

# 宇宙のバリオン数生成

船久保公一@佐賀大理工

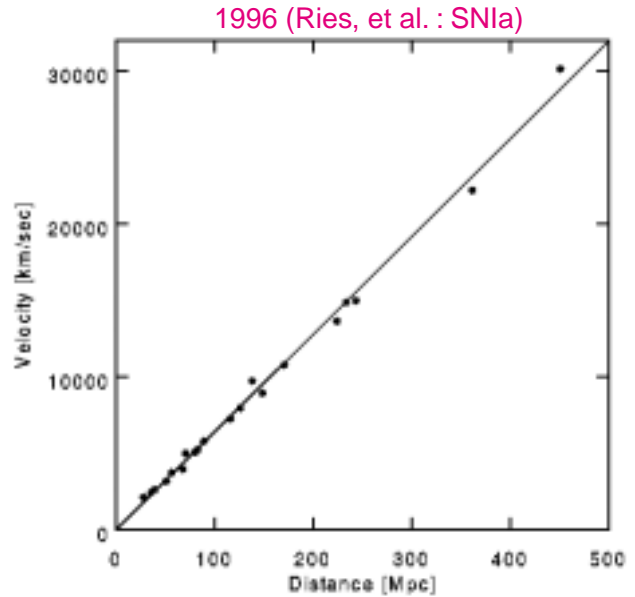
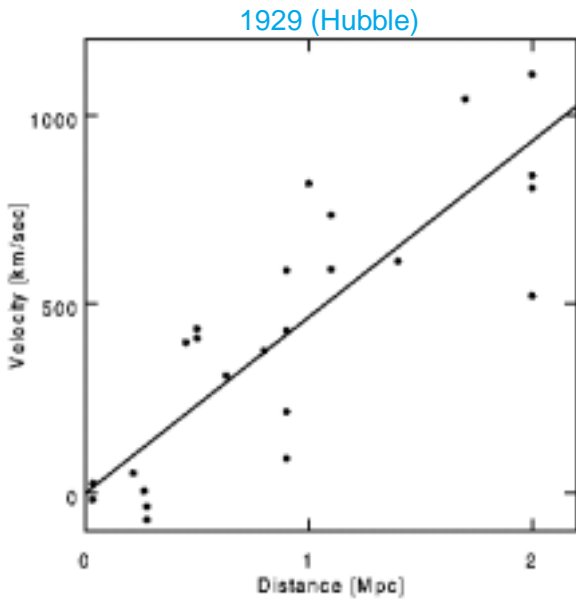
Aug. 28–29, 2000 at KEK

Baryon Asymmetry of the Universe

$$\frac{n_B}{s} = \frac{n_b - n_{\bar{b}}}{s} = (0.2 - 0.9) \times 10^{-10}$$

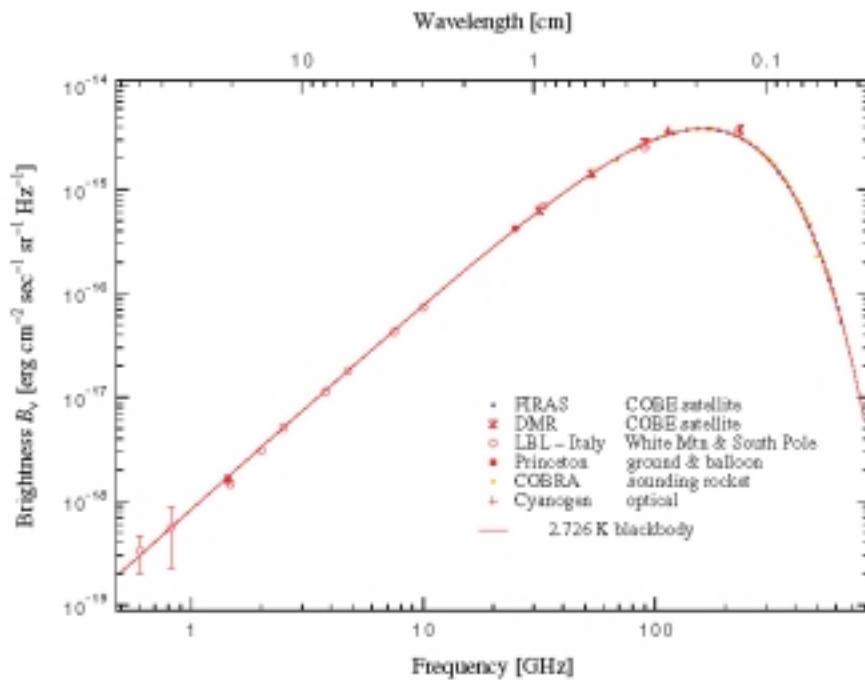
## 3 great successes

### 1. Expanding universe — Hubble's law



$$H = (71 \pm 7) \text{ km s}^{-1} \text{ Mpc}^{-1}$$

### 2. Cosmic Microwave Background



$$T = (2.725 \pm 0.005) \text{ K}$$

# Friedmann Universe

$$ds^2 = dt^2 - R^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2(d\theta^2 + \sin^2 \theta d\phi^2) \right]$$

$R(t)$  : scale factor in the comoving coordinate  
 $k = 1, 0, -1$  : closed, flat, open space

Einstein eq. :

$$\begin{cases} H^2 = \left( \frac{\dot{R}}{R} \right)^2 = \frac{8\pi G}{3} \rho - \frac{k}{R^2} + \frac{\Lambda}{3}, \\ \frac{\ddot{R}}{R} = -\frac{4\pi G}{3} (\rho + 3p) + \frac{\Lambda}{3} \end{cases}$$

energy cons. :  $R^3 \frac{dp}{dt} = \frac{d}{dt} [R^3(\rho + p)] \Rightarrow \frac{d}{dt} \rho R^{3(1+\gamma)} = 0$

$\rho$  = energy density,  $p$  = isotropic pressure  
 $p = \gamma\rho$  with  $\begin{cases} \gamma = 1/3 & \text{(RD universe)} \\ \gamma \ll 1 & \text{(MD universe)} \end{cases}$

For RD universe, the energy per degree of freedom is

$$\int \frac{d^3\mathbf{k}}{(2\pi)^3} |\mathbf{k}| \frac{1}{e^{|\mathbf{k}|/T} \mp 1} = \begin{cases} \frac{\pi^2}{30} T^4, \\ \frac{7}{8} \frac{\pi^2}{30} T^4, \end{cases}$$

$$\therefore \rho(T) = \frac{\pi^2}{30} g_* T^4 \quad \text{with} \quad g_* \equiv \sum_B g_B + \frac{7}{8} \sum_F g_F$$

For the EW theory with  $N_f$  generations and  $m$  Higgs doublets,

$$g_* = 24 + 4m + \frac{7}{8} \times 30N_f$$

so that  $g_* = 106.75$  for the Minimal SM.

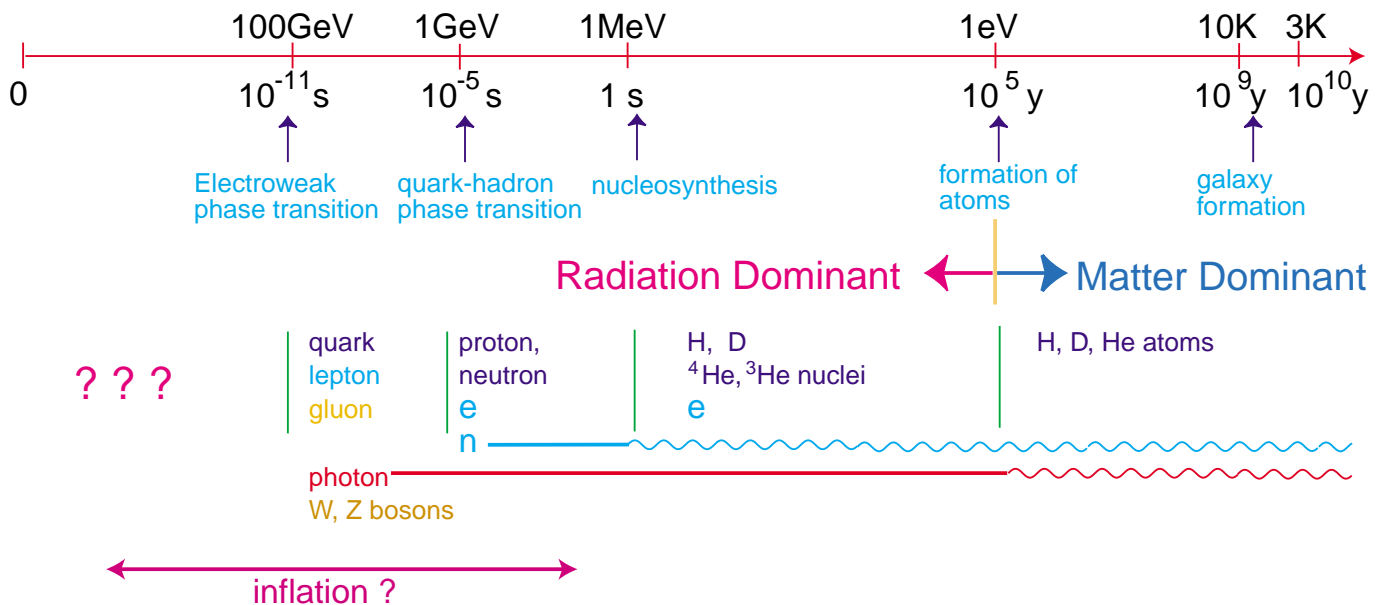
In RD universe, neglecting  $\Lambda$ ,

$$H \simeq \sqrt{\frac{8\pi G}{3} \rho} \simeq 1.66 \sqrt{g_*} \frac{T^2}{m_{Pl}}$$

$$m_{Pl} = G^{-1/2} = 1.22 \times 10^{19} \text{ GeV}$$

Einstein eq.

$$\Rightarrow \begin{cases} \text{RD : } \rho \propto R^4 & \Rightarrow R \propto t^{1/2} \\ \text{MD : } \rho \propto R^3 & \Rightarrow R \propto t^{2/3} \end{cases}$$



# significance of Hubble constant

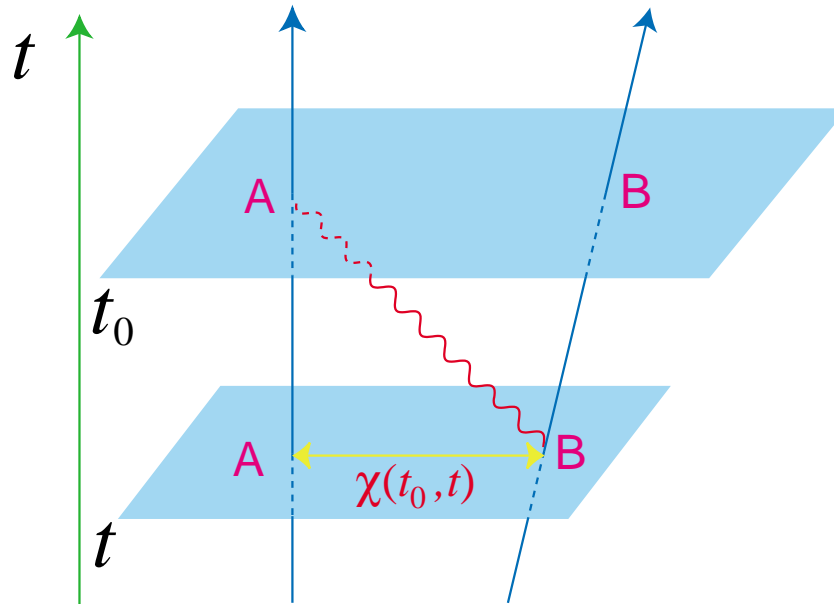
## 1. expansion rate of the universe

$$H(t) = \frac{\dot{R}(t)}{R(t)}$$

some process  $A \leftrightarrow B$  is in equilibrium

$$\iff \Gamma_{A \leftrightarrow B} > H(t)$$

## 2. (particle) horizon — causal region



light in the comoving co.:  $ds^2 = dt^2 - R^2(t)dr^2 = 0$

$\therefore$  causally related region:  $\chi(t_0, t) = - \int_t^{t_0} \frac{dt'}{R(t')}$

$\longrightarrow$  proper distance at  $t_0$ :  $d = R(t_0)\chi(t_0, t)$

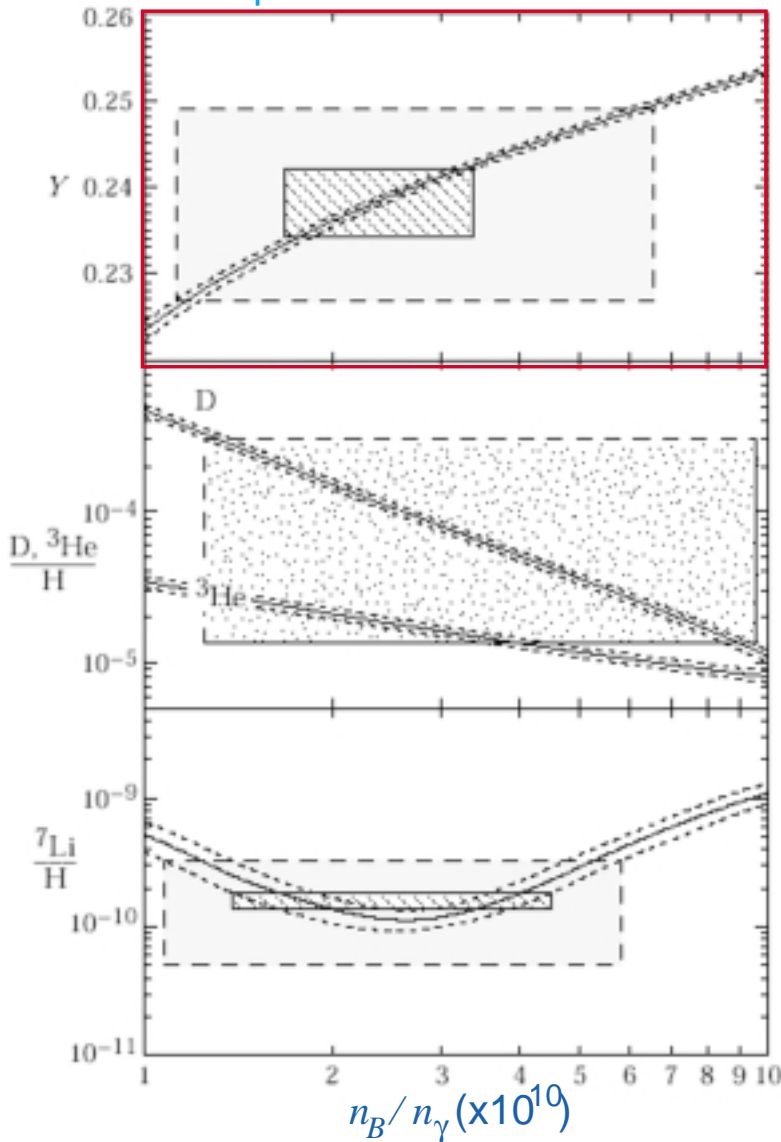
For  $R(t) \propto t^\alpha$ , taking  $t \rightarrow 0$ ,

$$d_H \equiv R(t_0)\chi(t_0, 0) = \frac{t_0}{1 - \alpha} \simeq \frac{t_0}{\alpha} = H^{-1}(t_0)$$

### 3. Nucleosynthesis

[<http://ccwww.kek.jp/pdg/2000/bigbangnucrpp.pdf>]

#### SM prediction vs Observation



$$Y = \frac{2n/p}{1+n/p}$$

primordial mass fraction of  ${}^4\text{He}$

$$Y = 0.25 \longleftrightarrow n/p = 1/7$$

- $T \gg 1\text{MeV} : n \leftrightarrow p + e + \bar{\nu}_e \Rightarrow n/p = 1$

- $T = T_F \simeq 1\text{MeV} \quad \Gamma_{n \leftrightarrow p}(T_F) \simeq H$

$$\left(\frac{n}{p}\right)_{\text{freeze-out}} = e^{-(m_n - m_p)/T_F} \simeq \frac{1}{6}$$

- $T = 0.3 - 0.1\text{MeV} \quad E_B/A \simeq 1 - 8\text{MeV}$

$$\frac{n}{p} \longrightarrow \frac{1}{6} - \frac{1}{7} \quad \text{depending on } \frac{n_B}{n_\gamma}$$

$$\text{cf. } s \simeq 7n_\gamma$$

- 1.** What do we need for the BAU ?
- 2.** Sphaleron process
- 3.** Electroweak phase transition (EWPT)
- 4.** Electroweak baryogenesis
- 5.** Baryogenesis in the MSSM
- 6.** Discussions

# 1. What do we need for the BAU ?

$$\frac{n_B}{s} = \frac{n_b - n_{\bar{b}}}{s} = (0.2 - 0.9) \times 10^{-10}$$

— constant after the decoupling of  $\Delta B \neq 0$  process

evidence of the BAU [Steigman, Ann.Rev.Astron.Astrop.14('76)]

1. no anti-matter in cosmic rays from our galaxy  
some anti-matter consistent as secondary products
2. nearby clusters of galaxies are stable  
a cluster:  $(1 \sim 100) M_{\text{galaxy}} \simeq 10^{12 \sim 14} M_{\odot}$

Starting from a  $B$ -symmetric universe ...

$$\frac{n_b}{s} \simeq \frac{n_{\bar{b}}}{s} \sim 8 \times 10^{-11} \quad \text{at } T = 38\text{MeV}$$

$$\sim 7 \times 10^{-20} \quad \text{at } T = 20\text{MeV}$$

$N\bar{N}$ -annihilation decouple

At  $T = 38\text{MeV}$ ,

mass within a causal region =  $10^{-7} M_{\odot} \ll 10^{12} M_{\odot}$ .



