$W = \epsilon_{ij} \left(f_{AB}^{(e)} H_d^i L_A^j E_B + f_{AB}^{(d)} H_d^i Q_A^j D_B - f_{AB}^{(u)} H_u^i Q_A^j U_B - \mu H_d^i H_u^j \right)$$

- more scalar fields \Rightarrow $\left\{ \begin{array}{l} \text{stronger (first-order) PT} \\ \text{3-dim. order-parameter space} \end{array} \right.$
- many complex parameters \Rightarrow explicit CP violation
 $\mu, A, B, \text{ gaugino masses}$
- two Higgs doublets \Rightarrow possibility of spontaneous CP viol.

★ Sphaleron

- 2-doublet Higgs model [Peccei, Zhang, Kastening, PLB '91]
- squarks vs sphaleron [Moreno, Oakini, Quirós, PLB '97]

★ Electroweak phase transition

3 order parameters:

$$\varphi_d = \frac{1}{\sqrt{2}} \begin{pmatrix} v_1 \\ 0 \end{pmatrix}, \quad \varphi_u = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_2 + i v_3 \end{pmatrix}$$

Higgs potential $\Leftarrow V_D$ & $\mathcal{L}_{\text{soft}}$

$$V_0 = m_1^2 \Phi_d^\dagger \Phi_d + m_2^2 \Phi_u^\dagger \Phi_u + (m_3^2 \Phi_u \Phi_d + \text{h.c.}) \\ + \frac{g_2^2 + g_1^2}{8} (\Phi_d^\dagger \Phi_d - \Phi_u^\dagger \Phi_u)^2 + \frac{g_2^2}{2} (\Phi_d^\dagger \Phi_d) (\Phi_u^\dagger \Phi_u)$$

\Rightarrow The tree-level mass relations

$$m_h^2 \leq \min \{ m_Z^2, m_A^2 \}, \quad m_A^2 = \frac{m_3^2}{\sin \beta_0 \cos \beta_0}$$

are modified by radiative corrections. [Okada et al. PLB '91]

$$\text{LEP-Higgs: } m_h \geq 88.3 \text{ GeV}, m_H \geq 107.7 \text{ GeV} \\ m_A \geq 88.4 \text{ GeV}$$

★ EWPT in the MSSM

One-loop effective potential [K.F., hep-ph/9809517 (PTP '99)]

input:

$$v_0 = |\mathbf{v}| = 246 \text{ GeV}, \quad \tan \beta = \frac{\sqrt{v_2^2 + v_3^2}}{v_1} \\ \longrightarrow y_t = \sqrt{2} m_t / (v_0 \sin \beta)$$

$M_1, M_2, m_{\tilde{t}_L}^2, m_{\tilde{t}_R}^2, m_3^2$: soft-SUSY-br. parameters

$$m_1^2, m_2^2 \Leftarrow \left. \frac{\partial V_{\text{eff}}}{\partial v_1} \right|_{\mathbf{v}} = 0, \quad \left. \frac{\partial V_{\text{eff}}}{\partial v_2} \right|_{\mathbf{v}} = 0$$

N.B.

- stop mass-squared matrix :

$$\begin{pmatrix} m_{\tilde{t}_L}^2 + \left(\frac{g_1^2}{24} - \frac{g_2^2}{8} \right) (v_u^2 - v_d^2) + \frac{y_t^2}{2} v_u^2 & \frac{y_t}{\sqrt{2}} (\mu v_d + A(v_2 - i v_3)) \\ * & m_{\tilde{t}_R}^2 - \frac{g_1^2}{6} (v_u^2 - v_d^2) + \frac{y_t^2}{2} v_u^2 \end{pmatrix}$$

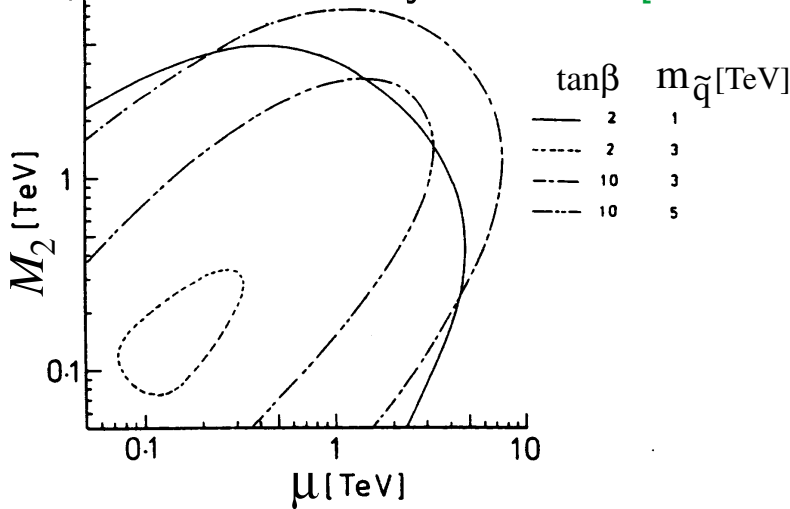
$$m_{\tilde{t}_L}^2 = 0 \text{ or } m_{\tilde{t}_R}^2 = 0 \implies \text{smaller eigenvalue: } m_{\tilde{t}_1}^2 \sim O(v^2)$$

\therefore high- T expansion

$$\bar{V}_{\tilde{t}}(\mathbf{v}; T) \implies -\frac{T}{6\pi} (m_-^2)^{3/2}$$

\longrightarrow stronger 1st order PT

- bounds on CP viol. by nEDM [Kizukuri & Oshimo, PRD '92]



$$\theta + \delta_\mu + \delta_2 = \pi/4$$

$$\text{Arg} A = \pi/4$$

inside is excluded

FIG. 4. The parameter regions compatible with the present experimental upper bound on the neutron EDM.

- $\theta + \delta_\mu + \delta_2 = O(1) \implies m_{\tilde{q}}, m_{\tilde{l}} \gtrsim 10\text{TeV}$
- $m_{\tilde{q}}, m_{\tilde{l}} \lesssim 1\text{TeV} \implies \theta + \delta_\mu + \delta_2 \lesssim 10^{-3}$

output:

masses of the neutral Higgs scalars

← eigenvalues of $\left. \frac{\partial^2 V_{\text{eff}}(\mathbf{v}; T = 0)}{\partial v_i \partial v_j} \right|_{\mathbf{v}}$

$$m_{\tilde{t}_{1,2}}, m_{\chi_{1,2}^{\pm}}, m_{\chi_{1-4}^0}$$

$$m_{\tilde{t}_1} > 86.4 \text{ GeV},$$

$$m_{\chi_1^0} > 32.5 \text{ GeV}, m_{\chi_1^{\pm}} > 67.7 \text{ GeV for } \tan \beta > 0.7$$

when \exists explicit CP violation $(\mu, M_2, M_1, A_t \in \mathbf{C})$

$\theta =$ relative phase of the 2 Higgs $= \text{Arg}(v_2 + iv_3)$

$T \neq 0$

$$v(T) = |\mathbf{v}(T)|, \tan \beta(T), \theta(T)$$

T_C : transition temperature

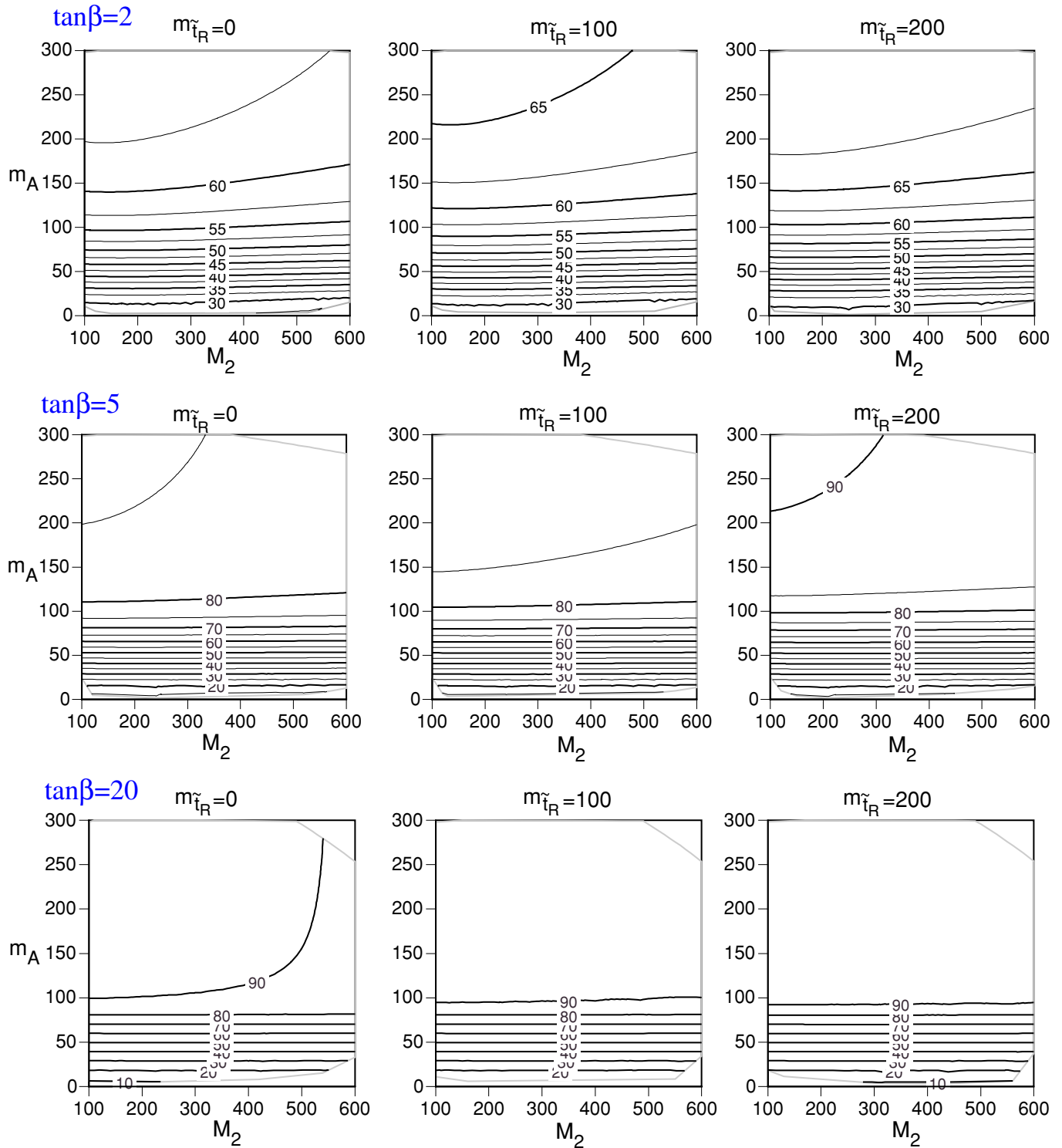
by numerically searching for the min. of V_{eff}

numerical results $M_2 = M_1$

$m_t = 175 \text{ GeV}$ $m_{\tilde{t}_L} = 400 \text{ GeV}$ $\mu = -300 \text{ GeV}$ $A_t = 10 \text{ GeV}$

without CP violation

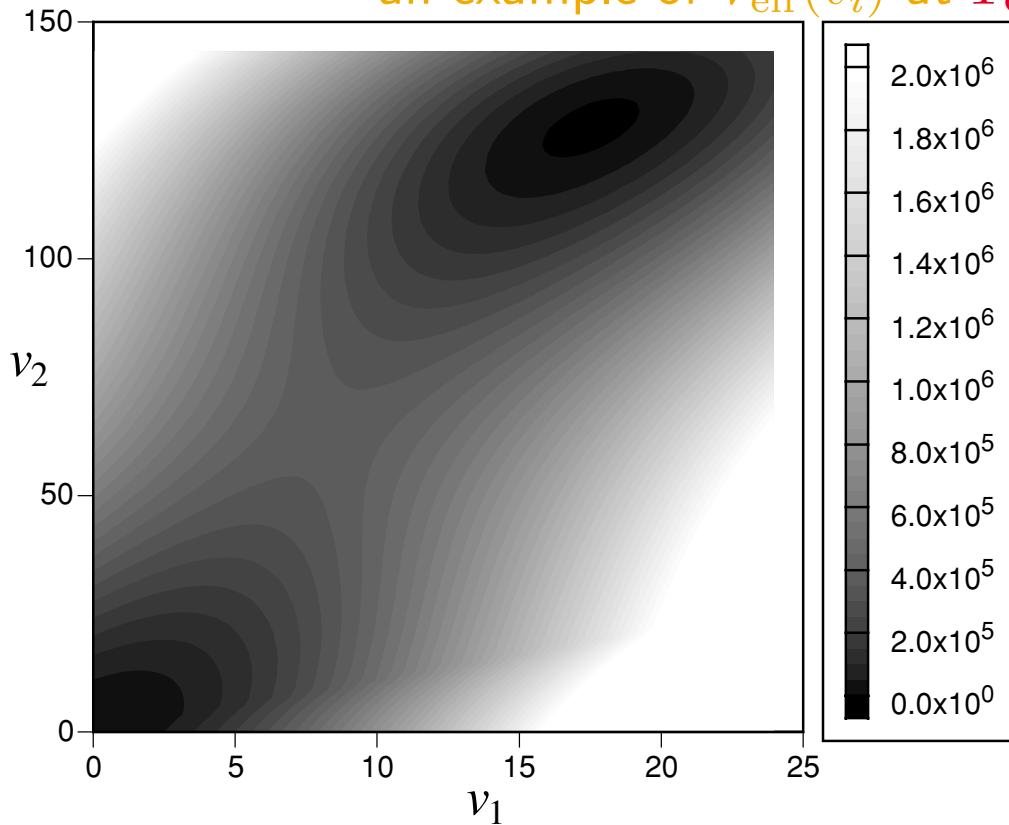
the lighter Higgs scalar mass : m_h (GeV)



at $T \neq 0$

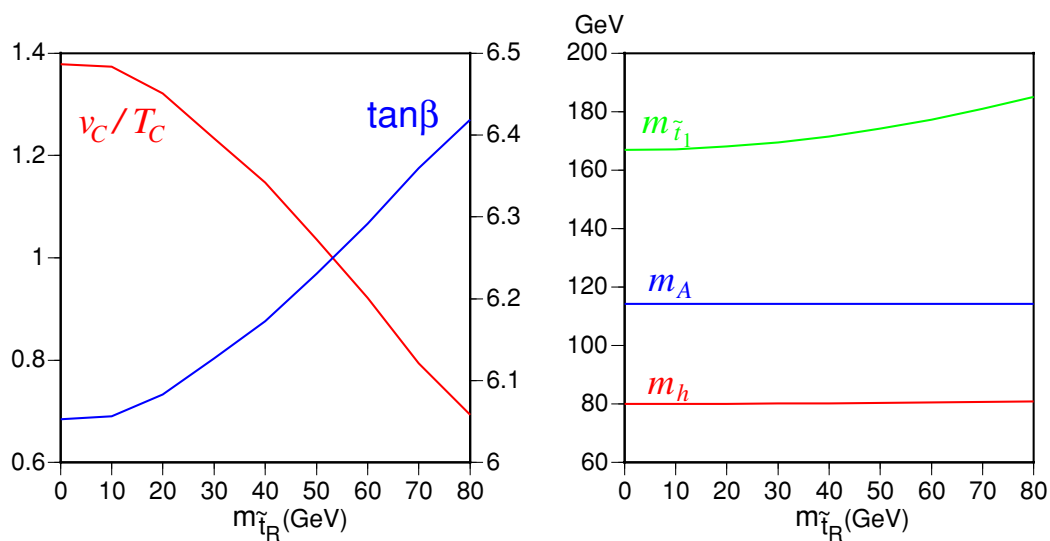
$$\left. \begin{array}{l} m_{\tilde{t}_1} \lesssim m_t \\ m_h \lesssim 100\text{GeV} \end{array} \right\} \Rightarrow \frac{v_C}{T_C} > 1$$