We must have the BAU  $\frac{n_B}{s} = (0.2 - 0.9) \times 10^{-10}$   
before the universe was cooled down to  $T \simeq 38\text{MeV}$ .



- (1) baryon number violation
- (2)  $C$  and  $CP$  violation
- (3) departure from equilibrium

$\therefore$  (2) If  $C$  or  $CP$  is conserved, no  $B$  is generated:  
This is because  $B$  is odd under  $C$  and  $CP$ .

indeed ...

$\rho_0$  : baryon-symmetric initial state of the universe *s.t.*

$$\langle n_B \rangle_0 = \text{Tr}[\rho_0 n_B] = 0$$

time evolution of  $\rho \Leftrightarrow$  Liouville eq.:  $i\hbar \frac{\partial \rho}{\partial t} + [\rho, H] = 0$

If  $H$  is  $C$ - or  $CP$ -invariant,  $[\rho, C] = 0$  or  $[\rho, CP] = 0$

[spontaneous  $CP$  viol.  $\Rightarrow [\rho, CP] \neq 0$ ]

Since  $CBC^{-1} = -B$  and  $CPB(CP)^{-1} = -B$

$$\langle n_B \rangle = \text{Tr}[\rho n_B] = \text{Tr}[\rho C n_B C^{-1}] = -\text{Tr}[\rho n_B]$$

or

$$\langle n_B \rangle = \text{Tr}[\rho CP n_B (CP)^{-1}] = -\text{Tr}[\rho n_B]$$

$\therefore$  Both  $C$  and  $CP$  must be violated to have  $\langle n_B \rangle \neq 0$ .

∴ (3):

If  $\Delta B \neq 0$  processes are in equilibrium ( $\mu_B = 0$ ),

$$n_b = n_{\bar{b}} = \frac{1}{e^{\sqrt{\mathbf{k}^2 + m_b^2}/T} + 1}$$

since  $m_b = m_{\bar{b}}$  from the *CPT* invariance.

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possibilities ?

- $B$  violation  $\left\{ \begin{array}{l} \text{explicit violation} \quad \text{GUTs} \\ \text{spontaneous viol.} \quad \langle \text{squark} \rangle \neq 0 \\ \text{chiral anomaly} \quad \text{sphaleron process} \end{array} \right.$

It must be suppressed at present for proton not to decay.

- $C$  violation  $\Leftarrow$  chiral gauge interactions (EW, GUTs)

- $CP$  violation  $\left\{ \begin{array}{l} \text{KM phase in the MSM} \\ \text{beyond the SM ?} \end{array} \right.$

- out of equilibrium  $\left\{ \begin{array}{l} \text{expansion of the universe} \\ \text{first-order phase transition} \\ \text{reheating after inflation} \end{array} \right.$

All these conditions must be satisfied at the same time.

$SU(5)$  model:

$$\text{matter: } \begin{cases} \mathbf{5}^* : \psi_L^i & \ni d_R^c, l_L \\ \mathbf{10} : \chi_{[ij]L} & \ni q_L, u_R^c, e_R^c \end{cases}$$

$i = 1 - 5 \rightarrow (\alpha = 1 - 3, a = 1, 2)$

$$\text{gauge: } A_\mu = \begin{pmatrix} G_\mu, B_\mu & X_\mu^{a\alpha} \\ X_\mu^{a\alpha} & W_\mu, B_\mu \end{pmatrix}$$

$$\begin{aligned} \mathcal{L}_{\text{int}} &\ni g\bar{\psi}\gamma^\mu A_\mu\psi + g\text{Tr}[\bar{\chi}\gamma^\mu\{A_\mu, \chi\}] \\ &\ni gX_{\alpha\mu}^a [\varepsilon^{\alpha\beta\gamma}\bar{u}_{R\gamma}^c\gamma^\mu q_{L\beta a} + \epsilon_{ab}(\bar{q}_{L\alpha b}\gamma^\mu e_R^c + \bar{l}_{Lb}\gamma^\mu d_{R\alpha}^c)] \end{aligned}$$

process	br. ratio	$B$
$X \longrightarrow qq$	$r$	$2/3$
$X \longrightarrow \bar{q}\bar{l}$	$1 - r$	$-1/3$
$\bar{X} \longrightarrow \bar{q}\bar{q}$	$\bar{r}$	$-2/3$
$\bar{X} \longrightarrow q, l$	$1 - \bar{r}$	$1/3$

in the decay of  $X$ - $\bar{X}$  pairs

$$\langle \Delta B \rangle = \frac{2}{3}r - \frac{1}{3}(1 - r) - \frac{2}{3}\bar{r} + \frac{1}{3}(1 - \bar{r}) = r - \bar{r}$$

$\therefore C$  or  $CP$  is conserved ( $r = \bar{r}$ )  $\implies \Delta B = 0$

If the inverse process is suppressed,  $B \propto r - \bar{r}$  is generated.



$X\bar{X} \leftrightarrow qq, \bar{q}\bar{l}$  : out of equilibrium

At  $T \simeq m_X$ , decay rate of  $X$   $= \Gamma_D \simeq \alpha m_X$

$\alpha \sim 1/40$  for gauge boson,  $\alpha \sim 10^{-6 \sim -3}$  for Higgs boson

Hubble parameter :  $H \sim 1.7 \sqrt{g_*} \frac{T^2}{m_{Pl}}$

$g_* \simeq 10^{2 \sim 3}$  : massless degrees of freedom

$\therefore \Gamma_D \simeq H$  at  $T \simeq m_X$

$\implies$  decay and production of  $X \bar{X}$  are **out of equil.**

**N.B.**

The  $SU(5)$  GUT model **conserves  $B - L$** .

*i.e.*  $B + L$ -genesis



**washed-out** by the sphaleron process, as we see later



leptogenesis  $\implies$  **BAU**       $B = -L$

## other candidates for generating BAU

- $\exists$  Majorana neutrino  $\Rightarrow L$ -violating interaction

[Fukugita & Yanagida, PL '86]

decoupling of heavy- $\nu$  decay  
 $CP$  violation in the lepton sector }  $\Rightarrow$  Leptogenesis

sphaleron  
 $\Rightarrow$  BAU

[recent review: Buchmüller & Plümacher, hep-ph/0007176]

- Affleck-Dine mechanism in a supersymmetric model

[Affleck & Dine, NPB '86]

$\langle \text{squark} \rangle \neq 0$  or  $\langle \text{slepton} \rangle \neq 0$  along (nearly) flat directions,  
 at high temperature  
 coherent motion of complex  $\langle \tilde{q} \rangle, \langle \tilde{l} \rangle \neq 0$   $B, C, CP$  viol.  
 $\Rightarrow B$ - and/or  $L$ -genesis

- Electroweak Baryogenesis

(1)  $\Delta(B + L) \neq 0$  { enhanced by sphaleron at  $T > T_C$   
 suppressed by instanton at  $T = 0$

(2)  $C$ -violation (chiral gauge)

$CP$ -violation: KM phase or extension of the MSM

(3) first-order EWPT with expanding bubble walls

- topological defects

EW string, domain wall  $\sim$  EW baryogenesis

effective volume is too small, mass density of the universe

## 2. Sphaleron process

★ Anomalous fermion number nonconservation

axial anomaly in the standard model

$$\begin{aligned}\partial_\mu j_{B+L}^\mu &= \frac{N_f}{16\pi^2} [g^2 \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) - g'^2 B_{\mu\nu} \tilde{B}^{\mu\nu}] \\ \partial_\mu j_{B-L}^\mu &= 0\end{aligned}$$

$N_f$  = number of the generations,  $\tilde{F}^{\mu\nu} \equiv \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$

integrating these equations,

$$\begin{aligned}B(t_f) - B(t_i) &= \int_{t_i}^{t_f} d^4x \frac{1}{2} [\partial_\mu j_{B+L}^\mu + \partial_\mu j_{B-L}^\mu] \\ &= \frac{N_f}{32\pi^2} \int_{t_i}^{t_f} d^4x [g^2 \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) - g'^2 B_{\mu\nu} \tilde{B}^{\mu\nu}] \\ &= N_f [N_{CS}(t_f) - N_{CS}(t_i)]\end{aligned}$$

where  $N_{CS}$  is the Chern-Simons number:

in the  $A_0 = 0$  gauge,

$$N_{CS}(t) = \frac{1}{32\pi^2} \int d^3x \epsilon_{ijk} \left[ g^2 \text{Tr} \left( F_{ij} A_k - \frac{2}{3} g A_i A_j A_k \right) - g'^2 B_{ij} B_k \right]_t$$

— gauge-dependent

classical vacua of the gauge sector  $\mathcal{E} = \frac{1}{2}(\mathbf{E}^2 + \mathbf{B}^2) = 0$

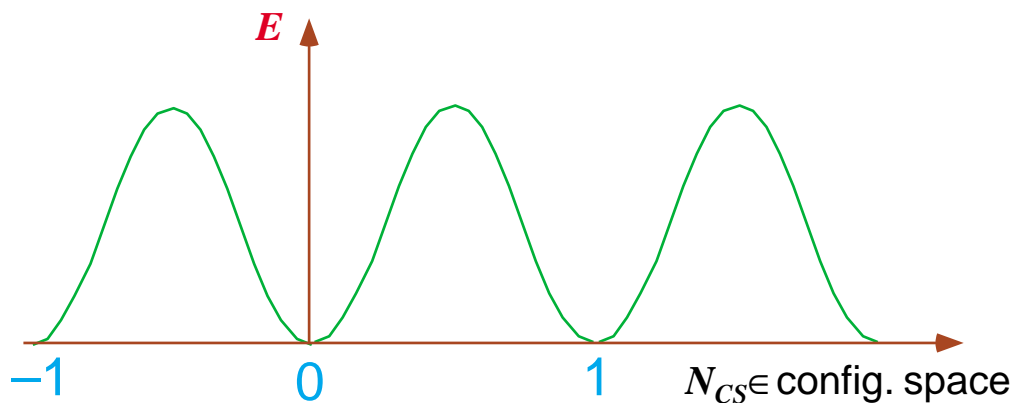
$$\iff F_{ij} = B_{ij} = 0$$

$$\iff A = iU^{-1}dU \text{ and } B = dv \text{ with } U \in SU(2)$$

$$\therefore U(\mathbf{x}) : S^3 \ni \mathbf{x} \longrightarrow U \in SU(2) \simeq S^3$$

$\pi_3(S^3) \simeq \mathbf{Z} \implies U(\mathbf{x})$  is classified by an integer  $N_{CS}$ .

energy functional vs configuration space



background  $U$  changes with  $\Delta N_{CS} = 1$

$\implies \Delta B = 1$  ( $\Delta L = 1$ ) in each (left-) generation

$$\iff \begin{cases} \bullet \text{ level crossing} \\ \bullet \text{ index theorem} \end{cases}$$

Transition of the field config. with  $\Delta B \neq 0$  ?

▷ quantum tunneling low temperature

▷ thermal activation high temperature

transition rate with  $\Delta N_{CS} = 1 \iff$  WKB approx.

$T = 0$

(valley or constrained) instanton = *finite euclidean action*

tunneling probability  $\sim e^{-2S_{\text{inst}}} = e^{-8\pi^2/g^2}$

for EW theory,  $e^{-2S_{\text{inst}}} \simeq e^{-378} = 10^{-164}$

[cf. QCD —  $\theta$ -vacuum]

$T \neq 0$

[Affleck, P.R.L.46('81)]

$\exists$  classical static **saddle-point** solution with *finite energy*

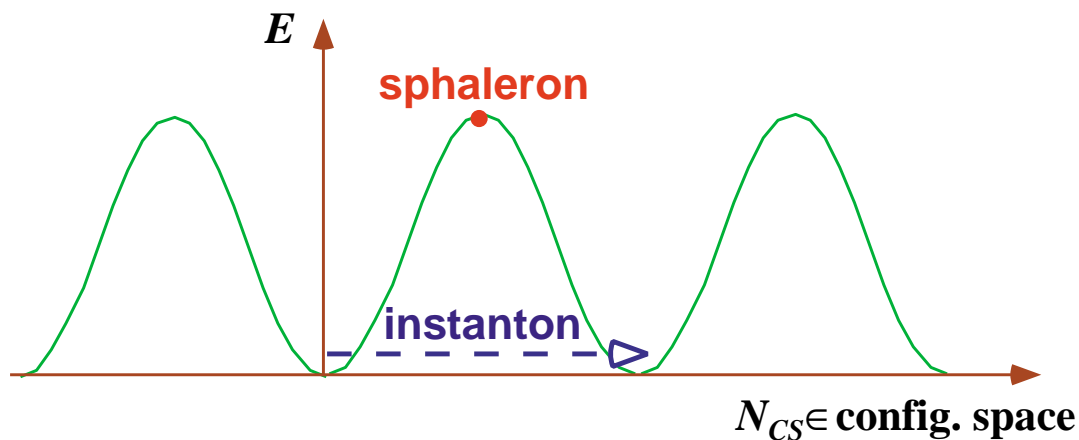
$\Updownarrow$

top of the energy barrier dividing two classical vacua

$\parallel$

**sphaleron** solution [Manton, P.R.D28('83)]

$\sigma\varphi\alpha\lambda\epsilon\rho\sigma$  = 'ready to fall'



$$E_{\text{sph}}(T = 0) = \frac{2M_W}{\alpha_W} B \left( \frac{\lambda}{g^2} \right) \simeq 10 \text{TeV}$$

$\lambda$ : the Higgs self coupling,  $\alpha_W = g^2/(4\pi)$

$1.5 \leq B \leq 2.7$  for  $\lambda/g^2 \in [0, \infty)$



★ Transition rate

[Arnold and McLerran, P.R.D36('87)]

♣  $\omega_- / (2\pi) \lesssim T \lesssim T_C$

$\omega_-$ : negative-mode freq. around the sphaleron

$$\Gamma_{\text{sph}}^{(b)} \simeq k \mathcal{N}_{\text{tr}} \mathcal{N}_{\text{rot}} \frac{\omega_-}{2\pi} \left( \frac{\alpha_W(T) T}{4\pi} \right)^3 e^{-E_{\text{sph}}/T}$$

zero modes  $\rightarrow \begin{cases} \mathcal{N}_{\text{tr}} = 26 \\ \mathcal{N}_{\text{rot}} = 5.3 \times 10^3 \end{cases}$  for  $\lambda = g^2$

$\omega_-^2 \simeq (1.8 \sim 6.6) m_W^2$  for  $10^{-2} \leq \lambda/g^2 \leq 10$

$k \simeq O(1)$

♣  $T \gtrsim T_C$  symmetric phase — no mass scale

dimensional analysis :

$$\Gamma_{\text{sph}}^{(s)} \simeq \kappa (\alpha_W T)^4$$

check by Monte Carlo simulation  $\langle N_{CS}^2(t) \rangle = e^{-2\Gamma V t}$  as  $t \rightarrow \infty$

$\kappa > 0.4$   $SU(2)$  gauge-Higgs system

[Ambjørn, et al. N.P.B353('91)]

$\kappa = 1.09 \pm 0.04$   $SU(2)$  pure gauge system

[Ambjørn and Krasnitz, P.L.B362('95)]

'sphaleron transition' even in the symmetric phase.

★ Washout of  $B + L$  [Kuzmin, Rubakov, Shaposhnikov, PLB, '85]

sphaleron process is in equilibrium  $\iff \Gamma_{\text{sph}} > H$

At  $T = T_C \simeq 100\text{GeV}$ ,

$$H(T_C) = \frac{\dot{R}(t)}{R(t)} \simeq 1.7 \sqrt{g_*} \frac{T_C^2}{m_{Pl}} \simeq 10^{-13} \text{GeV}$$

$g_* \sim 100$  : effective massless degrees of freedom

At  $T > T_C$ ,

$$\Gamma_{\text{sph}} \simeq \Gamma_{\text{sph}}^{(s)}/T^3 \simeq \kappa \alpha_W^4 T \sim 10^{-4} \text{GeV} \gg H(T_C)$$

$\implies$   $B + L$ -changing process in equilibrium

relic baryon number after the washout

[Harvey & Turner, PRD, '90]

particle number density  $[m/T \ll 1 \text{ and } \mu/T \ll 1]$

$$n_+ - n_- = \int \frac{d^3\mathbf{k}}{(2\pi)^2} \left[ \frac{1}{e^{\beta(\omega_k - \mu)} \mp 1} - \frac{1}{e^{\beta(\omega_k + \mu)} \mp 1} \right]$$
$$\simeq \begin{cases} \frac{T^3}{3} \frac{\mu}{T} & \text{for bosons} \\ \frac{T^3}{6} \frac{\mu}{T} & \text{for fermions,} \end{cases}$$

chemical equilibrium — all the gauge int., Yukawa, sphaleron

$W^-$	$u_{L(R)}$	$d_{L(R)}$	$e_{iL(R)}$	$\nu_{iL}$	$\phi^0$	$\phi^-$
$\mu_W$	$\mu_{u_{L(R)}}$	$\mu_{d_{L(R)}}$	$\mu_{iL(R)}$	$\mu_i$	$\mu_0$	$\mu_-$

$$\text{gauge int.} \Leftrightarrow \mu_W = \mu_{d_L} - \mu_{u_L} = \mu_{iL} - \mu_i = \mu_- + \mu_0$$

$$|0\rangle \leftrightarrow u_L d_L d_L \nu_L \Leftrightarrow N_f(\mu_{u_L} + 2\mu_{d_L}) + \sum_i \mu_i = 0$$

Quantum number densities [in unit of  $T^2/6$ ]

$$B = N_f(\mu_{u_L} + \mu_{u_R} + \mu_{d_L} + \mu_{d_R}) = 4N_f\mu_{u_L} + 2N_f\mu_W,$$

$$L = \sum_i (\mu_i + \mu_{iL} + \mu_{iR}) = 3\mu + 2N_f\mu_W - N_f\mu_0$$

$$Q = \frac{2}{3}N_f(\mu_{u_L} + \mu_{u_R}) \cdot 3 - \frac{1}{3}N_f(\mu_{d_L} + \mu_{d_R}) \cdot 3$$

$$- \sum_i (\mu_{iL} + \mu_{iR}) - 2 \cdot 2\mu_W - 2m\mu_-$$

$$= 2N_f\mu_{u_L} - 2\mu - (4N_f + 4 + 2m)\mu_W + (4N_f + 2m)\mu_0$$

$$I_3 = \frac{1}{2}N_f(\mu_{u_L} - \mu_{d_L}) \cdot 3 + \frac{1}{2} \sum_i (\mu_i - \mu_{iL})$$

$$- 2 \cdot 2\mu_W - 2 \cdot \frac{1}{2}m(\mu_0 - \mu_-)$$

$$= -(2N_f + m + 4)\mu_W$$

$$\mu \equiv \sum_i \mu_i, \quad m : \text{number of Higgs doublets}$$

- symmetric phase  $\implies Q = I_3 = 0$

$$B = \frac{8N_f + 4m}{22N_f + 13m}(B - L), \quad L = -\frac{14N_f + 9m}{22N_f + 13m}(B - L)$$

- broken phase  $\implies Q = 0$  and  $\mu_0 = 0$

$$B = \frac{8N_f + 4m + 8}{24N_f + 13m + 26}(B - L)$$

$$L = -\frac{16N_f + 9m + 18}{24N_f + 13m + 26}(B - L)$$

$\therefore$  If  $(B - L)_{\text{primordial}} = 0$ ,  $B = L = 0$  at present !