an example of $V_{\text{eff}}(v_i)$ at T_C



$$\tan \beta = 6, m_h = 82.3\text{GeV}, m_A = 118\text{GeV}, m_{\tilde{t}_1} = 168\text{GeV}$$

$$T_C = 93.4\text{GeV}, v_C = 129\text{GeV}$$



$$\tan \beta = 5, m_3^2 = 4326\text{GeV}^2$$

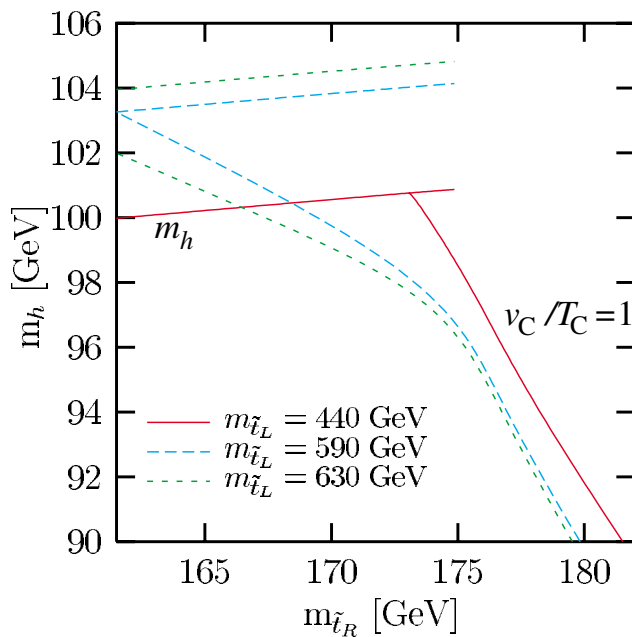
★ Lattice MC studies

- 3d reduced model [Laine et al. hep-lat/9809045]
strong 1st order for $m_{\tilde{t}_1} \lesssim m_t$ and $m_h \leq 110\text{GeV}$

- 4d model [Csikor, et al. hep-lat/0001087]
with $SU(3)$, $SU(2)$ gauge bosons, 2 Higgs doublets,
L & R-stops, sbottoms

no scalar trilinear (A) terms, $\tan \beta \simeq 6$

→ agreement with the perturbation theory within the errors



$m_A = 500$ GeV

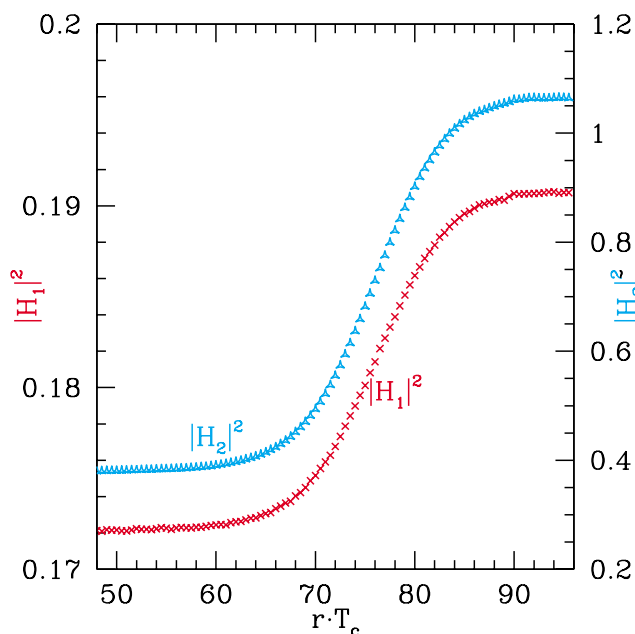
$v_C/T_C > 1$

below the steeper lines



max. $m_h = 103 \pm 4$ GeV

for $m_{\tilde{t}_L} \simeq 560$ GeV



bubble-wall profile

$\Delta\beta = 0.0061 \pm 0.0003$

⇒ $\beta \simeq \text{const.}$

wall width $\simeq \frac{11}{T_C}$

CP violation relevant to Baryogenesis

— $\theta(x)$ in the bubble wall

Eqs. of motion for $(\rho_i(x), \theta(x))$ with $V_{\text{eff}}(\rho_i, \theta; T_C)$

with B.C. determined by the min. of $V_{\text{eff}}(T_C)$

$\rho(x) \sim 1 + \tanh(ax) : 0$ (sym. phase) $\longrightarrow v_C$ (br. phase)

bubble wall \sim macroscopic, static \rightarrow 1d system

$$\begin{aligned}\frac{d^2 \rho_i(z)}{dz^2} - \rho_i(z) \left(\frac{d\theta_i(z)}{dz} \right)^2 - \frac{\partial V_{\text{eff}}}{\partial \rho_i} &= 0, \\ \frac{d}{dz} \left(\rho_i^2(z) \frac{d\theta_i(z)}{dz} \right) - \frac{\partial V_{\text{eff}}}{\partial \theta_i} &= 0\end{aligned}$$

with gauge-fixing condition

$$\rho_1^2(z) \frac{d\theta_1(z)}{dz} + \rho_2^2(z) \frac{d\theta_2(z)}{dz} = 0$$

Assume that $\tan \beta(z)$ be constant.

Suppose that at $T \simeq T_C$, without explicit CP violation,

$$\begin{aligned}
V_{\text{eff}}(\rho_i, \theta = \theta_1 - \theta_2) &= \frac{1}{2}\bar{m}_1^2\rho_1^2 + \frac{1}{2}\bar{m}_2^2\rho_2^2 - \bar{m}_3^2\rho_1\rho_2 \cos \theta + \frac{\lambda_1}{8}\rho_1^4 + \frac{\lambda_2}{8}\rho_2^4 \\
&+ \frac{\lambda_3 + \lambda_4}{4}\rho_1^2\rho_2^2 + \frac{\lambda_5}{4}\rho_1^2\rho_2^2 \cos 2\theta - \frac{1}{2}(\lambda_6\rho_1^2 + \lambda_7\rho_2^2)\rho_1\rho_2 \cos \theta \\
&- [A\rho_1^3 + \rho_1^2\rho_2(B_0 + B_1 \cos \theta + B_2 \cos 2\theta) \\
&\quad + \rho_1\rho_2^2(C_0 + C_1 \cos \theta + C_2 \cos 2\theta) + D\rho_2^3] \\
&= \left[\frac{\lambda_5}{2}\rho_1^2\rho_2^2 - 2(B_2\rho_1^2\rho_2 + C_2\rho_1\rho_2^2) \right] \\
&\quad \times \left[\cos \theta - \frac{2\bar{m}_3^2 + \lambda_6\rho_1^2 + \lambda_7\rho_2^2 + 2(B_1\rho_1 + C_1\rho_2)}{2\lambda_5\rho_1\rho_2 - 8(B_2\rho_1 + C_2\rho_2)} \right]^2 \\
&\quad + \theta\text{-independent terms}
\end{aligned}$$

where all the parameters are real

conditions for spontaneous CP violation for a given (ρ_1, ρ_2)

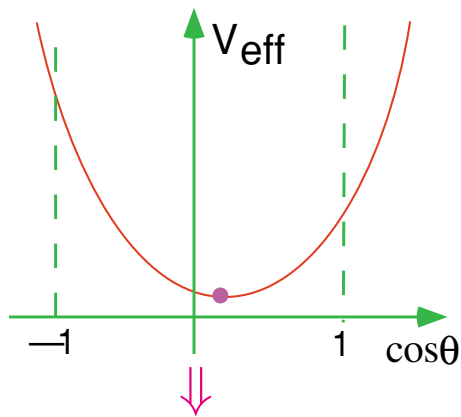
$$\begin{aligned}
F(\rho_1, \rho_2) &\equiv \frac{\lambda_5}{2}\rho_1^2\rho_2^2 - 2(B_2\rho_1^2\rho_2 + C_2\rho_1\rho_2^2) > 0, \\
-1 < G(\rho_1, \rho_2) &\equiv \frac{2\bar{m}_3^2 + \lambda_6\rho_1^2 + \lambda_7\rho_2^2 + 2(B_1\rho_1 + C_1\rho_2)}{2\lambda_5\rho_1\rho_2 - 8(B_2\rho_1 + C_2\rho_2)} < 1
\end{aligned}$$

At $T \simeq T_C$, around the EW bubble wall

$$(\rho_1, \rho_2) : (0, 0) \longrightarrow (v_C \cos \beta_C, v_C \sin \beta_C)$$

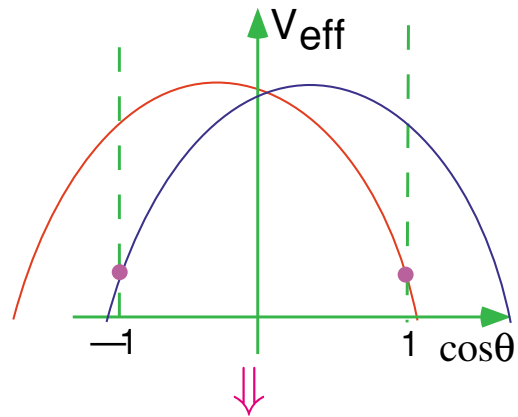
There may be a chance to satisfy the conditions in the transient region.

$$F(\rho_1, \rho_2) > 0$$

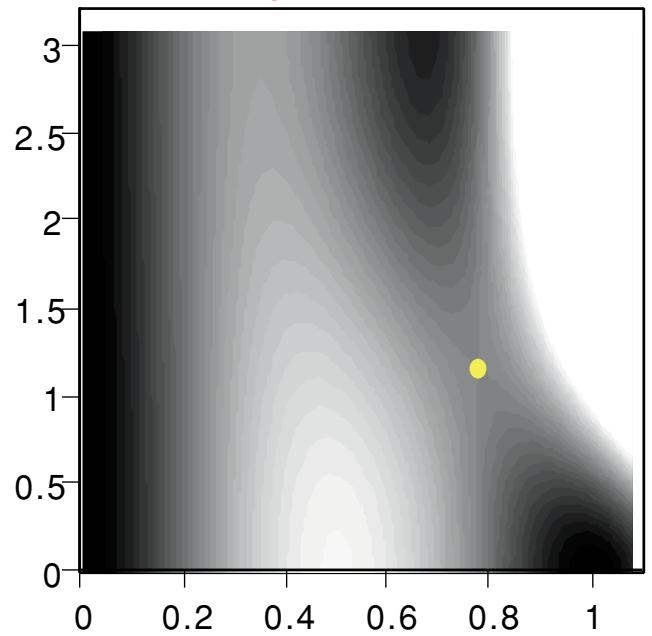
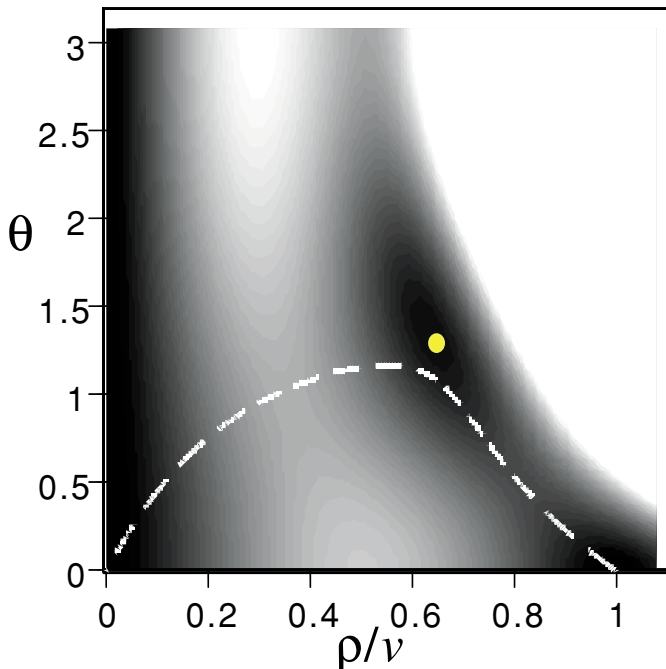


CP-violating
local minimum

$$F(\rho_1, \rho_2) < 0$$



CP-violating
saddle point



Transitional *CP* Violation

N.B. no explicit CP violation \Rightarrow no net BAU

[FKOT, PTP96 ('96)]

spontaneous CP violation in the transient region

+ small explicit CP violation

to resolve degeneracy between CP conjugate bubbles

net BAU

$$\frac{n_B}{s} = \frac{\sum_j \left(\frac{n_B}{s}\right)_j N_j}{\sum_j N_j}$$

with

$$N_j = \exp(-4\pi R_C^2 \mathcal{E}_j / T_C) \quad \text{nucleation rate}$$

$$\mathcal{E}_j = \text{energy density of the type-}j \text{ bubble}$$

input parameters

$\tan \beta_0$	m_3^2	μ	A_t	$M_2 = M_1$	$m_{\tilde{t}_L}$	$m_{\tilde{t}_R}$
6	8110 GeV ²	-500 GeV	60 GeV	500 GeV	400 GeV	0

mass spectrum

m_h	m_A	m_H	$m_{\tilde{t}_1}$	$m_{\chi_1^\pm}$	$m_{\chi_1^0}$
82.28 GeV	117.9 GeV	124.0 GeV	167.8 GeV	457.6 GeV	449.8 GeV

at the EWPT

$$T_C = 93.4 \text{ GeV}, \quad v_C = 129.17 \text{ GeV}, \quad \tan \beta = 7.292,$$

inverse wall thickness: $a = \frac{\sqrt{8V_{\max}}}{v} = 13.23 \text{ GeV} \sim \frac{T_C}{7}$
 thinner than the MC result

Introduce an explicit CP violation by

$$\bar{m}_3^2 \cos \theta \rightarrow \frac{1}{2} \left(\bar{m}_3^2 e^{i(\theta+\delta)} + \text{h.c.} \right)$$

Net chiral charge flux

$$F_Q^{net} = \frac{N^+ F_Q^+ - N^- F_Q^-}{N^+ + N^-}.$$

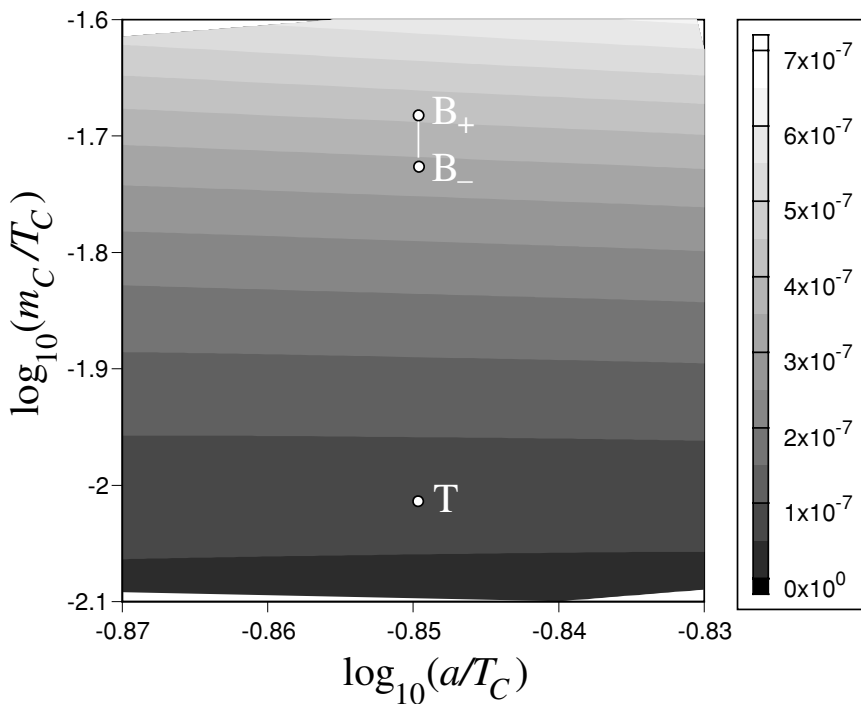
where

$$\frac{N^-}{N^+} = \begin{cases} 0.361 & \text{for } \delta = 0.001 \\ 0.601 & \text{for } \delta = 0.002 \end{cases}$$