To have nonzero BAU,

- (i) we must have  $B - L$  before the sphaleron process decouples, or
- (ii)  $B + L$  must be created at the first-order EWPT, and the sphaleron process must decouple immediately after that.

(i)  $\Leftarrow$  GUTs, Majorana  $\nu$ , Affleck-Dine

(ii) = Electroweak Baryogenesis

### 3. Electroweak phase transition (EWPT)

rate of any interaction at  $T$  :  $\Gamma(T) > H(T)$

⇒ equilibrium thermodynamics can be applied to study static properties

- transition temperature  $T_C$
- order of the phase transition
- latent heat and surface tension for 1st order PT

↑

free energy density = effective potential:

$$V_{\text{eff}}(v; T) = -\frac{1}{V} T \log Z = -\frac{1}{V} T \log \text{Tr} \left[ e^{-H/T} \right]_{\langle \phi \rangle = v}$$

where

$H$  = hamiltonian of the QFT

$$e.g. \quad H = \int d^3x \left[ \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + V(\phi) \right]$$

$v = \langle \phi \rangle$  = order parameter

when the symmetry of the theory is broken by  $v \neq 0$

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thermodynamic quantities

$$E/V = \frac{1}{ZV} \text{Tr} \left[ H e^{-H/T} \right] = V_{\text{eff}} - T \frac{\partial V_{\text{eff}}}{\partial T} = \sigma T^4$$

$$\therefore V_{\text{eff}}(v = 0; T) = -\text{const.} T^4$$

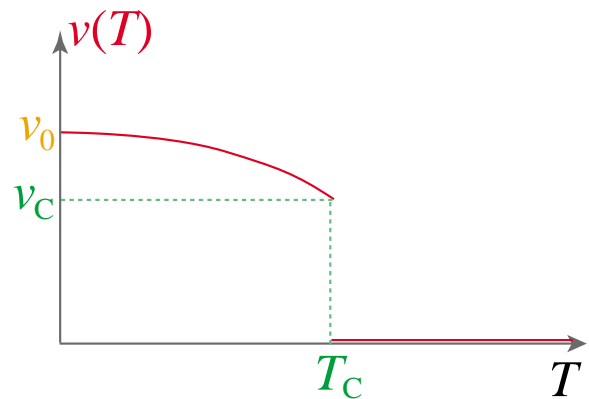
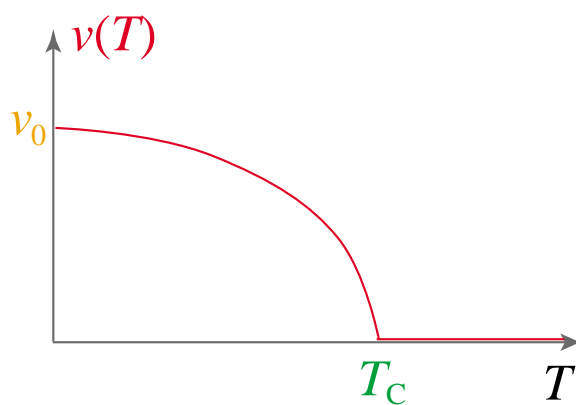
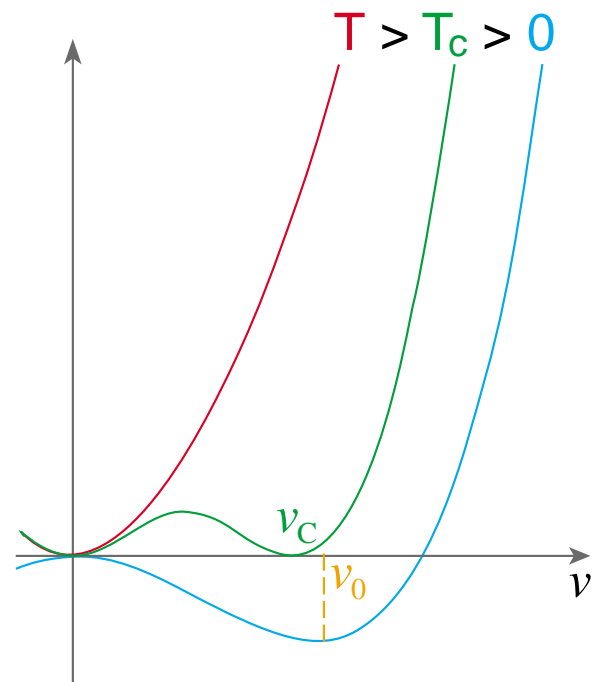
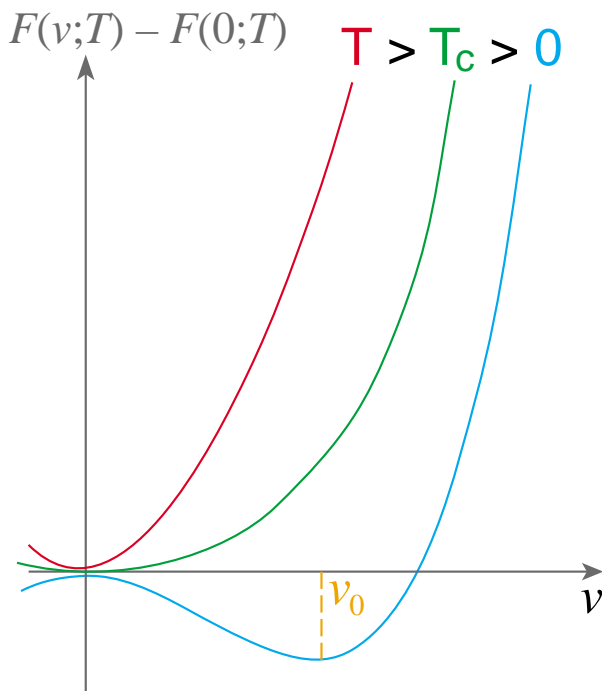
$$s = S/V = -\frac{\partial V_{\text{eff}}}{\partial T} \propto T^3 \quad (\because F = E - TS)$$

$V_{\text{eff}}(v; T) \iff$  finite-temperature QFT

[review: Brandenberger, Rev.Mod.Phys. '85]

2nd order phase transition

1st order phase transition



Minimal SM (MSM)

order parameter = Higgs VEV:  $\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \varphi \end{pmatrix}$

$\therefore$  1st order EWPT  $\iff \varphi_C \equiv \lim_{T \uparrow T_C} \varphi(T) \neq 0$

$$Z = \text{Tr} \left[ e^{-H/T} \right] = \int [d\phi] \exp \left\{ - \int_0^{1/T} d\tau \int d^3 \mathbf{x} \mathcal{L}_E(\phi) \right\}$$

where

$$\mathcal{L}_E(\phi) = \frac{1}{2} \dot{\phi}^2 + \frac{1}{2} (\nabla \phi)^2 + V(\phi), \quad \text{Euclidean: } \tau = -it$$

$$\phi(0) = \phi(1/T) \quad \text{periodic b.c.}$$

anti-periodic b.c. for fermions

*e.g.* at the one-loop level (MSM),

$$V_{\text{eff}}(\varphi; T) = V_{\text{tree}}(\varphi) + V^{(1)}(\varphi; T),$$

where

$$V_{\text{tree}}(\varphi) = -\frac{1}{2} \mu_0^2 \varphi^2 + \frac{\lambda_0}{4} \varphi^4$$

$$V^{(1)}(\varphi; T) = -\frac{i}{2} \sum_A c_A \int_k \log \det [i\mathcal{D}_A^{-1}(k; \varphi)]$$

with

$\mu_0^2, \lambda_0$  : bare parameters  $\leftarrow$  renormalized at  $T = 0$

$A$  runs over all the particle species

$|c_A|$  counts the degrees of freedom  $\left\{ \begin{array}{ll} c_A > 0 & \text{for bosons} \\ c_A < 0 & \text{for fermions} \end{array} \right.$

$$\int_k \equiv iT \sum_{n=-\infty}^{\infty} \int \frac{d^3 \mathbf{k}}{(2\pi)^3}$$

$$\text{with } k^0 = i\omega_n = i \begin{cases} 2n\pi T & \text{for bosons,} \\ (2n+1)\pi T & \text{for fermions.} \end{cases}$$

$\mathcal{D}_A(k; \varphi)$  : propagator in the background  $\varphi$   
i.e.

$$W\text{-boson} : \begin{cases} c_W = 2 \\ i\mathcal{D}_W^{-1\mu\nu}(k; \varphi) \\ = (-k^2 + m_W^2(\varphi))\eta^{\mu\nu} + (1 - \frac{1}{\xi})k^\mu k^\nu \\ m_W(\varphi) = \frac{1}{2}g\varphi \end{cases}$$

$$\text{Dirac fermion} : \begin{cases} c_f = -2 \\ i\mathcal{D}_f^{-1}(k; \varphi) = \not{k} - m_f(\varphi) \\ m_f(\varphi) = y_f\varphi/\sqrt{2} \end{cases}$$

### formulas

$$\int_k \log(k^2 - m^2) = \int \frac{d^4 k}{(2\pi)^4} \log(k^2 - m^2) \pm 2iT \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \log(1 \mp e^{-\omega_k/T}),$$

$$\int_k \frac{1}{k^2 - m^2} = \int \frac{d^4 k}{(2\pi)^4} \frac{1}{k^2 - m^2} \mp i \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{\omega_k} \frac{1}{e^{\omega_k/T} \mp 1},$$

etc.  $\omega_k = \sqrt{\mathbf{k}^2 + m^2}$



For the MSM,

$$V_{\text{eff}}(\varphi; T) = V_0(\varphi) + \bar{V}(\varphi; T)$$

where

$$V_0(\varphi) = -\frac{1}{2}\mu^2\varphi^2 + \frac{\lambda}{4}\varphi^4 + 2Bv_0^2\varphi^2 + B\varphi^4 \left[ \log\left(\frac{\varphi^2}{v_0^2}\right) - \frac{3}{2} \right]$$

$$\bar{V}(\varphi; T) = \frac{T^4}{2\pi^2} [6I_B(a_W) + 3I_B(a_Z) - 6I_F(a_t)]$$

$$B = \frac{3}{64\pi^2 v_0^4} (2m_W^4 + m_Z^4 - 4m_t^4)$$

$$I_{B,F}(a^2) \equiv \int_0^\infty dx x^2 \log\left(1 \mp e^{-\sqrt{x^2+a^2}}\right)$$

with

$v_0 = 246\text{GeV}$  is the minimum of  $V_0(\varphi)$

$a_A = m_A(\varphi)/T$

high-temperature expansion [ $m/T \ll 1$ ]

$$I_B(a^2) = -\frac{\pi^4}{45} + \frac{\pi^2}{12}a^2 - \frac{\pi}{6}(a^2)^{3/2} - \frac{a^4}{16} \log \frac{\sqrt{a^2}}{4\pi} - \frac{a^4}{16} \left( \gamma_E - \frac{3}{4} \right) \frac{a^4}{2} + O(a^6)$$

$$I_F(a^2) = \frac{7\pi^4}{360} - \frac{\pi^2}{24}a^2 - \frac{a^4}{16} \log \frac{\sqrt{a^2}}{\pi} - \frac{a^4}{16} \left( \gamma_E - \frac{3}{4} \right) + O(a^6)$$

For  $T > m_W, m_Z, m_t$ ,

$$V_{\text{eff}}(\varphi; T) \simeq D(T^2 - T_0^2)\varphi^2 - ET\varphi^3 + \frac{\lambda_T}{4}\varphi^4$$

where

$$D = \frac{1}{8v_0^2}(2m_W^2 + m_Z^2 + 2m_t^2)$$

$$E = \frac{1}{4\pi v_0^3}(2m_W^3 + m_Z^3) \sim 10^{-2}$$

$$T_0^2 = \frac{1}{2D}(\mu^2 - 4Bv_0^2)$$

$$\lambda_T = \lambda$$

$$- \frac{3}{16\pi^2 v_0^4} \left( 2m_W^4 \log \frac{m_W^2}{\alpha_B T^2} + m_Z^4 \log \frac{m_Z^2}{\alpha_B T^2} - 4m_t^4 \log \frac{m_t^2}{\alpha_F T^2} \right)$$

with  $\log \alpha_B = 2 \log 4\pi - 2\gamma_E$  and  $\log \alpha_F = 2 \log \pi - 2\gamma_E$

$$\text{At } T_C, \quad \varphi_C = \frac{2ET_C}{\lambda_{T_C}}$$

$$\Gamma_{\text{sph}}^{(b)}/T_C^3 < H(T_C) \iff \frac{\varphi_C}{T_C} \gtrsim 1$$

$\implies$  upper bound on  $\lambda$

$$[m_H = \sqrt{2}\lambda v_0]$$

$$m_H \lesssim 46\text{GeV}$$

$\longleftrightarrow$  inconsistent with the lower bound  $m_H > 95.3\text{GeV}$

## ★ Monte Carlo simulations

[MSM]

effective fermion mass :  $m_f(T) \sim O(T) \leftarrow$  nonzero modes

$\therefore$  simulation **only with the bosons**

QFT on the lattice  $\left\{ \begin{array}{l} \text{scalar fields: } \phi(x) \text{ on the sites} \\ \text{gauge fields: } U_\mu(x) \text{ on the links} \end{array} \right.$

$$Z = \int [d\phi dU_\mu] \exp \{ -S_E[\phi, U_\mu] \}$$

- 3-dim.  $SU(2)$  system with a Higgs doublet and a triplet



time-component of the gauge field

only zero-freq. modes of the bosons survive as  $T \rightarrow$  large

matching finite- $T$  Green's functions with 4-dim. theory

$\Rightarrow T$ -dependent parameters

[Laine & Rummukainen, hep-lat/9809045]

- 4-dim.  $SU(2)$  system with a Higgs doublet

[Csikor, hep-lat/9910354]

EWPT is first order for  $m_h < 66.5 \pm 1.4 \text{ GeV}$

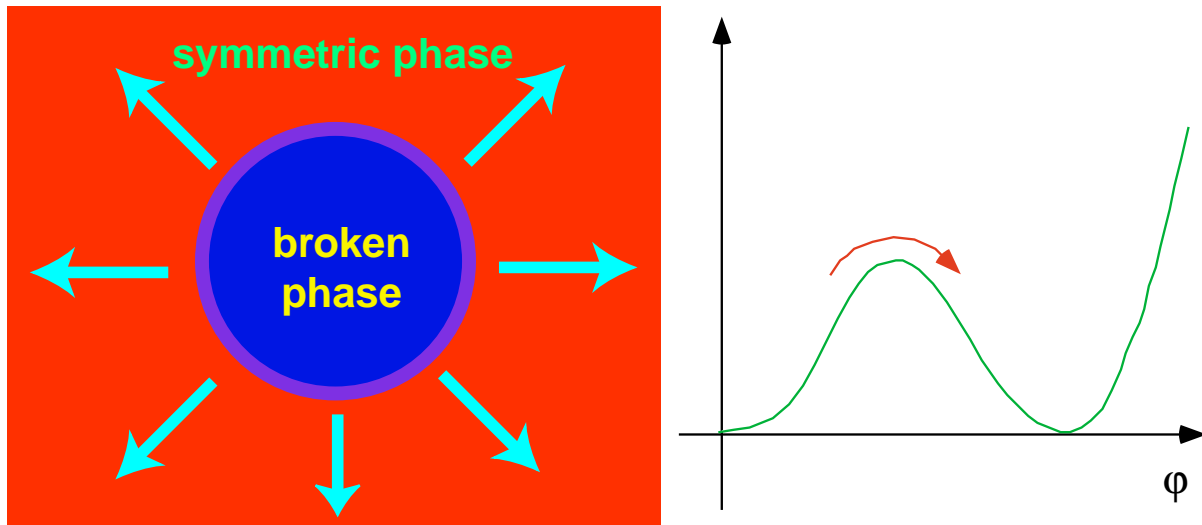
Both the simulations found end-point of EWPT at

$$m_h = \left\{ \begin{array}{l} 72.3 \pm 0.7 \text{ GeV} \\ 72.1 \pm 1.4 \text{ GeV} \end{array} \right. \Rightarrow \boxed{\text{no PT in the MSM !}}$$

no out-of-equilibrium state at the EWPT

## ★ Dynamics of the phase transition

first-order EWPT accompanying bubble nucleation/growth



Suppose that  $V_{\text{eff}}(\varphi; T_C)$  is known.