by charge transport mechanism

$$\frac{n_B}{s} \sim 10^{-7} \times \frac{F_Q^{net}}{u} \times \frac{\tau}{T_C^2},$$

$$u = 0.1, \delta = 10^{-3} \Rightarrow \begin{cases} n_B/s < 10^{-12} & \text{for } b \text{ quark} \\ n_B/s \sim 10^{-(10-12)} & \text{for } \tau \text{ lepton} \end{cases}$$



$$\frac{F_Q^{net}}{(Q^L - Q^R) T_C^3 u}$$

$$|\theta_2| \propto \cos^2 \beta \ll 1$$

$$m_C = m \frac{v_C \cos \beta_C}{v_0 \cos \beta_0}$$

♠ Enhancement of an explicit CP violation

$$\alpha = \text{Arg}(\mu M_2) = \text{Arg}(\mu M_1), \quad \beta = \text{Arg}(\mu A_t^*),$$

then

$$\bar{m}_3^2 = m_3^2 + \Delta_{\phi^\pm}^{(0)} m_3^2 + e^{i\alpha} \Delta_\chi^{(0)} m_3^2 + e^{i\beta} \Delta_{\tilde{t}}^{(0)} m_3^2,$$

$$\lambda_5 = \Delta_{\phi^\pm}^{(0)} \lambda_5 + e^{i2\alpha} \Delta_\chi^{(0)} \lambda_5 + e^{i2\beta} \Delta_{\tilde{t}}^{(0)} \lambda_5,$$

$$\lambda_{6,7} = \Delta_{\phi^\pm}^{(0)} \lambda_{6,7} + e^{i\alpha} \Delta_\chi^{(0)} \lambda_{6,7} + e^{i\beta} \Delta_{\tilde{t}}^{(0)} \lambda_{6,7}$$

$\Delta^{(0)} \equiv$ correction without explicit CP violation

If $\Delta_\chi^{(0)} \gg \Delta_{\tilde{t}}^{(0)}, \Delta_{\phi^\pm}^{(0)}$, by rephasing, $\lambda_{5,6,7} \in \mathbf{R}$ and

$$e^{-i\alpha} \bar{m}_3^2 = e^{-i\alpha} m_3^2 + \Delta_\chi^{(0)} m_3^2 \equiv e^{-i\delta} |\bar{m}_3^2|$$

with
$$\tan \delta = -\frac{m_3^2 \sin \alpha}{m_3^2 \cos \alpha + \Delta_\chi^{(0)} m_3^2}.$$

N.B $|m_3^2 + \Delta_\chi^{(0)} m_3^2| \ll m_3^2$ for transitional CP violation

for some parameter set, we have at $T \simeq T_C$

$$\Delta_{\tilde{t}}^{(0)} m_3^2 = 140.69, \quad \Delta_\chi^{(0)} m_3^2 = -2356.73,$$

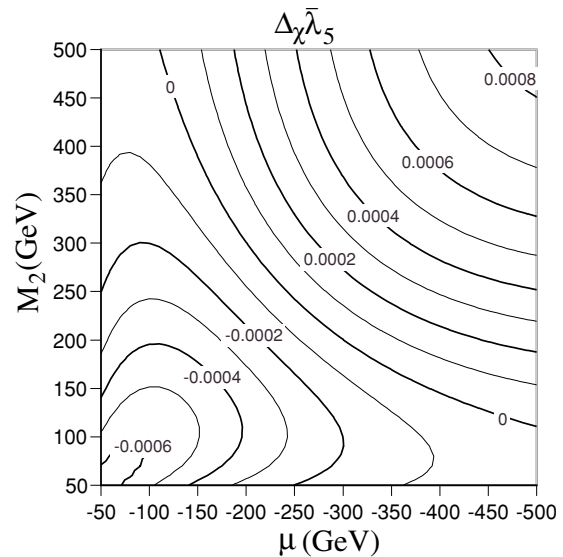
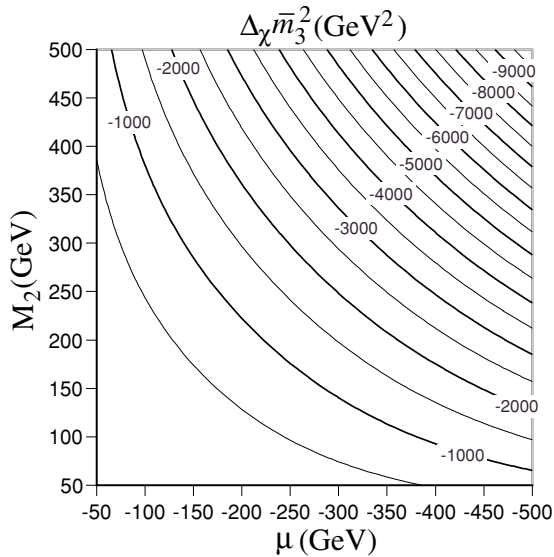
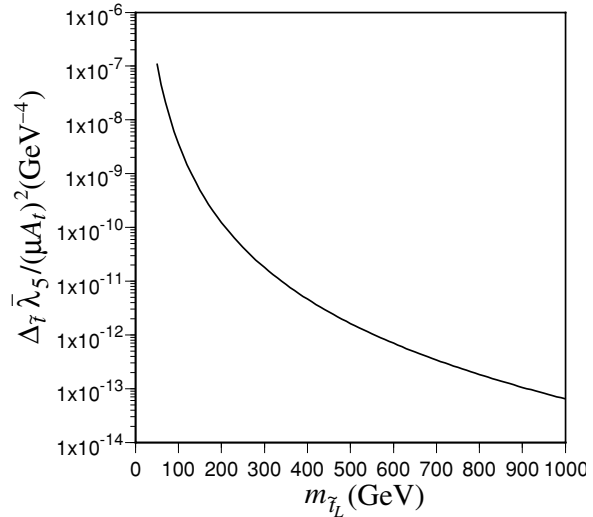
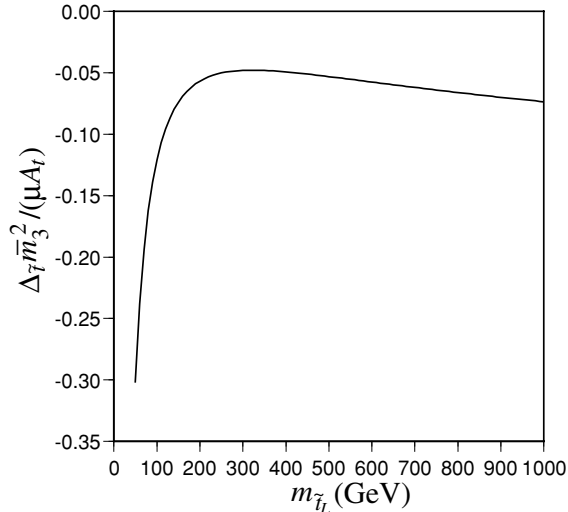
so that even for $\alpha = 10^{-3}$,

$$\begin{aligned} \tan \delta &= -\frac{(m_3^2 + \Delta_{\tilde{t}}^{(0)} m_3^2) \sin \alpha}{(m_3^2 + \Delta_{\tilde{t}}^{(0)} m_3^2) \cos \alpha + \Delta_\chi^{(0)} m_3^2} \\ &\simeq \frac{10^{-3}}{6.8 \times 10^{-3}} = 0.147 \end{aligned}$$

\implies only the lowest-energy bubble survives

♠ Possibility of $F < 0$ [$\leftrightarrow \lambda_5 < 0$]

for $m_{\tilde{t}_R} = 0$, at $T = 95$ GeV,



★ $\lambda_5 < 0 \iff \Delta_\chi \lambda_5 < 0$
 $\longrightarrow \Delta_\chi \bar{m}_3^2 < -1500 \text{ GeV}^2$

★ μA_t is restricted to have $\lambda_5 = \Delta_\chi \lambda_5 + \Delta_{\tilde{t}} \lambda_5 < 0$
 $\longrightarrow \Delta_{\tilde{t}} \bar{m}_3^2$ is negative and bounded from below.