nucleation rate per unit time and unit volume:

$$I(T) = I_0 e^{-\Delta F(T)/T}$$

where

$$\Delta F(T) = \frac{4\pi}{3} r^3 [p_s(T) - p_b(T)] + 4\pi r^2 \sigma$$

with

$$p_s(T) = -V_{\text{eff}}(0; T), \quad p_b(T) = -V_{\text{eff}}(\varphi(T); T)$$

supercooling  $\longrightarrow p_s(T) < p_b(T)$

$\sigma \simeq \int dz (d\varphi/dz)^2$  : surface energy density

radius of the critical bubble :  $r_*(T) = \frac{2\sigma}{p_b(T) - p_s(T)}$

## How the EWPT proceeds ? [Carrington and Kapsta, P.R.D47('93)]

$f(t)$  : fraction of space converted to the broken phase

$$f(t) = \int_{t_C}^t dt' I(T(t')) [1 - f(t')] V(t', t)$$

where

$V(t', t)$  : volume of a bubble at  $t$  which was nucleated at  $t'$

$$V(t', t) = \frac{4\pi}{3} \left[ r_*(T(t')) + \int_{t'}^t dt'' v(T(t'')) \right]^3$$

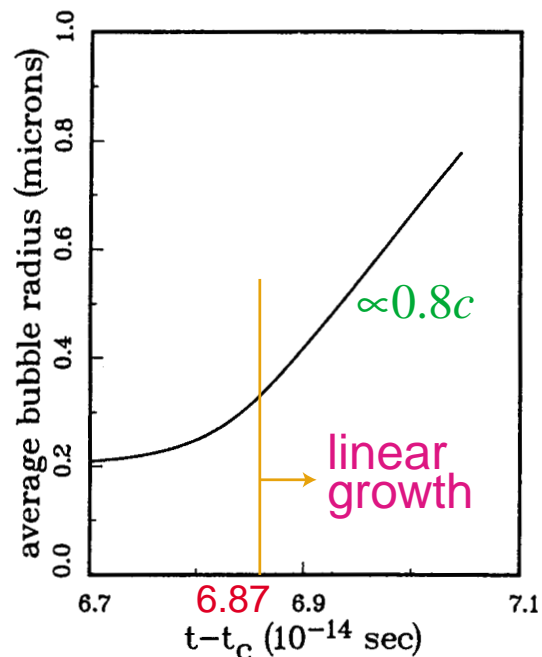
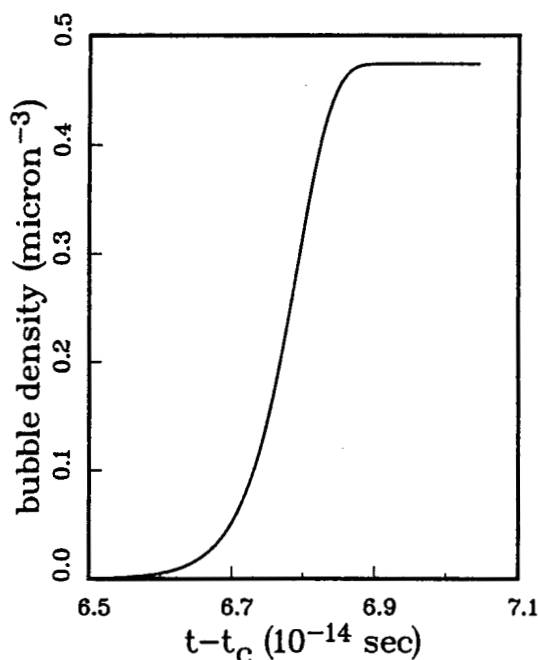
$T = T(t) \Leftarrow \rho = (\pi^2/30) g_* T^4 \propto R^{-4}$  for RD universe

$v(T)$  : wall velocity

- one-loop  $V_{\text{eff}}$  of MSM with  $m_H = 60\text{GeV}$  and  $m_t = 120\text{GeV}$

At  $t = 6.5 \times 10^{-14}$  sec, bubbles began to nucleate.

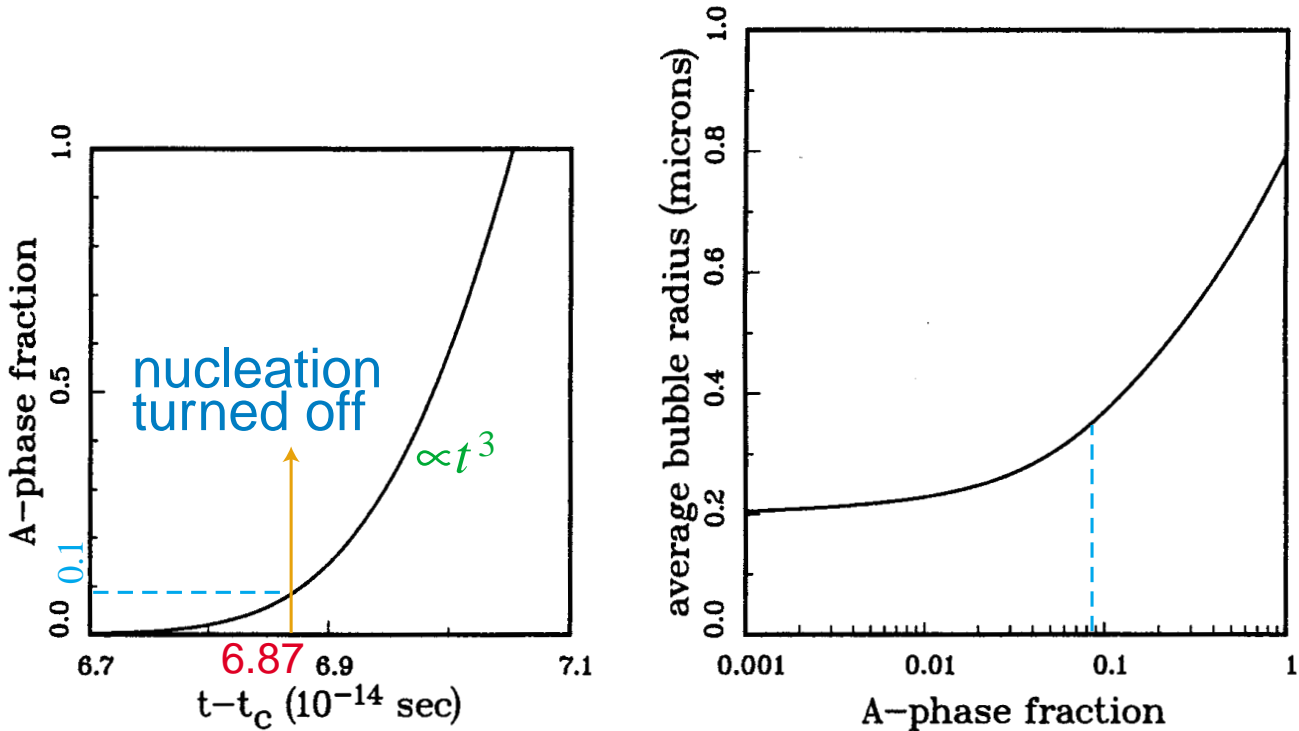
[A characteristic time scale of the EW processes is  $O(10^{-26})$ sec.]



horizon size :  $H^{-1} \simeq 7.1 \times 10^{12} \text{ GeV}^{-1} = 0.14 \text{ cm}$

$r = 0.3 \mu\text{m} \Rightarrow \#(\text{bubbles within a horizon}) \simeq 3 \times 10^{11}$

very small supercooling :  $\frac{T_C - T_N}{T_C} \simeq 2.5 \times 10^{-4}$



90% of the universe is converted by bubble growth

weakly first order  $\iff$  small  $\varphi_C$  and/or lower barrier height

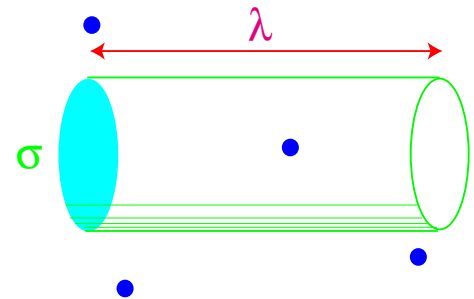
- $\implies$  { nucleation dominance over growth  
thick bubble wall  
large fluctuation between the two phases

## 4. Electroweak baryogenesis

★ various time scales at  $T \simeq T_C$

$\sigma$  : total cross section of some interaction

mean free path :  $\lambda \cdot \sigma = \frac{1}{n}$



where  $n$  is the density of the particles.

$$n = g \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{e^{|\mathbf{k}|/T} \mp 1} = \begin{cases} \frac{\zeta(3)}{\pi^2} g T^3, \\ \frac{3}{4} \frac{\zeta(3)}{\pi^2} g T^3, \end{cases}$$

$$\zeta(3) = 1.2020569 \dots$$

$$\text{mean free time} = \bar{t} = \frac{\lambda}{v} = \frac{\lambda}{\sqrt{1 - m^2/E^2}} \simeq \lambda \quad \text{for } E \gg m$$

Since  $\sigma \simeq \alpha^2/s$  and  $\sqrt{s} \sim T$  at  $T$ ,

$$\lambda \simeq \frac{10}{g_* T^3} \cdot \frac{T^2}{\alpha^2} \simeq \frac{1}{10\alpha^2 T}$$

At  $T = 100\text{GeV}$ ,

$$\lambda_s \simeq \frac{1}{10^3 \alpha_s^2} \sim 0.1 \text{GeV}^{-1} \quad \text{for strong interactions}$$

$$\lambda_{EW} \simeq \frac{1}{10^3 \alpha_W^2} \sim 1 \text{GeV}^{-1} \quad \text{for electroweak interactions}$$

$$\lambda_Y \simeq \left( \frac{m_W}{m_f} \right)^4 \lambda_{EW} \quad \text{for Yukawa interactions}$$

$$\alpha_s(m_Z) = 0.117 \pm 0.005$$

$$\alpha_W = \alpha_{QED} / \sin^2 \theta_W \simeq 1/30$$

the time scale of the universe expansion:

$$H^{-1}(T) \simeq 10^{14} \text{GeV}^{-1}$$

time scale of the sphaleron process:

$$\bar{t}_{\text{sph}} \simeq (\Gamma_{\text{sph}}/n)^{-1} \sim 10^5 \text{GeV}^{-1}$$

EW bubble wall thickness and velocity:

$$l_w \simeq \frac{1 \sim 40}{T} \simeq 0.01 \sim 0.4 \text{GeV}^{-1}$$

$$v_w \simeq 0.1 \sim 0.9 \quad [\text{Liu, McLerran and Turok, PRD,'92}]$$

time scale of the EW bubble wall motion

$$t_{\text{wall}} = \frac{l_w}{v_w} \simeq 0.01 \sim 4 \text{GeV}^{-1}.$$



From these we observe:

1. All the particles are in *kinetic equilibrium at the same temperature*, because of  $H^{-1} \gg \bar{t}_{EW}$ , far from the bubble wall.
2. The Yukawa interactions of the light fermions ( $m_f < 0.1\text{GeV}$ ) are *out of chemical equilibrium*.
3. Some of the flavor-changing interactions are *out of chemical equilibrium* because of small KM matrix elements.
4. Since for the leptons  $\lambda_Y > \lambda_{EW} \gg l_w$ , the leptons propagate almost freely before and after the scattering off the bubble wall.
5. Because of  $t_{\text{wall}} \ll \bar{t}_{\text{sph}}$ , the sphaleron process is *out of chemical equilibrium* near the bubble wall.

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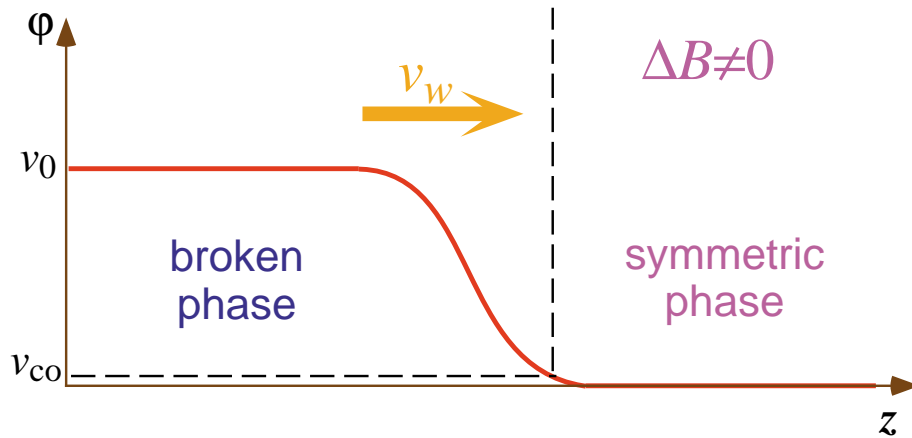
review articles on EW baryogenesis

K.F., Prog.Theor.Phys.**96** ('96) 475.

V.A. Rubakov and M.E. Shaposhnikov, hep-ph/9603208.

A.Cohen, et al., Ann.Rev.Nucl.Part.Sci. **33** ('93) 27.

## ★ Mechanism



$$v_{co} \simeq 0.01v_0 \iff E_{\text{sph}}/T_C \simeq 1$$

**bubble wall**  $\iff$  classical config. of the gauge-Higgs system

- interactions between the particles and the bubble wall
- accumulation of chiral charge in the symmetric phase



generation of baryon number through sphaleron process



decoupling of sphaleron process in the broken phase

- 2 scenarios: {
- spontaneous baryogenesis + diffusion  
classical, adiabatic
  - charge transport scenario  
quantum mechanical, nonlocal

Both need CP violation other than KM matrix

$\iff$  extension of the MSM

two-Higgs-doublet model, MSSM, ...

## ★ Charge transport mechanism

[Nelson, et al. NPB, '92]

CP violation in the Higgs sector [spacetime-dependent]



difference in reflections of chiral fermions and antifermions



net chiral charge flux into the symmetric phase

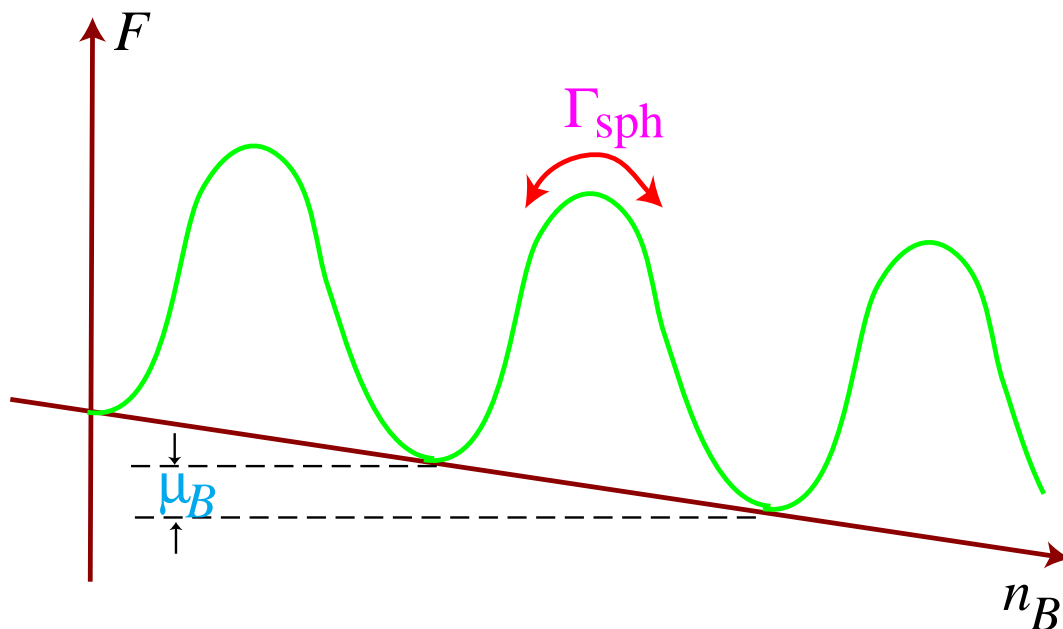


change of distribution functions by the chiral charge  
with the sphaleron process in equilibrium

⇐ Boltzmann equations

bubble wall velocity  $\simeq$  const.  $\Rightarrow$  constant chiral charge flux

$\Rightarrow$  bias on free energy along  $B$  [stationary nonequilibrium]



$$\dot{n}_B \simeq -\frac{\mu_B \Gamma_{\text{sph}}}{T}$$

## ★ Derivation of the B-changing rate

$P(i; t)$  = probability to find the system in state  $i$  at  $t$

$\Gamma_{i \rightarrow j}$  = transition prob. for  $i \rightarrow j$  per unit time

master equation:

$$\begin{aligned} P(i; t + \Delta t) - P(i; t) \\ = - \sum_{j \neq i} P(i; t) \Gamma_{i \rightarrow j} \Delta t + \sum_{j \neq i} P(j; t) \Gamma_{j \rightarrow i} \Delta t \end{aligned}$$

steady state:  $P(i; t) \rightarrow P_{\text{eq}}(B) \Rightarrow$  detailed balance

$$\begin{aligned} \sum_{n=1}^{\infty} P_{\text{eq}}(B) (\Gamma_{B \rightarrow B+n} + \Gamma_{B \rightarrow B-n}) \\ = \sum_{n=1}^{\infty} [P_{\text{eq}}(B+n) \Gamma_{B+n \rightarrow B} + P_{\text{eq}}(B-n) \Gamma_{B-n \rightarrow B}], \end{aligned}$$

$$\begin{aligned} \Gamma_{B \rightarrow B+n} \simeq \Gamma_+^n, \quad \Gamma_{B \rightarrow B-n} \simeq \Gamma_-^n \\ P_{\text{eq}}(B+n) \propto e^{-F_{B+n}/T} = e^{-(F_B + n\mu_B)/T} \end{aligned}$$

Since  $\Gamma_{\pm} \ll 1$ , this reduces to

$$\Gamma_+ + \Gamma_- \simeq e^{-\mu_B/T} \Gamma_- + e^{\mu_B/T} \Gamma_+ \Rightarrow \frac{\Gamma_+}{\Gamma_-} \simeq e^{-\mu_B/T}$$

$$\begin{aligned} \Gamma_{\pm} = \text{rate per unit volume} \Rightarrow \dot{n}_B \equiv \Gamma_+ - \Gamma_- \\ \Gamma_+ \sim \Gamma_- \simeq \Gamma_{\text{sph}} \end{aligned}$$

$$\dot{n}_B = \Gamma_- \left( \frac{\Gamma_+}{\Gamma_-} - 1 \right) \simeq \Gamma_{\text{sph}} (e^{-\mu_B/T} - 1) \simeq -\frac{\Gamma_{\text{sph}} \mu_B}{T}$$

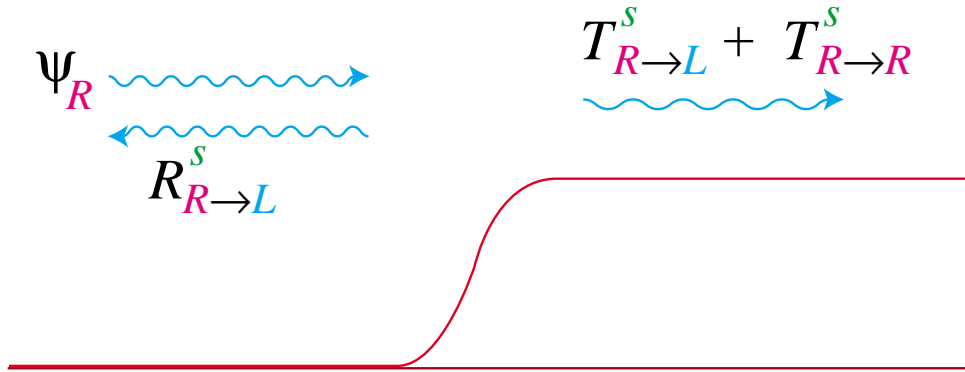
# fermion scattering-off $CP$ -violating bubble wall

$$i\partial\psi(x) - m(x)P_R\psi(x) - m^*(x)P_L\psi(x) = 0$$

where  $-f\langle\phi(x)\rangle = m(x) \in \mathbf{C}$  through the Yukawa int.

symmetric phase

broken phase



$Q_{L(R)}^i$  : charge of a  $L(R)$ -handed fermion of species  $i$

$R^s_{R \to L}$  : reflection coeff. for the  $R$ -handed fermion incident from the symmetric phase region

$\bar{R}^s_{R \to L}$  : the same as above for the  $R$ -handed antifermion

$\langle$ injected charge into symmetric phase $\rangle$  brought by the fermions and antifermions in the symmetric phase :

$$\Delta Q_i^s$$

$$\begin{aligned} &= [(Q_R^i - Q_L^i)R^s_{L \to R} + (-Q_L^i + Q_R^i)\bar{R}^s_{R \to L} \\ &+ (-Q_L^i)(T^s_{L \to L} + T^s_{L \to R}) - (-Q_R^i)(\bar{T}^s_{R \to L} + \bar{T}^s_{R \to R})]f^s_{Li} \\ &+ [(Q_L^i - Q_R^i)R^s_{R \to L} + (-Q_R^i + Q_L^i)\bar{R}^s_{L \to R} \\ &+ (-Q_R^i)(T^s_{R \to L} + T^s_{R \to R}) - (-Q_L^i)(\bar{T}^s_{L \to L} + \bar{T}^s_{L \to R})]f^s_{Ri} \end{aligned}$$

the same brought by transmission from the broken phase :

$$\begin{aligned}\Delta Q_i^b &= Q_L^i (T_{L \rightarrow L}^b f_{Li}^b + T_{R \rightarrow L}^b f_{Ri}^b) \\ &+ Q_R^i (T_{L \rightarrow R}^b f_{Li}^b + T_{R \rightarrow R}^b f_{Ri}^b) \\ &+ (-Q_L^i) (\bar{T}_{R \rightarrow L}^b f_{Li}^b + \bar{T}_{L \rightarrow L}^b f_{Ri}^b) \\ &+ (-Q_R^i) (\bar{T}_{R \rightarrow R}^b f_{Li}^b + \bar{T}_{L \rightarrow R}^b f_{Ri}^b)\end{aligned}$$

by use of

unitarity:  $R_{L \rightarrow R}^s + T_{L \rightarrow L}^s + T_{L \rightarrow R}^s = 1$ , etc.

reciprocity:  $T_{R \rightarrow L}^s + T_{R \rightarrow R}^s = T_{L \rightarrow L}^b + T_{R \rightarrow L}^b$ , etc.

$$f_{iL}^{s(b)} = f_{iR}^{s(b)} \equiv f_i^{s(b)}$$

we obtain

$$\Delta Q_i^s + \Delta Q_i^b = (Q_L^i - Q_R^i)(f_i^s - f_i^b) \Delta R$$

where

$$\Delta R \equiv R_{R \rightarrow L}^s - \bar{R}_{R \rightarrow L}^s$$

which depends on

- profile of the bubble wall  
wall thickness, height  
CP phase
- momentum of the incident particle

total flux injected into the *symmetric phase* region

$$F^i_Q = \frac{Q_L^i - Q_R^i}{4\pi^2\gamma} \int_{m_0}^{\infty} dp_L \int_0^{\infty} dp_T p_T \times [f_i^s(p_L, p_T) - f_i^b(-p_L, p_T)] \Delta R\left(\frac{m_0}{a}, \frac{p_L}{a}\right)$$

where

$$f_i^s(p_L, p_T) = \frac{p_L}{E \exp[\gamma(E - v_w p_L)/T] + 1}$$

$$f_i^b(-p_L, p_T) = \frac{p_L}{E \exp[\gamma(E + v_w \sqrt{p_L^2 - m_0^2})/T] + 1}$$

the fermion flux densities in the symmetric and broken phases.

$m_0$  : fermion mass in the broken phase

$v_w$  : wall velocity

$p_T$  : transverse momentum

$1/a$  : wall width

$$\gamma = 1/\sqrt{1 - v_w^2}$$

$$E = \sqrt{p_L^2 + p_T^2}$$

available charge :

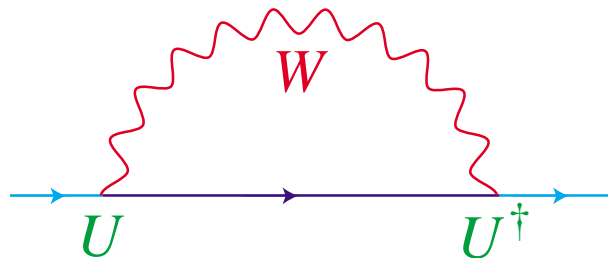
$$\left. \begin{array}{l} Q_L - Q_R \neq 0 \\ \text{conserved in the symmetric phase} \end{array} \right\} \Rightarrow Y, I_3$$

## CP violation effective for $\Delta R$

- MSM — KM matrix

dispersion relation of the fermion  $\sim O(\alpha_W)$

[Farrar and Shaposhnikov, PRD, '94]



— decoherence by QCD effects (short range)

[Gavela, et al., NPB '94]

- CP violation in mass or mass matrix

*tree-level* quantum scattering by the bubble wall

relative phase of 2 Higgs doublets

$$\Rightarrow m(x) = -g |\phi(x)| e^{i\theta(x)}$$

relative phases of the complex parameters in the MSSM (Minimal SUSY SM)

$\Rightarrow$  mass matrices of chargino, neutralino, sfermions



## change of the state by the injection of the flux

### Assume

- bubble is macroscopic and expand with **const. velocity**
- deep in the sym. phase, elementary processes are **fast enough to realize a new stationary state**
- the sphaleron process is **out of equilibrium** near the bubble wall

⇒ chemical potential argument

$\mu_B$  in terms of the injected  $Y$

charged-current interaction :

$$\mu_W = \mu_0 + \mu_- = -\mu_{t_L} + \mu_{b_L} = -\mu_{\nu_\tau} + \mu_{\tau_L}$$

Yukawa interaction :

$$\mu_0 = -\mu_{t_L} + \mu_{t_R} = -\mu_{b_L} + \mu_{b_R} = -\mu_{\tau_L} + \mu_{\tau_R}$$

no further independent relations

chem. potentials of **conserved** and **almost conserved** quantum numbers :

$$\mu_{B-L}, \quad \mu_Y, \quad \mu_{I_3}; \quad \mu_B$$

e.g., considering only the 3rd generation,

$$\mu_{t_L}(b_L) = \frac{1}{3}\mu_B + \frac{1}{3}\mu_{B-L} + \frac{1}{6}\mu_Y + (-)\frac{1}{2}\mu_{I_3},$$

$$\mu_{t_R} = \frac{1}{3}\mu_B + \frac{1}{3}\mu_{B-L} + \frac{2}{3}\mu_Y$$

$$\mu_{b_R} = \frac{1}{3}\mu_B + \frac{1}{3}\mu_{B-L} - \frac{1}{3}\mu_Y$$

$$\mu_{\tau_L}(\nu_\tau) = -\mu_{B-L} - \frac{1}{2}\mu_Y + (-)\frac{1}{2}\mu_{I_3}$$

$$\mu_{0(-)} = +(-)\frac{1}{2}\mu_Y - \frac{1}{2}\mu_{I_3}$$

$$\mu_W = -\mu_{I_3}$$

baryon and lepton number densities:

$$n_B = 3 \cdot \frac{1}{3} \cdot \frac{T^2}{6} (\mu_{t_L} + \mu_{t_R} + \mu_{b_L} + \mu_{b_R})$$

$$= \frac{T^2}{9} (2\mu_B + 2\mu_{B-L} + \mu_Y)$$

$$n_L = \frac{T^2}{6} (\mu_{\nu_\tau} + \mu_{\tau_L} + \mu_{\tau_R}) = \frac{T^2}{6} (-3\mu_{B-L} - 2\mu_Y)$$

If  $n_B = n_L = 0$  before the injection of the hypercharge flux,

$$\mu_{B-L} = -\frac{2}{3}\mu_Y, \quad \mu_B = \frac{1}{6}\mu_Y$$

hypercharge density:

$$\begin{aligned} \frac{Y}{2} &= \frac{T^2}{6} \left\{ 3 \left[ \frac{1}{6}(\mu_{t_L} + \mu_{b_L}) + \frac{2}{3}\mu_{t_R} - \frac{1}{3}\mu_{b_R} \right] \right. \\ &\quad \left. - \frac{1}{2}(\mu_{\nu_\tau} + \mu_{\tau_L}) - \mu_{\tau_R} \right\} + \frac{T^2}{3} \frac{1}{2}(\mu_0 - \mu_-)m \\ &= \frac{T^2}{6} \left(m + \frac{5}{3}\right) \mu_Y \quad [m = \#(\text{Higgs doublets})] \end{aligned}$$

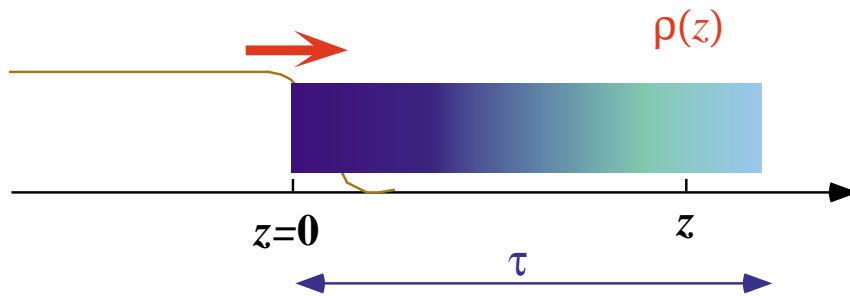
$$\therefore \mu_B = \frac{Y}{2(m + 5/3)T^2}$$

Integrating the equation for  $\dot{n}_B$ ,

$$n_B = -\frac{\Gamma_{\text{sph}}}{T} \int dt \mu_B = -\frac{\Gamma_{\text{sph}}}{2(m + 5/3)T^3} \int dt Y$$

where

$$\int dt Y = \int_{-\infty}^{z/v_w} dt \rho_Y(z - v_w t) = \frac{1}{v_w} \int_0^{\infty} dz \rho_Y(z).$$



$$\frac{1}{v_w} \int_0^{\infty} dz \rho_Y(z) \simeq \frac{F_Y \tau}{v_w}$$

$\tau$  = transport time within which the scattered fermions are captured by the wall

generated BAU :

$$\frac{n_B}{s} \simeq \mathcal{N} \frac{100}{\pi^2 g_*} \cdot \kappa \alpha_W^4 \cdot \frac{F_Y}{v_w T^3} \cdot \tau T$$

$$\mathcal{N} \sim O(1)$$

$$\tau T \simeq \begin{cases} 1 & \text{for quarks} \\ 10^2 \sim 10^3 & \text{for leptons} \end{cases}$$

MC simulation  $\implies$  forward scattering enhanced :

for top quark

$$\tau T \simeq 10 \sim 10^3 \quad \text{max. at } v_w \simeq 1/\sqrt{3}$$

for this optimal case [top quark]

$$\frac{n_B}{s} \simeq 10^{-3} \cdot \frac{F_Y}{v_w T^3}$$

$\implies F_Y/(v_w T^3) \sim O(10^{-7})$  would be sufficient to explain the BAU.

★ **Example**

[Nelson et al., NPB, '92]

$$m(z) = m_0 \frac{1 + \tanh(az)}{2} \exp\left(-i\pi \frac{1 - \tanh(az)}{2}\right)$$

— no CP violation in the broken phase [ $z \sim \infty$ ]

• Calculation of  $\Delta R \longrightarrow$  chiral charge flux

(i) perturbative method

[FKOTT, PRD, '94]

(ii) numerical method

[CKN, NPB '92, FKOT, PTP, '96]

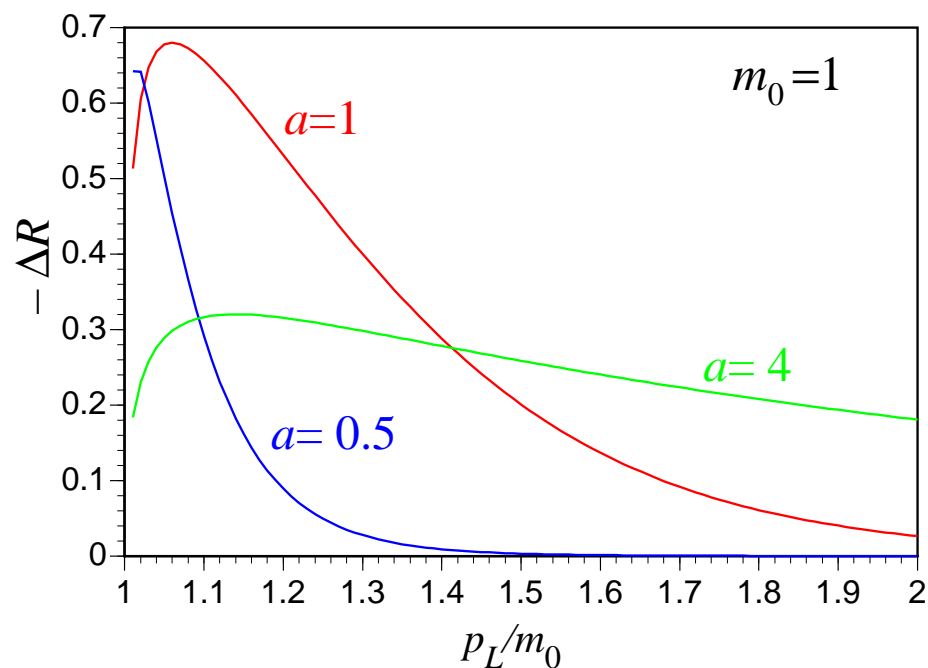
•  $\Delta R \equiv R^s_{R \rightarrow L} - \bar{R}^s_{R \rightarrow L}$

wall width  $\simeq$  wave length of the carrier  $\Rightarrow \Delta R \sim O(1)$



stronger Yukawa coupling does *not* always implies larger flux

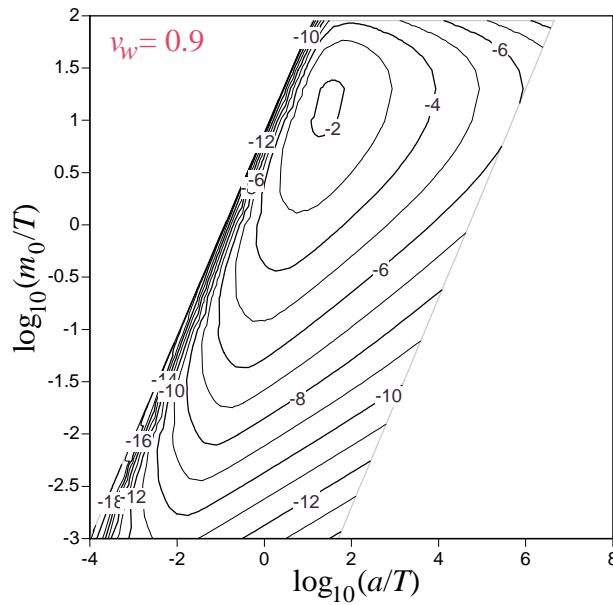
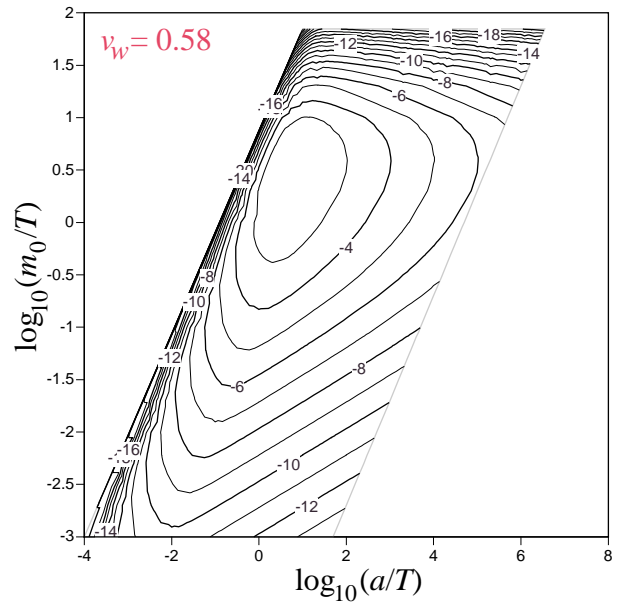
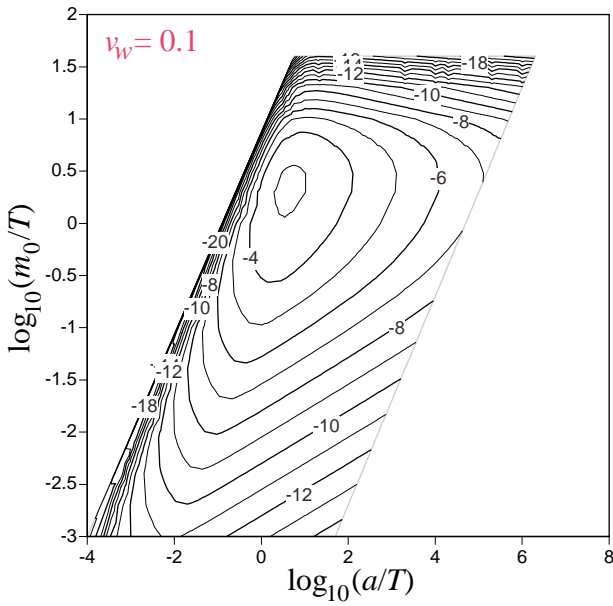
for larger energy,  $\Delta R$  decays exponentially