★ to have small \bar{m}_3^2 , the tree-level $m_3^2 \lesssim 2500 \text{ GeV}^2$
 \longrightarrow too small m_h and m_A ($< 67.5 \text{ GeV}$)

∴ difficult to realize transitional CP violation with $F < 0$ in an acceptable MSSM

Studies after our works:

- Improved perturbation theory [John, hep-ph/0010277]

no transitional CP violation for parameters with $v_C/T_C > 1$ and acceptable m_A

- 3d Lattice MC [Laine & Rummukainen, hep-lat/0009025]

no transitional CP violation for $m_h = 105\text{GeV}$, $m_A = 105\text{GeV}$, $\tan\beta = 12$, $m_{\tilde{q}_L} = 1\text{TeV}$

- NMSSM (perturbation)

[Huber & Schmidt, hep-ph/000312-rev.; hep-ph/0101249]

$$W = \lambda S H_u H_d + \frac{k}{3} S^3 + \mu H_u H_d + r S$$

S : gauge singlet

$\langle S \rangle \in \mathbf{C}$ can also violate CP. Transitional CP violation **does occur** for $\mu = 212.6\text{GeV}$, $m_{\tilde{q}_L} = 278.1\text{GeV}$, $\tan\beta = -5$, $A_t = -219.8\text{GeV}$.

Both θ and $\theta_S = \text{Arg}S$ has nontrivial values in the transient region.

Inflationary Baryogenesis

Motivation

in an inflationary scenario,

all the particles in the universe



reheating after the de Sitter phase



decay of coherently oscillating classical scalar field: $\phi(t)$
inflaton

It is natural that B was also generated in this era of particle creation.

process of reheating

- perturbative decay of $\phi(t)$
classical ϕ -field = zero-momentum ϕ -quanta
- ⊙ preheating by parametric resonance
particle creation in an oscillating background
[Kofman, Linde, Starobinsky, PRL73 ('94); PRD56 ('97)]

$\chi(x)$: scalar field interacting with $\phi(t)$

$$\mathcal{L} = \frac{1}{2}\partial_\mu\phi\partial^\mu\phi - V(\phi) + \frac{1}{2}\partial_\mu\chi\partial^\mu\chi - \frac{1}{2}g^2\phi^2\chi^2 + \dots$$

just after the de Sitter phase,

$$H(t)^2 = \frac{8\pi}{3m_P} (\rho_\phi + \rho_\chi + \dots) \simeq \frac{8\pi}{3m_P} \left(\frac{1}{2}\dot{\phi}^2 + V(\phi) \right)$$

$$\ddot{\phi}(t) + 3H(t)\dot{\phi}(t) + \frac{\partial V(\phi)}{\partial\phi(t)} = 0$$

$$\implies \phi(t) = \Phi(t) \sin(mt) \propto \frac{1}{t} \sin(mt)$$

where $V(\phi) \simeq \frac{1}{2}m^2\phi^2$

wave equation for a mode $\chi_k(t)$:

$$\ddot{\chi}_k(t) + 3H(t)\dot{\chi}_k(t) + \left(\frac{k^2}{a^2} + g^2\Phi^2(t) \sin^2(mt) \right) \chi_k(t) = 0$$

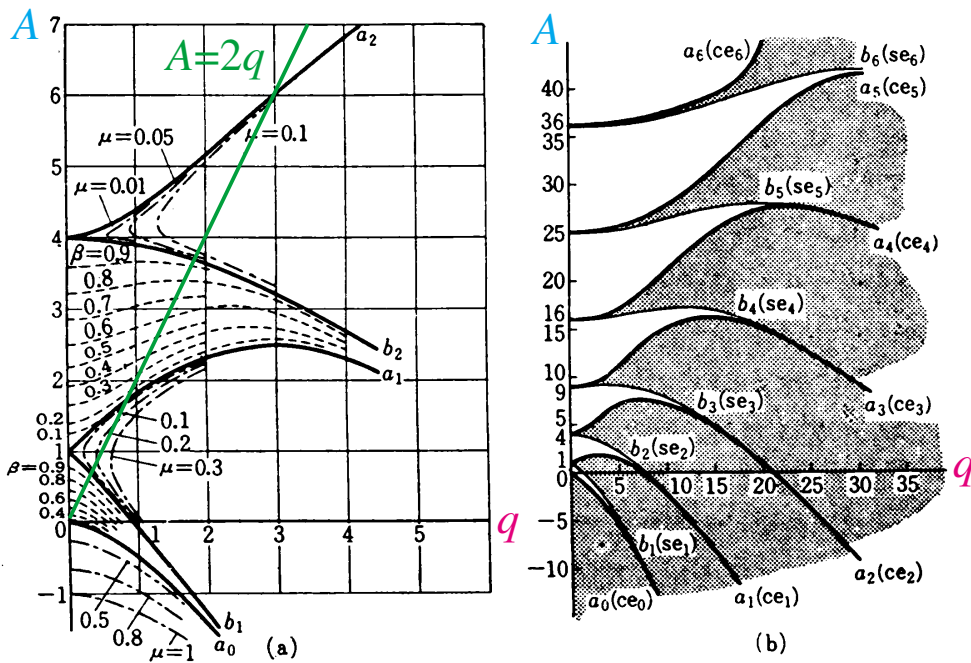
Putting $a(t) = 1$, $z = mt$,

$$\chi_k''(z) + (A_k - 2q \cos 2z) \chi_k(z) = 0$$

where

$$A_k \equiv \frac{k^2}{m^2} + \frac{g^2\Phi^2}{2m^2} = \frac{k^2}{m^2} + 2q, \quad q \equiv \frac{g^2\Phi^2}{4m^2}$$