• chiral charge flux

$T = 100 \text{ GeV}$

normalized as  $\frac{F_Q}{T^3(Q_L - Q_R)}$  [dimensionless]



$$\frac{n_B}{s} \simeq \mathcal{N} \frac{100}{\pi^2 g_*} \cdot \kappa \alpha_W^4 \cdot \frac{F_Y}{v_w T^3} \cdot \tau T$$

$$\simeq 10^{-3} \cdot \frac{F_Y}{v_w T^3}$$

for an optimal case (top quark)

## ★ Spontaneous baryogenesis

(i) in two-Higgs-doublet model [ at  $T = 0$  ]

$$\Delta\mathcal{L}_{\text{eff}} = -\frac{g^2 N_f}{24\pi^2} \theta(x) F_{\mu\nu}(x) \tilde{F}^{\mu\nu}(x)$$

— $CP$ -even  $\Leftarrow \theta(x)$ ,  $F\tilde{F}$  :  $CP$ -odd

$\Rightarrow \dot{\theta} \sim$  chem.pot. for  $N_{CS}$

At high- $T$ , suppressed by  $\left(\frac{m_t}{T}\right)^2$ .

(ii) bias for the hypercharge instead of  $N_{CS}$  [CKN, PLB,'91]  
neutral comp. of 2 Higgs scalars :

$$\phi_j^0(x) = \rho_j(x) e^{i\theta_j}, \quad (j = 1, 2)$$

Suppose **only**  $\phi_1$  couples to the fermions.

Eliminate  $\theta_1$  in Yukawa int. by **anomaly-free**  $U(1)_Y$  trf.

fermion kinetic term induces:

$$2\partial_\mu\theta_1(x) \left[ \frac{1}{6}\bar{q}_L(x)\gamma^\mu q_L(x) + \frac{2}{3}\bar{u}_R(x)\gamma^\mu u_R(x) \right. \\ \left. - \frac{1}{3}\bar{d}_R(x)\gamma^\mu d_R(x) - \frac{1}{2}\bar{l}_L(x)\gamma^\mu l_L(x) - \bar{e}_R(x)\gamma^\mu e_R(x) \right]$$

$\langle \dot{\theta}_1 \rangle \neq 0$  during EWPT  $\Rightarrow$  **charge potential**

★ criticism by Dine-Thomas

[PLB,'94]

- ▷ The current is not the conserved  $Y$ -current, but the fermionic part of it.

Nonconservation of  $Y$  in the broken phase leads to

$$\partial_\mu \theta_1 \cdot j_Y^\mu \propto \frac{m_t^2}{T^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

- ▷ The bias for  $Y$  exists where  $(v/T)^2 > 0$ .  
The sphaleron process is effective for  $v < v_{co}$   
∴ The generated  $B$  is suppressed by  $v_{co}/T^2 \sim O(10^{-6})$ .

★ enhancement by diffusion

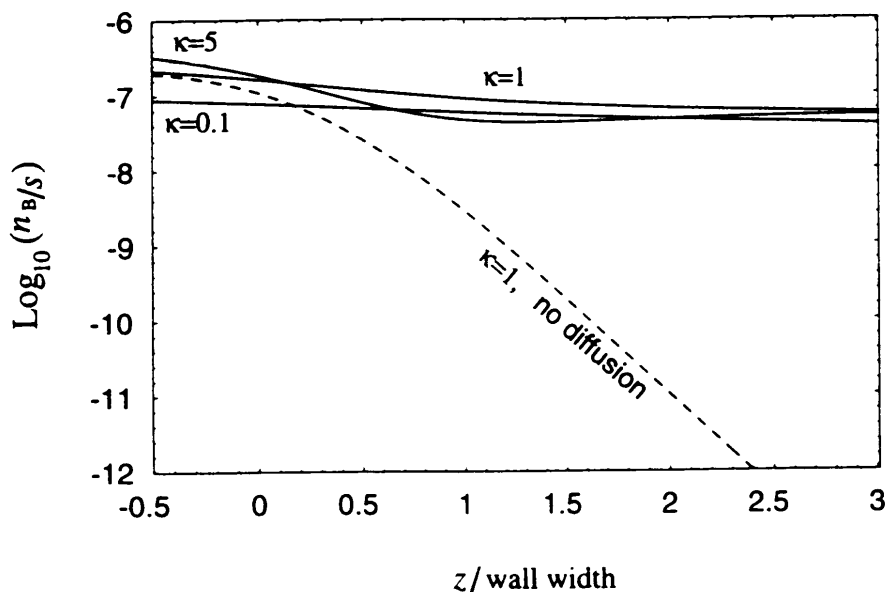
[CKN, PLB,'94]

Diffusion carries  $Y$  into the symmetric phase.

→ nonlocal baryogenesis

$$\text{for } \langle \phi(z) \rangle = v \frac{1 - \tanh(az)}{2} \exp \left[ -i \frac{\pi}{2} \frac{1 - \tanh(az)}{2} \right]$$

$z_{co}$  vs  $\log_{10}[(n_B/s)(g_*/100)]$  with  $v_{co} = \varphi(z_{co})$



— almost independent of  $z_{co}$



# 5. Baryogenesis in the MSSM

## ★ Minimal Supersymmetric Standard Model

chiral supermultiplet	$SU(3)_c \times SU(2)_L \times U(1)_Y$
$Q_A \ni q_{AL} = \begin{pmatrix} u_{AL} \\ d_{AL} \end{pmatrix}, \tilde{q}_{AL}$	$(3, 2, \frac{1}{6})$
$U_A \ni u_{AR}^c, \tilde{u}_{AR}^c$	$(3^*, 1, -\frac{2}{3})$
$D_A \ni d_{AR}^c, \tilde{d}_{AR}^c$	$(3^*, 1, \frac{1}{3})$
$L_A \ni l_{AL} = \begin{pmatrix} \nu_{AL} \\ e_{AL} \end{pmatrix}, \tilde{l}_{AL}$	$(1, 2, -\frac{1}{2})$
$E_A \ni e_{AR}^c, \tilde{e}_{AR}^c$	$(1, 1, 1)$
$H_d \ni \Phi_d = \begin{pmatrix} \phi_d^0 \\ \phi_d^- \end{pmatrix}, \tilde{\Phi}_d$	$(1, 2, -\frac{1}{2})$
$H_u \ni \Phi_u = \begin{pmatrix} \phi_u^+ \\ \phi_u^0 \end{pmatrix}, \tilde{\Phi}_u$	$(1, 2, \frac{1}{2})$

vector supermultiplet	$SU(3)_c \times SU(2)_L \times U(1)_Y$
$V_3 \ni G_\mu^s, \tilde{G}^s$	$(8, 1, 0)$
$V_2 \ni A_\mu^a, \tilde{A}^a$	$(1, 3, 0)$
$V_1 \ni B_\mu, \tilde{B}$	$(1, 1, 0)$

$$\mathcal{L}_{\text{MSSM}} = \mathcal{L}_{\text{SUSY}} + \mathcal{L}_{\text{soft}}$$

$$\left. \begin{array}{l} \mathcal{L}_{\text{SUSY}} \quad : \text{supersymmetric} \\ \mathcal{L}_{\text{soft}} \quad : \text{soft-SUSY-breaking} \end{array} \right\} \text{gauge invariant}$$

(scalar)<sup>2</sup>, (scalar)<sup>3</sup>, (fermion)<sup>2</sup>

superpotential  $\Leftarrow$  interaction other than the gauge int.

$$W = \epsilon_{ij} \left( f_{AB}^{(e)} H_d^i L_A^j E_B + f_{AB}^{(d)} H_d^i Q_A^j D_B \right. \\ \left. - f_{AB}^{(u)} H_u^i Q_A^j U_B - \mu H_d^i H_u^j \right)$$

★ new features (relevant to EWB-gensis)

1. more scalar fields  $\Rightarrow$   $\left\{ \begin{array}{l} \text{stronger (first-order) PT} \\ \text{3-dim. order-parameter space} \end{array} \right.$
2. many complex parameters  $\Rightarrow$  explicit  $CP$  violation  
 $\mu, A, B, \text{ gaugino masses}$
3. two Higgs doublets  $\Rightarrow$  possibility of spontaneous  $CP$  viol.

Higgs potential  $\Leftarrow V_D$  &  $\mathcal{L}_{\text{soft}}$

$$V_0 = m_1^2 \Phi_d^\dagger \Phi_d + m_2^2 \Phi_u^\dagger \Phi_u + (m_3^2 \Phi_u \Phi_d + \text{h.c.}) \\ + \frac{g_2^2 + g_1^2}{8} (\Phi_d^\dagger \Phi_d - \Phi_u^\dagger \Phi_u)^2 + \frac{g_2^2}{2} (\Phi_d^\dagger \Phi_d) (\Phi_u^\dagger \Phi_u)$$

$$\text{vacuum: } \left\{ \begin{array}{l} \varphi_d = \langle \Phi_d \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} v_0 \cos \beta_0 \\ 0 \end{pmatrix} \\ \varphi_u = \langle \Phi_u \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_0 \sin \beta_0 \end{pmatrix} \end{array} \right. \quad CP \text{ symmetric}$$

where

$$m_1^2 = m_3^2 \cos \beta_0 - \frac{1}{2} m_Z^2 \cos(2\beta_0)$$

$$m_2^2 = m_3^2 \sin \beta_0 + \frac{1}{2} m_Z^2 \cos(2\beta_0)$$

Higgs mass:

after SSB,

$\Phi_d, \Phi_u$  (8)  $\implies$  3 neutral & 1 charged scalars ( $3 + 2 = 8 - 3$ )

$$m_h^2 = \frac{m_Z^2 + m_Z^2 - \sqrt{(m_Z^2 - m_A^2)^2 + 4m_Z^2 m_A^2 \sin^2(2\beta_0)}}{2}$$

$$\leq \min \{m_Z^2, m_A^2\},$$

$$m_H^2 = \frac{m_Z^2 + m_Z^2 + \sqrt{(m_Z^2 - m_A^2)^2 + 4m_Z^2 m_A^2 \sin^2(2\beta_0)}}{2}$$

$$\geq \max \{m_Z^2, m_A^2\},$$

$$m_A^2 = \frac{m_3^2}{\sin \beta_0 \cos \beta_0}$$

PDG2000:  $m_h \geq 82.6\text{GeV}$ ,  $m_A \geq 84.1\text{GeV}$

radiative corrections are significant [Okada et al. PLB '91]

mass eigenstates (after SSB)

$$\begin{array}{l} \left. \begin{array}{l} \text{charged Higgsino} \\ \text{Wino} \end{array} \right\} \implies \text{chargino } \chi_{1,2}^{\pm} \\ \left. \begin{array}{l} \text{neutral Higgsino} \\ \text{Bino, Zino} \end{array} \right\} \implies \text{neutralino } \chi_{1,2,3,4}^0 \\ \left. \begin{array}{l} L\text{-squark (slepton)} \\ R\text{-squark (slepton)} \end{array} \right\} \implies \tilde{q}_{1,2} \quad (\tilde{l}_{1,2}) \end{array}$$

## ★ Sphaleron

- 2-doublet Higgs model [Peccei, Zhang, Kastening, PLB '91]
- squarks vs sphaleron [Moreno, Oakini, Quirós, PLB '97]

## ★ Electroweak phase transition

3 order parameters:

$$\varphi_d = \frac{1}{\sqrt{2}} \begin{pmatrix} v_1 \\ 0 \end{pmatrix}, \quad \varphi_u = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_2 + iv_3 \end{pmatrix}$$

$v_3 \neq 0 \longrightarrow$  CP violation

light stop

[de Carlos & Espinosa, NPB '97]

stop mass-squared matrix :

$$\begin{pmatrix} m_{\tilde{t}_L}^2 + \left( \frac{g_1^2}{24} - \frac{g_2^2}{8} \right) (v_u^2 - v_d^2) + \frac{y_t^2}{2} v_u^2 & \frac{y_t}{\sqrt{2}} (\mu v_d + A(v_2 - iv_3)) \\ * & m_{\tilde{t}_R}^2 - \frac{g_1^2}{6} (v_u^2 - v_d^2) + \frac{y_t^2}{2} v_u^2 \end{pmatrix}$$

$m_{\tilde{t}_L}^2 = 0$  or  $m_{\tilde{t}_R}^2 = 0 \implies$  smaller eigenvalue:  $m_{\tilde{t}_1}^2 \sim O(v^2)$

$\therefore$  high- $T$  expansion

$$\bar{V}_{\tilde{t}}(\mathbf{v}; T) \implies -\frac{T}{6\pi} (m_-^2)^{3/2}$$

$\longrightarrow$  stronger 1st order PT

$$\begin{aligned}
 V_0 = & m_1^2 \varphi_d^\dagger \varphi_d + m_2^2 \varphi_u^\dagger \varphi_u + (m_3^2 \varphi_u \varphi_d + \text{h.c.}) \\
 & + \frac{g_2^2 + g_1^2}{8} (\varphi_d^\dagger \varphi_d - \varphi_u^\dagger \varphi_u)^2 + \frac{g_2^2}{2} (\varphi_d^\dagger \varphi_d) (\varphi_u^\dagger \varphi_u)
 \end{aligned}$$

$m_3^2$ : real positive

$$\begin{aligned}
 V_{\text{eff}}(\mathbf{v}; T=0) &= V_0(\mathbf{v}) + 6F(m_W^2(\mathbf{v})) + 3F(m_Z^2(\mathbf{v})) \\
 &\quad - 12 \cdot F(m_t^2(\mathbf{v})) + 2 \cdot 3 \cdot \sum_{a=1,2} F(m_{t_a}^2(\mathbf{v})) \\
 &\quad - 4 \sum_{a=1,2} F(m_{\chi_a^\pm}^2(\mathbf{v})) - 2 \sum_{a=1,2,3,4} F(m_{\chi_a^0}^2(\mathbf{v}))
 \end{aligned}$$

where

$$F(m^2) \equiv \frac{m^4}{64\pi^2} \left( \log \frac{m^2}{M_{\text{ren}}^2} - \frac{3}{2} \right)$$

$$m_W^2 = \frac{g_2^2}{4} (v_1^2 + v_2^2 + v_3^2) \quad m_Z^2 = \frac{g_2^2 + g_1^2}{4} (v_1^2 + v_2^2 + v_3^2)$$

$$m_t^2 = \frac{y_t^2}{2} (v_2^2 + v_3^2)$$

$$M_{\chi^\pm} = \begin{pmatrix} M_2 & -\frac{i}{\sqrt{2}} g_2 (v_2 - i v_3) \\ -\frac{i}{\sqrt{2}} g_2 v_1 & -\mu \end{pmatrix}$$

$$M_{\chi^0} = \begin{pmatrix} M_2 & 0 & -\frac{i}{2} g_2 v_1 & \frac{i}{2} g_2 (v_2 - i v_3) \\ 0 & M_1 & \frac{i}{2} g_1 v_1 & -\frac{i}{2} g_1 (v_2 - i v_3) \\ -\frac{i}{2} g_2 v_1 & \frac{i}{2} g_1 v_1 & 0 & \mu \\ \frac{i}{2} g_2 (v_2 - i v_3) & -\frac{i}{2} g_1 (v_2 - i v_3) & \mu & 0 \end{pmatrix}$$

input:

$$v_0 = |\mathbf{v}| = 246\text{GeV}, \quad \tan \beta = \frac{\sqrt{v_2^2 + v_3^2}}{v_1}$$

$\longrightarrow y_t = \sqrt{2}m_t / (v_0 \sin \beta)$

$M_1, M_2, m_{\tilde{t}_L}^2, m_{\tilde{t}_R}^2, m_3^2$ : soft-SUSY-br. parameters

$$m_1^2, m_2^2 \longleftarrow \left. \frac{\partial V_{\text{eff}}}{\partial v_1} \right|_{\mathbf{v}} = 0, \quad \left. \frac{\partial V_{\text{eff}}}{\partial v_2} \right|_{\mathbf{v}} = 0$$

output:

masses of the neutral Higgs scalars

$$\longleftarrow \text{eigenvalues of } \left. \frac{\partial^2 V_{\text{eff}}(\mathbf{v}; T=0)}{\partial v_i \partial v_j} \right|_{\mathbf{v}}$$

$$m_{\tilde{t}_{1,2}}, m_{\chi_{1,2}^\pm}, m_{\chi_{1-4}^0}$$

$$m_{\tilde{t}_1} > 86.4\text{GeV},$$

$$m_{\chi_1^0} > 32.5\text{GeV}, \quad m_{\chi_1^\pm} > 67.7\text{GeV for } \tan \beta > 0.7$$

when  $\exists$  explicit CP violation  $(\mu, M_2, M_1, A_t \in \mathbf{C})$

$$\theta = \text{relative phase of the 2 Higgs} = \text{Arg}(v_2 + iv_3)$$

$$T \neq 0$$

$$v(T) = |\mathbf{v}(T)|, \quad \tan \beta(T), \quad \theta(T)$$

$T_C$  : transition temperature

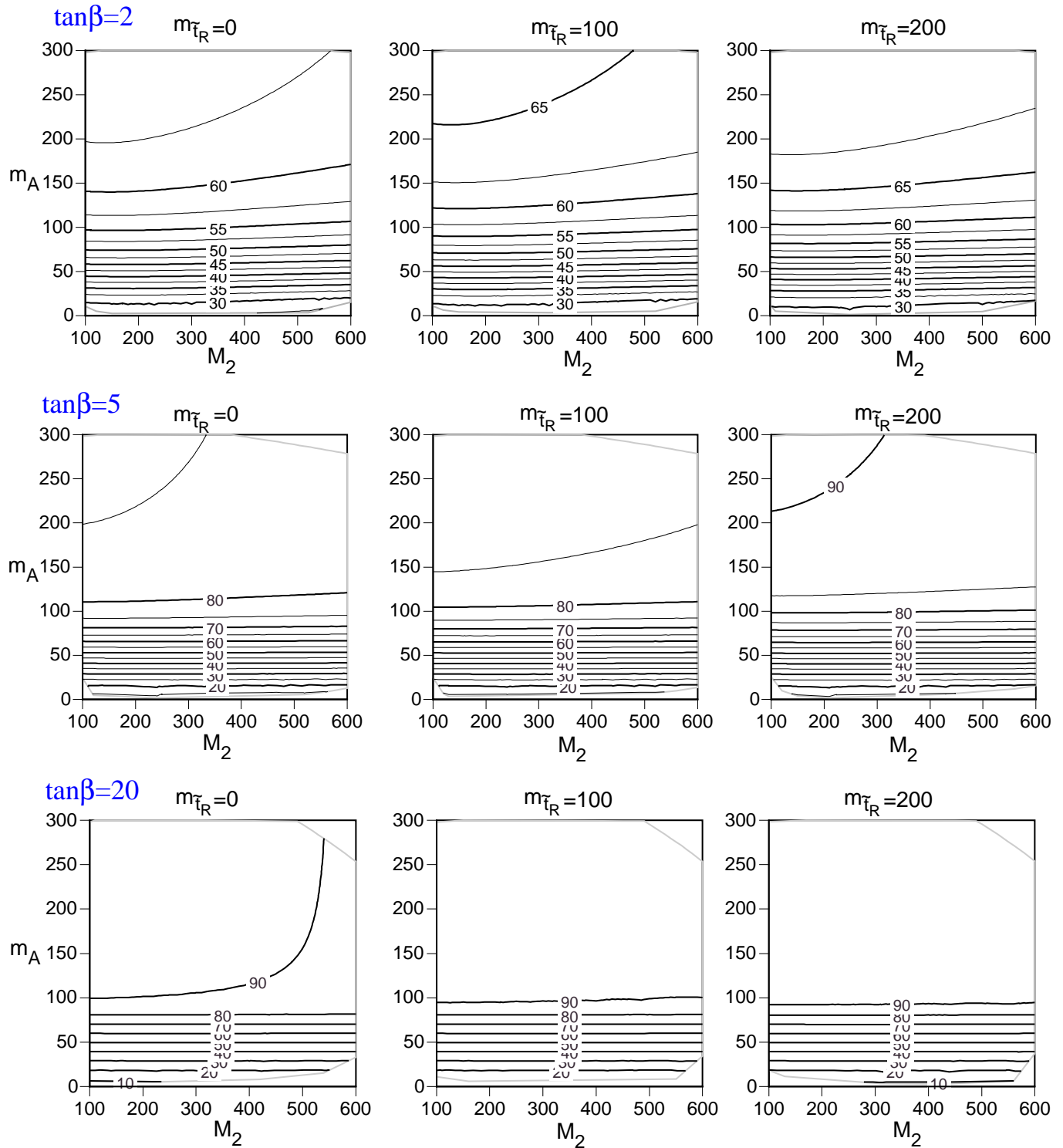
$\implies$  crucial to estimate the BAU

numerical results  $M_2 = M_1$

$m_t = 175 \text{ GeV}$   $m_{\tilde{t}_L} = 400 \text{ GeV}$   $\mu = -300 \text{ GeV}$   $A_t = 10 \text{ GeV}$

without CP violation

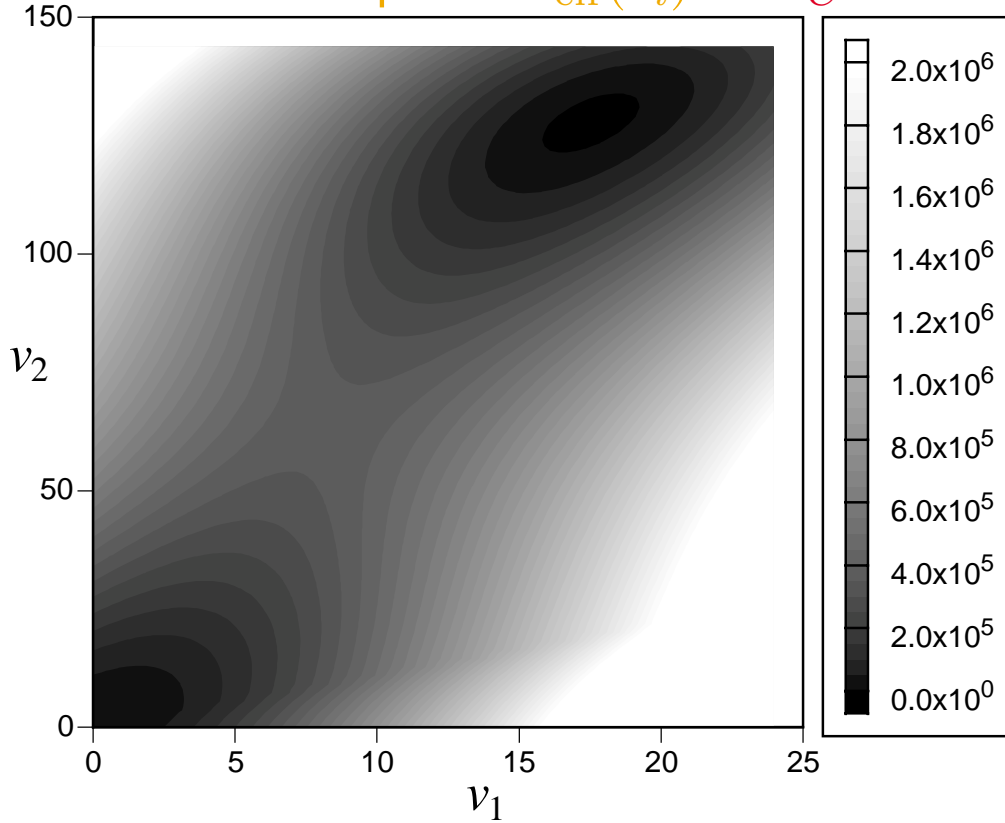
the lighter Higgs scalar mass :  $m_h$  (GeV)



at  $T \neq 0$

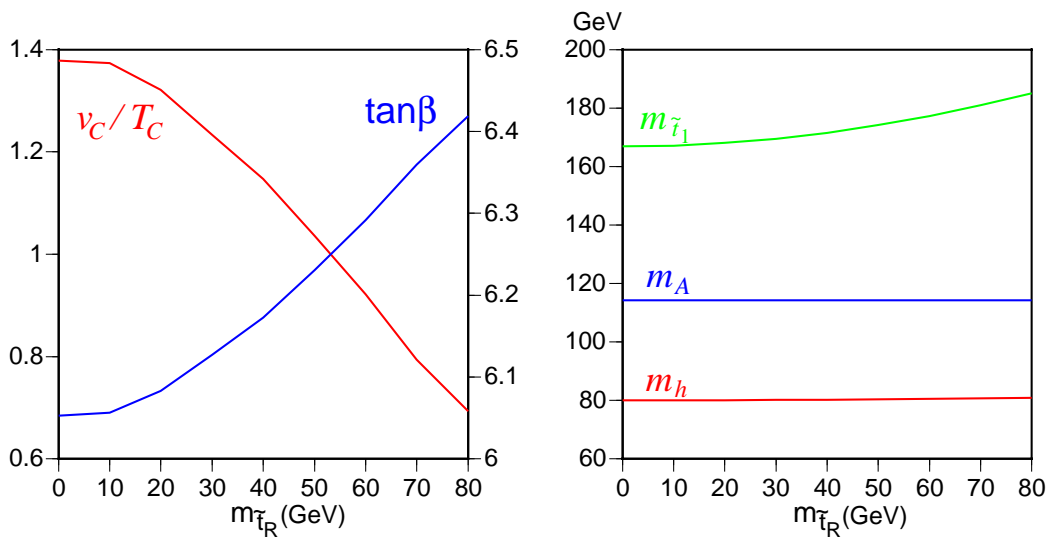
$$\left. \begin{array}{l} m_{\tilde{t}_1} \lesssim m_t \\ m_h \lesssim 100\text{GeV} \end{array} \right\} \Rightarrow \frac{v_C}{T_C} > 1$$

an example of  $V_{\text{eff}}(v_i)$  at  $T_C$



$$\tan \beta = 6, m_h = 82.3\text{GeV}, m_A = 118\text{GeV}, m_{\tilde{t}_1} = 168\text{GeV}$$

$$T_C = 93.4\text{GeV}, v_C = 129\text{GeV}$$



$$\tan \beta = 5, m_3^2 = 4326\text{GeV}^2$$



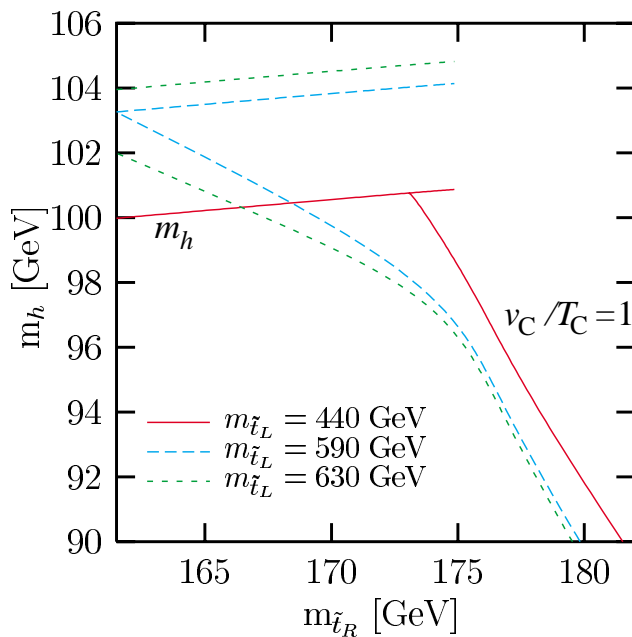
## ★ Lattice MC studies

- 3d reduced model [Laine et al. hep-lat/9809045]  
strong 1st order for  $m_{\tilde{t}_1} \lesssim m_t$  and  $m_h \leq 110\text{GeV}$

- 4d model [Csikor, et al. hep-lat/0001087]  
with  $SU(3)$ ,  $SU(2)$  gauge bosons, 2 Higgs doublets,  
L & R-stops, sbottoms

no scalar trilinear ( $A$ ) terms,  $\tan \beta \simeq 6$

→ agreement with the perturbation theory within the errors



$$m_A = 500 \text{ GeV}$$

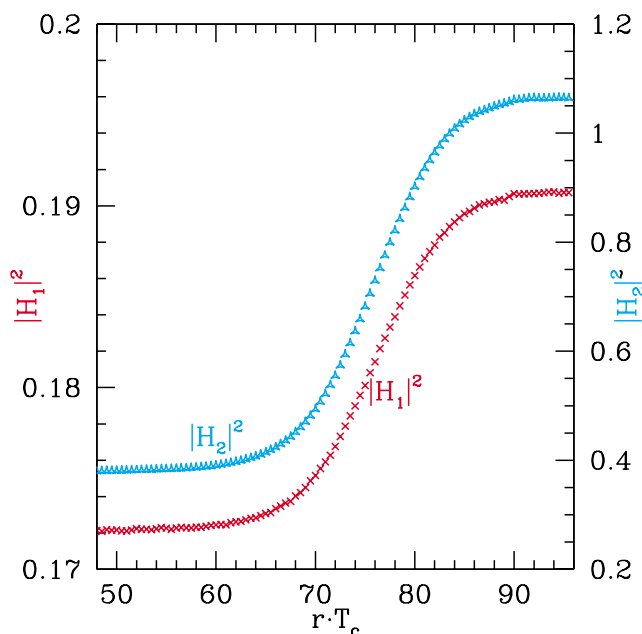
$$v_C/T_C > 1$$

below the steeper lines



$$\text{max. } m_h = 103 \pm 4 \text{ GeV}$$

$$\text{for } m_{\tilde{t}_L} \simeq 560 \text{ GeV}$$



bubble-wall profile

$$\Delta\beta = 0.0061 \pm 0.0003$$

$$\Rightarrow \beta \simeq \text{const.}$$

$$\text{wall width} \simeq \frac{11}{T_C}$$

## ★ CP violation

★ relative phases of  $\mu, M_2, M_1, A_t$

chargino, neutralino, stop transport

[Huet & Nelson, PRD '96; Aoki, et al. PTP '97]

★ relative phase  $\theta = \theta_1 - \theta_2$  of the two Higgs doublets

quarks and leptons  $\leftarrow$  Yukawa coupl.  $\propto \rho_i e^{i\theta_i}$

chargino, neutralino, stop mass matrix

[Nelson et al. NPB '92; FKOTT, PRD '94, PTP '96]

$\theta$  is induced by the loops of SUSY particle.

$\uparrow \leftarrow \text{Arg}(\mu M_2), \text{Arg}(\mu M_1), \text{Arg}(\mu A_t^*)$   
 minimum of  $V_{\text{eff}}(\rho_i, \theta; T)$

Some of the combinations of

$\delta_\mu = \text{Arg}\mu, \delta_A = \text{Arg}A_t, \delta_2 = \text{Arg}M_2, \delta_1 = \text{Arg}M_1$  and  $\theta$

are constrained by experiments.

*e.g.* chargino mass matrix

$$\begin{pmatrix} \tilde{W}^- & \tilde{\phi}_d^- \end{pmatrix} \begin{pmatrix} M_2 & -\frac{i}{\sqrt{2}}g_2v_2e^{-i\theta} \\ -\frac{i}{\sqrt{2}}g_2v_1 & -\mu \end{pmatrix} \begin{pmatrix} \tilde{W}^+ \\ \tilde{\phi}_u^+ \end{pmatrix}$$

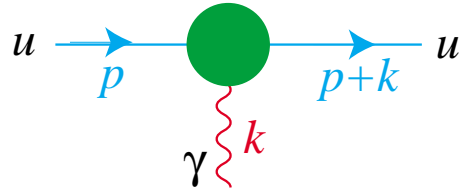
$\xrightarrow{\text{rephasing}}$

$$\begin{pmatrix} |M_2| & -\frac{i}{\sqrt{2}}g_2v_2 \\ -\frac{i}{\sqrt{2}}g_2v_1 & -|\mu|e^{i(\theta+\delta_\mu+\delta_2)} \end{pmatrix}$$

# bounds from the EDM

[Kizukuri & Oshimo, PRD '92]

$$e d_n(k^2) \bar{u} \sigma_{\mu\nu} k^\nu \gamma_5 u A^\mu =$$

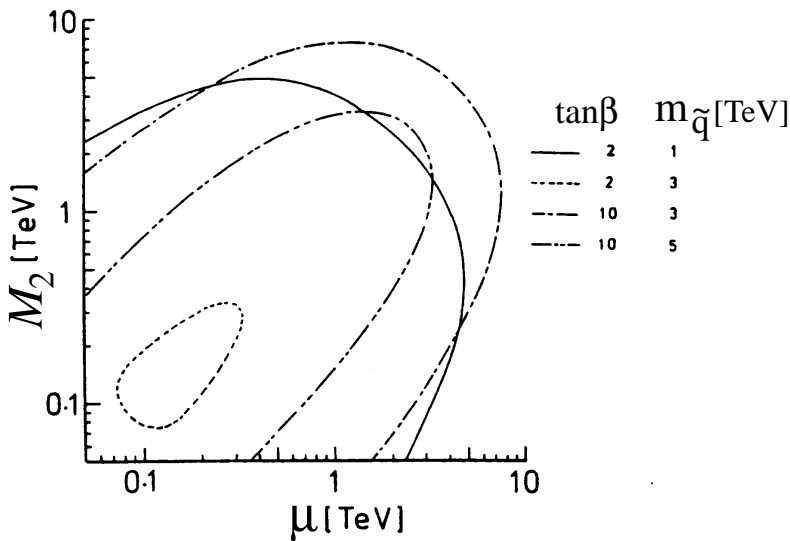
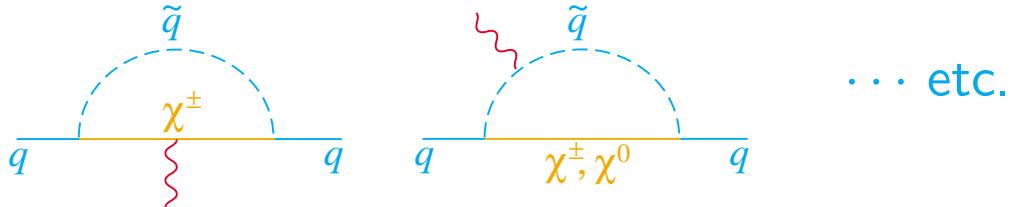


present bound:  $|d_n| < 0.63 \times 10^{-25} e \cdot \text{cm}$

MSSM contribution:

CP-odd of  $\left[ \text{diagram 1} + \text{diagram 2} \right] < 10^{-33} e \cdot \text{cm}$

MSSM contribution:



$$\theta + \delta_\mu + \delta_2 = \pi/4$$

$$\text{Arg}A = \pi/4$$

inside is excluded

FIG. 4. The parameter regions compatible with the present experimental upper bound on the neutron EDM.