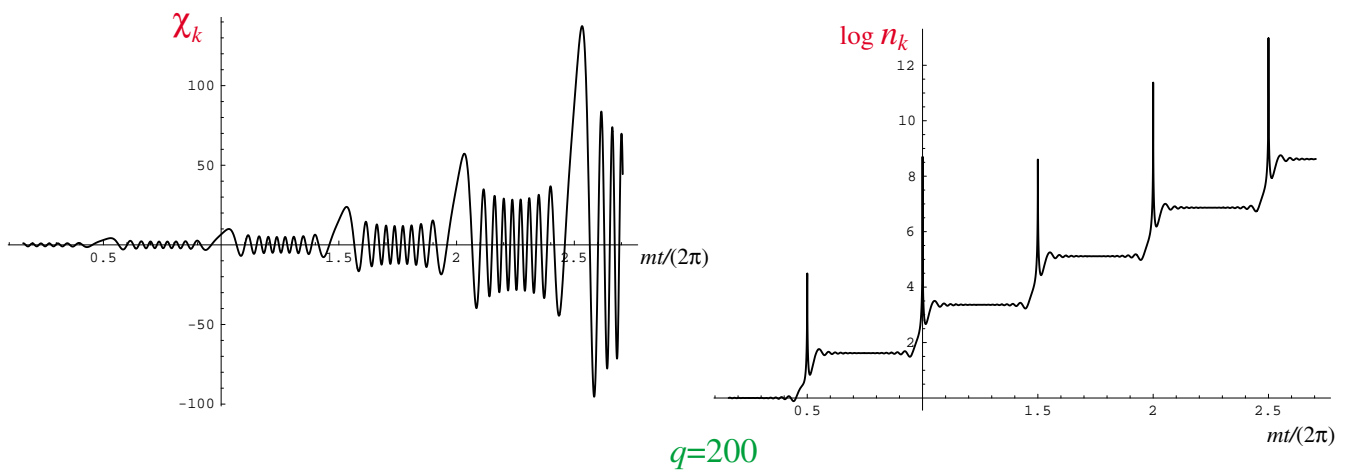
Mathieu equation



Mathieu の微分方程式の解の安定域

$q \gg 1 \implies$ broad resonance

a solution in a resonance band



n_k changes only at t where $\Phi(t) = 0$

$$\Leftrightarrow \dot{\omega}(t) \gtrsim \omega^2(t) \text{ with } \omega(t) = \sqrt{k^2 + g^2 \Phi^2(t) \sin^2(mt)}$$

$\left. \begin{array}{l} |\chi_k(t)| \\ n_k(t) \end{array} \right\}$ exponentially increase with t stepwise.

\implies successive scatterings by a periodic potential

\implies descent equation for n_k

We must take into account

- expansion of the universe $a(t) \longrightarrow$ stochastic resonance

- back reaction of the generated χ -particles

$$\begin{cases} \rho \simeq \rho_\phi \longrightarrow \rho_\chi & \text{:damping the oscillation} \\ m_\phi^2 \simeq m^2 + g^2 \langle \chi^2 \rangle & \text{:increase } \phi\text{-frequency} \end{cases}$$

- rescattering of χ -particle by ϕ -particle

$$\Delta m_\chi^2(k) = g^2 \langle \delta\phi^2 \rangle_k > \text{resonance width}$$

\implies terminates the resonance

example:

$$m = 10^{-6} m_P, \Phi_0 = m_P/5$$

the resonance ends after about 10 ϕ -oscillations
for $g = 10^{-3 \sim -1}$.

state after the preheating

- large occupation number of χ with small k

- large quantum fluctuation of χ

$$\sqrt{\langle \chi^2 \rangle} \simeq 3 \times 10^{16} \text{ GeV for } g = 3 \times 10^{-14}$$

\longleftrightarrow thermal fluctuation at $T = 10^{17} \text{ GeV}$



nonthermal symmetry restoration
nonthermal heavy particle production

★Charge Generation

[K.F., Otsuki, Kakuto, Toyoda, hep-ph/0010266]

$$\mathcal{L} = \partial_\mu \chi_a^* \partial^\mu \chi_a - g_a^2 \phi^2(t) \chi_a^* \chi_a - \chi_a^* V_{ab}(t) \chi_b - \frac{1}{2} (\chi_a W_{ab}(t) \chi_b + \text{c.c.}),$$

We treat CP-violating V_{ab} and W_{ab} as perturbations.

— picture of successive scattering at zero of $\phi(t)$: t_j

for $t \in (t_{j-1}, t_j)$

$$\chi_a(x) = \int d^3 \mathbf{k} \left(a_{a\mathbf{k}}^j f_{a\mathbf{k}}^j(t) e^{i\mathbf{k}x} + b_{a\mathbf{k}}^{j\dagger} f_{a\mathbf{k}}^{j*}(t) e^{-i\mathbf{k}x} \right)$$

relation between $f_{a\mathbf{k}}^0(t)$ and $f_{a\mathbf{k}}^j(t)$

$$\Rightarrow \begin{cases} a_{a\mathbf{k}}^j = a_{b\mathbf{k}}^0 \alpha_{ba}^j + b_{b\mathbf{k}}^{0\dagger} \tilde{\beta}_{ba}^j \\ b_{a\mathbf{k}}^{j\dagger} = a_{b\mathbf{k}}^0 \beta_{ba}^j + b_{b\mathbf{k}}^{0\dagger} \tilde{\alpha}_{ba}^j \end{cases} : \text{Bogoliubov trf.}$$

$$\text{CP violation} \Rightarrow \alpha_{ab}^j \neq \tilde{\alpha}_{ab}^j \text{ and } \beta_{ab}^j \neq \tilde{\beta}_{ab}^j$$

relations among the Bogoliubov coefficients:

$$\alpha^{j\dagger} \alpha^j - \tilde{\beta}^{j\dagger} \tilde{\beta}^j = \tilde{\alpha}^{j\dagger} \tilde{\alpha}^j - \beta^{j\dagger} \beta^j = 1, \quad \beta^{j\dagger} \alpha^j - \tilde{\alpha}^{j\dagger} \tilde{\beta}^j = 0$$

generated particle number and charge densities after j zeros;

$$\begin{aligned} n_k^j &= \frac{1}{V} \langle 0^0 | \sum_{a=1}^n \left(a_{a\mathbf{k}}^{j\dagger} a_{a\mathbf{k}}^j + b_{a\mathbf{k}}^{j\dagger} b_{a\mathbf{k}}^j \right) | 0^0 \rangle \\ &= \text{Tr} \left(\tilde{\beta}^{j\dagger} \tilde{\beta}^j + \beta^{j\dagger} \beta^j \right) \end{aligned}$$

$$\begin{aligned}
j_{Qk}^j &= \frac{1}{V} \langle 0^0 | \sum_{a=1}^n Q_a \left(a_{a\mathbf{k}}^{j\dagger} a_{a\mathbf{k}}^j - b_{a\mathbf{k}}^{j\dagger} b_{a\mathbf{k}}^j \right) | 0^0 \rangle \\
&= \text{Tr} \left[Q \left(\tilde{\beta}^{j\dagger} \tilde{\beta}^j - \beta^{j\dagger} \beta^j \right) \right]
\end{aligned}$$

N.B. If χ_a is one-component, $|\beta^j|^2 = |\tilde{\beta}^j|^2$. $\therefore j_k^j = 0$

example

2-component χ , $m_1 = m_2$, $V_{11} = V_{22}$, $W_{ab} = 0$

$U(1)$ -sym.: $\chi_a \mapsto e^{i\alpha} \chi_a$ and discrete sym.: $\chi_1 \leftrightarrow \chi_2$

We found descent equations for the Bogoliubov coefficients $(\alpha^j, \beta^j, \tilde{\alpha}^j, \tilde{\beta}^j)$ and $(\alpha^{j+1}, \beta^{j+1}, \tilde{\alpha}^{j+1}, \tilde{\beta}^{j+1})$.

$$\Rightarrow \begin{cases} n_k^j = \sum_{a,b=1}^2 \left(|\beta_{ab}^j|^2 + |\tilde{\beta}_{ab}^j|^2 \right) \\ j_{1k}^j = |\tilde{\beta}_{11}^j|^2 + |\tilde{\beta}_{21}^j|^2 - |\beta_{11}^j|^2 - |\beta_{21}^j|^2 & \text{charge of } \chi_1 \\ j_{2k}^j = |\tilde{\beta}_{12}^j|^2 + |\tilde{\beta}_{22}^j|^2 - |\beta_{12}^j|^2 - |\beta_{22}^j|^2 & \text{charge of } \chi_2 \end{cases}$$

$$\kappa \equiv \frac{k}{k_*} = \frac{k}{\sqrt{g\Phi m}} \lesssim \frac{1}{\sqrt{\pi}} \quad \Rightarrow \text{in the resonance band}$$

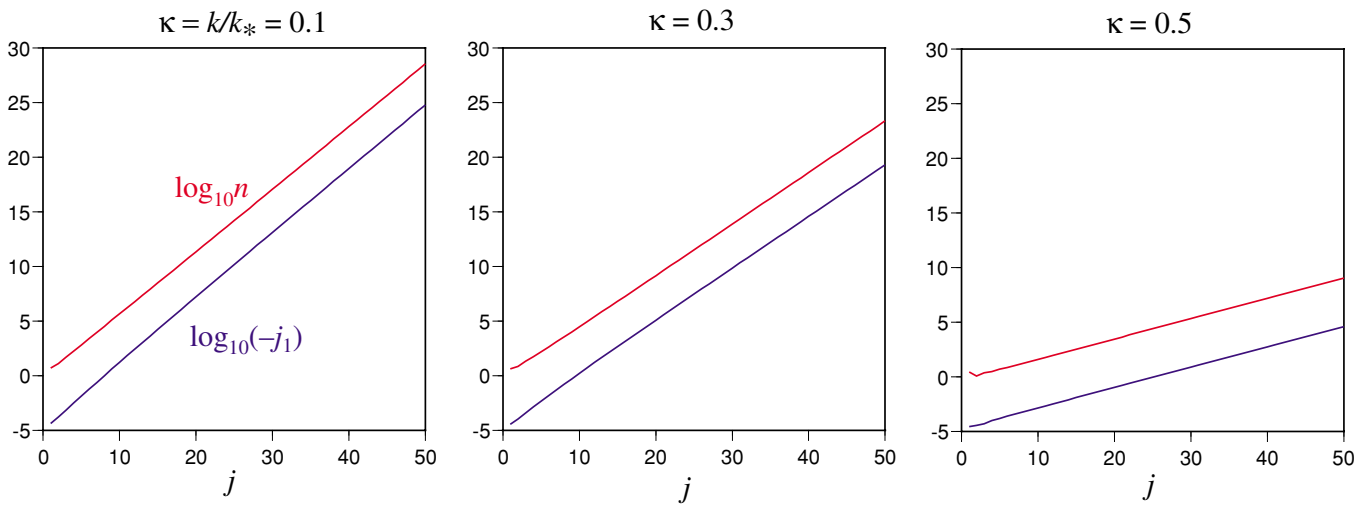
We choose

$$V_{11}(t) = -\frac{2g^2 l_1 \Phi^2}{\cosh^2[m(t - t_j)/\sqrt{2}]}$$

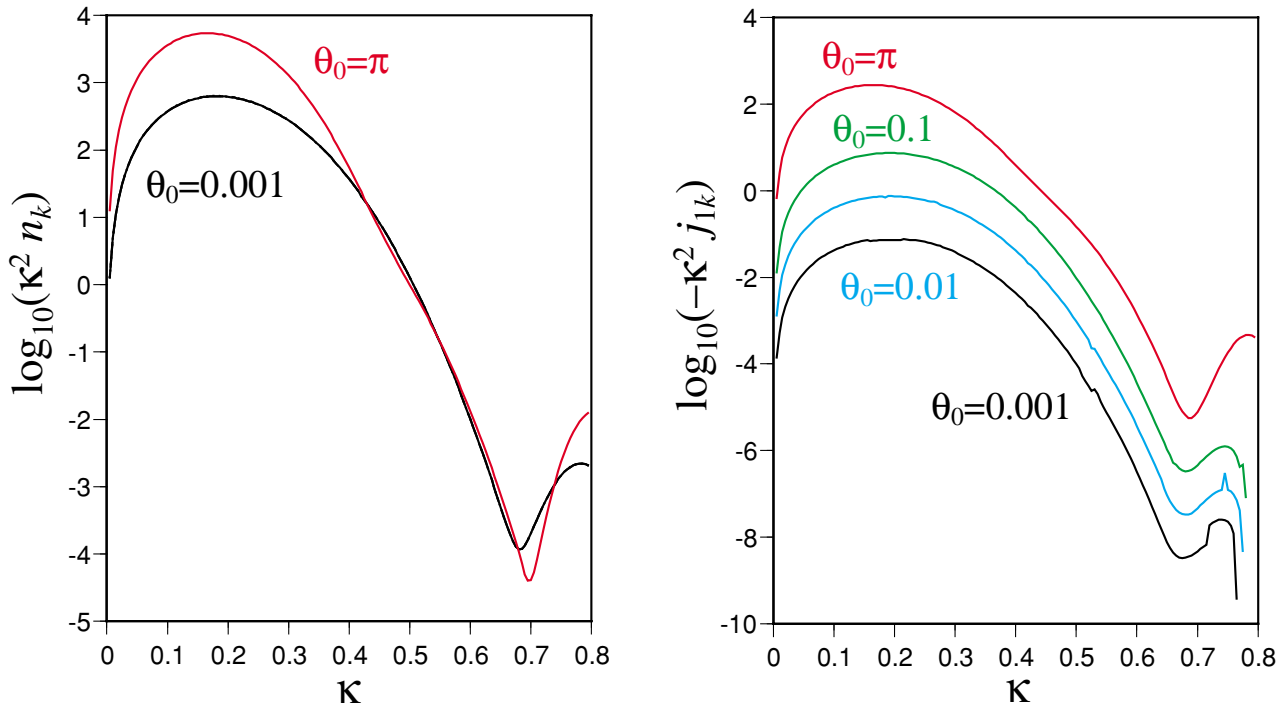
$$V_{12}(t) = -\frac{2g^2 l_2 \Phi^2 e^{i\theta(t)}}{\cosh^2[m(t - t_j)/\sqrt{2}]}$$

with
$$\theta(t) = \frac{\theta_0}{1 + e^{m(t-t_j)/\sqrt{2}}}$$

time evolution: $q = 200$, $l_1 = 0.01$, $l_2 = 0.02$, $\theta_0 = 10^{-3}$



densities at $j = 10$ for various θ_0



total number and charge densities

$$n = \int d^3\mathbf{k} n_k = 8\sqrt{2}\pi m^3 q^{3/4} \int_0^\infty d\kappa \kappa^2 n_k,$$

$$j_1 = 8\sqrt{2}\pi m^3 q^{3/4} \int_0^\infty d\kappa \kappa^2 j_{1k} = -j_2$$

θ_0	$\int d\kappa \kappa^2 n_k$	$\int d\kappa \kappa^2 j_{1k}$
10^{-3}	130.5096	-1.609334×10^{-2}
10^{-2}	130.5156	-1.544579×10^{-1}
10^{-1}	131.1163	-1.537716
π	990.7411	-50.84228

$$\text{“charge”} = \begin{cases} B \\ L, Y, \dots \end{cases} \xrightarrow{\text{sphaleron}} B$$

oscillating $\phi(t)$ with (at least) 2 complex scalar fields: χ_a

$$= \begin{cases} \text{inflaton} \\ \text{Affleck-Dine scalar} \end{cases} \quad \text{— } T_{\text{rh}}, \delta T/T$$

e.g.

- a variant of EW hybrid inflation [Garcia-Bellido et al., PRD60]

$\chi_a = 2$ Higgs doublet

“charge” = Y , $T_{\text{rh}} \gtrsim T_{\text{EW}}$ (sphaleron)

— CP violation in the scalar sector

- Affleck-Dine B - or L -genesis

initial $\langle \tilde{q} \rangle \propto e^{i\omega t} \longrightarrow j_B$, CP viol.

Even when $\text{Im}\langle \tilde{q} \rangle \simeq 0$, B can be generated

$$\chi_a = (\text{quantum}) \tilde{q}, \tilde{l}$$

Summary

★ Electroweak Baryogenesis

— depends on models checked by (future) experiments

▷ minimal SM — **rejected**: first-order EWPT, CP violation

▷ MSSM:

first-order EWPT for small (**allowed**) m_h and m_A
explicit CPV is needed

▷ other extended models such as NMSSM

★ Other Mechanism

not relying on 1st order EWPT

▷ GUTs

▷ Leptogenesis

▷ Affleck-Dine

▷ Inflationary

▷ ???