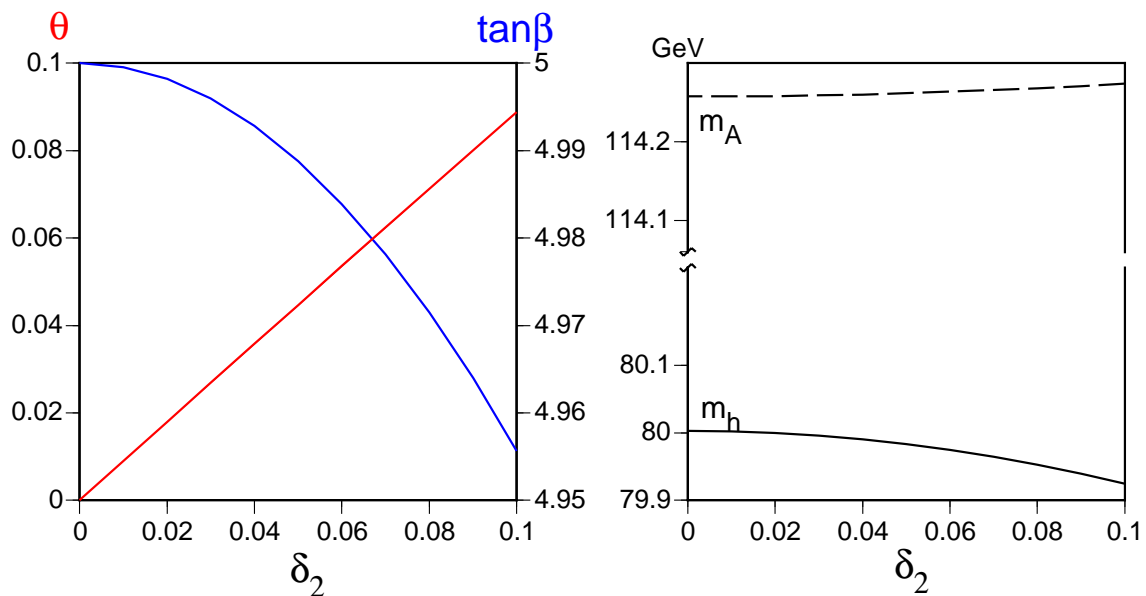
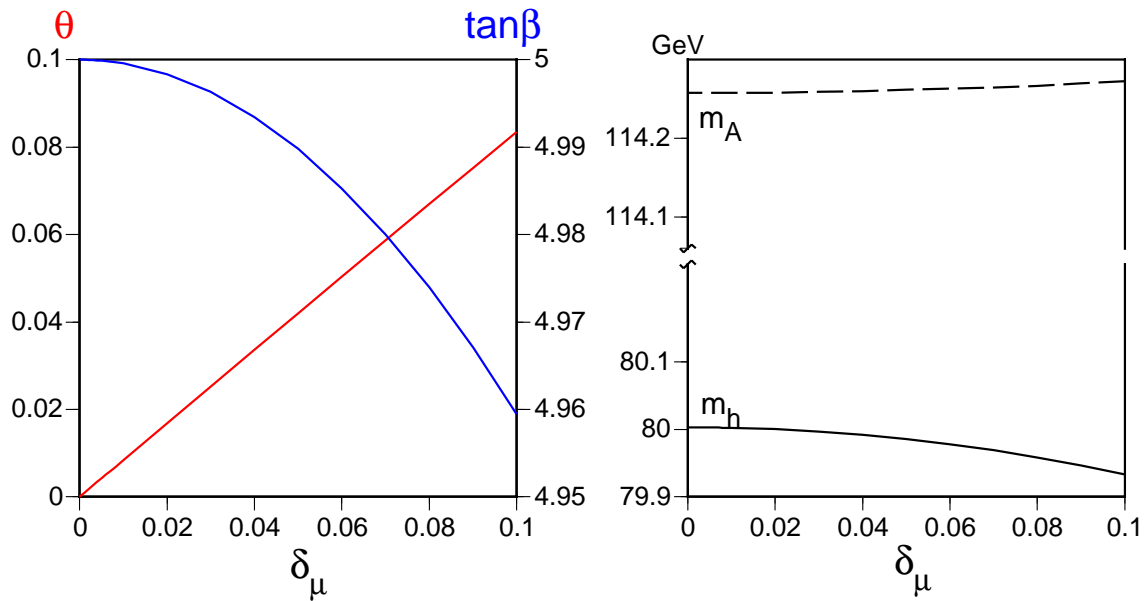
- $\theta + \delta_\mu + \delta_2 = O(1) \implies m_{\tilde{q}}, m_{\tilde{l}} \gtrsim 10\text{TeV}$
- $m_{\tilde{q}}, m_{\tilde{l}} \lesssim 1\text{TeV} \implies \theta + \delta_\mu + \delta_2 \lesssim 10^{-3}$

effects of  $\delta_\mu = \text{Arg}\mu$  and  $\delta_2 = \text{Arg}M_2$  on  $\theta = \text{Arg}(v_2 + iv_3)$

by minimizing  $V_{\text{eff}}(\mathbf{v}; T = 0)$

$m_3^2 = 4326 \text{ GeV}^2$  and  $\tan\beta = 5$  when  $\theta = 0$

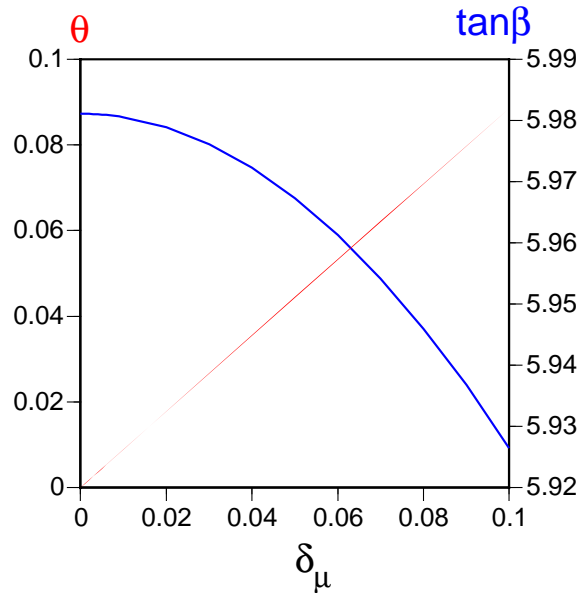
the other parameters are real



★  $\theta$  is the same order as  $\delta_\mu$  and  $\delta_2$

⇒ more stringent bound on the explicit CP violation

$\theta$  at  $T = T_C$  vs  $\delta_\mu$



CP violation relevant to Baryogenesis

—  $\theta(x)$  in the bubble wall

Eqs. of motion for  $(\rho_i(x), \theta(x))$  with  $V_{\text{eff}}(\rho_i, \theta; T_C)$

with B.C. determined by the min. of  $V_{\text{eff}}(T_C)$

$\rho(x) \sim 1 + \tanh(ax) : 0$  (sym. phase)  $\longrightarrow v_C$  (br. phase)

bubble wall  $\sim$  macroscopic, static  $\rightarrow$  1d system

$$\frac{d^2 \rho_i(z)}{dz^2} - \rho_i(z) \left( \frac{d\theta_i(z)}{dz} \right)^2 - \frac{\partial V_{\text{eff}}}{\partial \rho_i} = 0,$$

$$\frac{d}{dz} \left( \rho_i^2(z) \frac{d\theta_i(z)}{dz} \right) - \frac{\partial V_{\text{eff}}}{\partial \theta_i} = 0$$

with gauge-fixing condition

$$\rho_1^2(z) \frac{d\theta_1(z)}{dz} + \rho_2^2(z) \frac{d\theta_2(z)}{dz} = 0$$

Assume that

(i)  $\tan \beta(z)$  be constant.

$$\text{gauge-fixing} \implies \begin{cases} \theta_1(z) = \theta(z) \sin^2 \beta \\ \theta_2(z) = -\theta(z) \cos^2 \beta \end{cases}$$

(ii)  $V_{\text{eff}}$  can be approximated by a gauge-inv. polynomial of  $\rho_i$  up to 4th order

$\rightarrow$  if  $\theta \equiv 0$ ,  $\rho_i(z) \sim$  kink solution  $\sim \tanh(az)$

$\therefore \exists$  nontrivial solution  $\mathcal{E} < \mathcal{E}_{\text{kink}} = av^2/3$

$\uparrow$   
minimum or saddle point of  $V_{\text{eff}}$  at  $\theta \neq 0$

energy density per unit area

$$\mathcal{E} = \int_{-\infty}^{\infty} dz \left\{ \frac{1}{2} \sum_{i=1,2} \left[ \left( \frac{d\rho_i}{dz} \right)^2 + \rho_i^2 \left( \frac{d\theta_i}{dz} \right)^2 \right] + V_{\text{eff}}(\rho_1, \rho_2, \theta) \right\}$$

Suppose that at  $T \simeq T_C$ , without explicit CP violation,

$$\begin{aligned}
V_{\text{eff}}(\rho_i, \theta = \theta_1 - \theta_2) &= \frac{1}{2}\bar{m}_1^2\rho_1^2 + \frac{1}{2}\bar{m}_2^2\rho_2^2 - \bar{m}_3^2\rho_1\rho_2 \cos \theta + \frac{\lambda_1}{8}\rho_1^4 + \frac{\lambda_2}{8}\rho_2^4 \\
&+ \frac{\lambda_3 + \lambda_4}{4}\rho_1^2\rho_2^2 + \frac{\lambda_5}{4}\rho_1^2\rho_2^2 \cos 2\theta - \frac{1}{2}(\lambda_6\rho_1^2 + \lambda_7\rho_2^2)\rho_1\rho_2 \cos \theta \\
&- [A\rho_1^3 + \rho_1^2\rho_2(B_0 + B_1 \cos \theta + B_2 \cos 2\theta) \\
&\quad + \rho_1\rho_2^2(C_0 + C_1 \cos \theta + C_2 \cos 2\theta) + D\rho_2^3] \\
&= \left[ \frac{\lambda_5}{2}\rho_1^2\rho_2^2 - 2(B_2\rho_1^2\rho_2 + C_2\rho_1\rho_2^2) \right] \\
&\quad \times \left[ \cos \theta - \frac{2\bar{m}_3^2 + \lambda_6\rho_1^2 + \lambda_7\rho_2^2 + 2(B_1\rho_1 + C_1\rho_2)}{2\lambda_5\rho_1\rho_2 - 8(B_2\rho_1 + C_2\rho_2)} \right]^2 \\
&\quad + \theta\text{-independent terms}
\end{aligned}$$

where all the parameters are real

conditions for spontaneous CP violation for a given  $(\rho_1, \rho_2)$

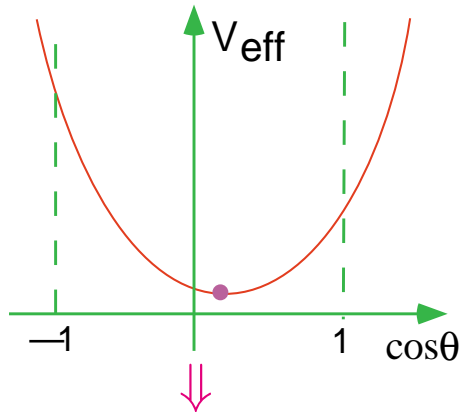
$$\begin{aligned}
F(\rho_1, \rho_2) &\equiv \frac{\lambda_5}{2}\rho_1^2\rho_2^2 - 2(B_2\rho_1^2\rho_2 + C_2\rho_1\rho_2^2) > 0, \\
-1 < G(\rho_1, \rho_2) &\equiv \frac{2\bar{m}_3^2 + \lambda_6\rho_1^2 + \lambda_7\rho_2^2 + 2(B_1\rho_1 + C_1\rho_2)}{2\lambda_5\rho_1\rho_2 - 8(B_2\rho_1 + C_2\rho_2)} < 1
\end{aligned}$$

At  $T \simeq T_C$ , around the EW bubble wall

$$(\rho_1, \rho_2) : (0, 0) \longrightarrow (v_C \cos \beta_C, v_C \sin \beta_C)$$

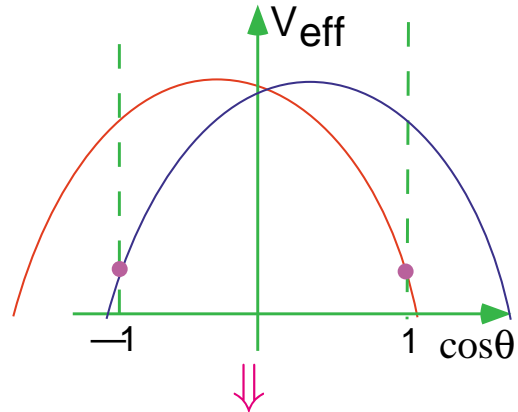
There may be a chance to satisfy the conditions in the transient region.

$$F(\rho_1, \rho_2) > 0$$

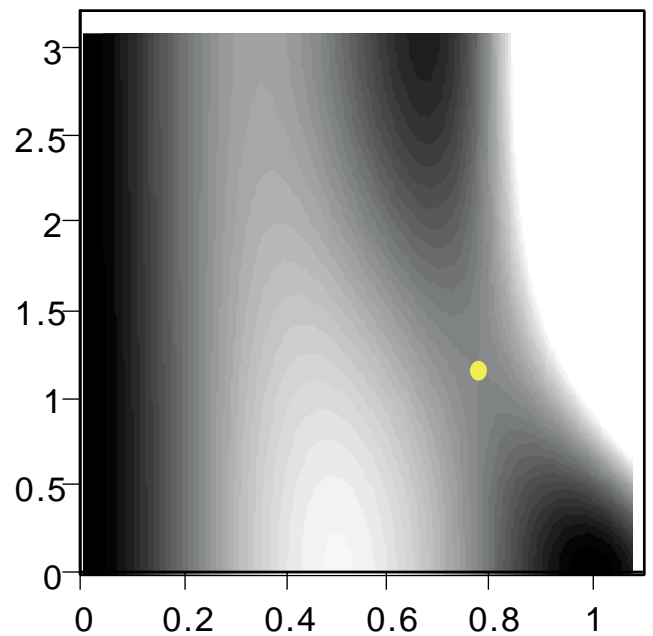
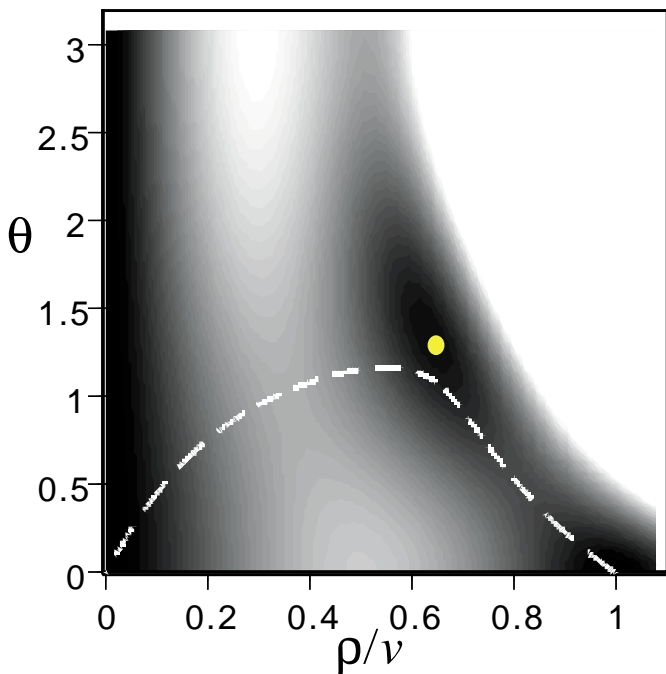


*CP*-violating  
local minimum

$$F(\rho_1, \rho_2) < 0$$



*CP*-violating  
saddle point



Transitional *CP* Violation



N.B. no explicit  $CP$  violation  $\Rightarrow$  no net BAU

[FKOT, PTP96 ('96)]

spontaneous  $CP$  violation in the transient region

+ small explicit  $CP$  violation

to resolve degeneracy between  $CP$  conjugate bubbles

net BAU

$$\frac{n_B}{s} = \frac{\sum_j \left(\frac{n_B}{s}\right)_j N_j}{\sum_j N_j}$$

with

$$N_j = \exp(-4\pi R_C^2 \mathcal{E}_j / T_C) \quad \text{nucleation rate}$$

$$\mathcal{E}_j = \text{energy density of the type-}j \text{ bubble}$$

input parameters

$\tan \beta_0$	$m_3^2$	$\mu$	$A_t$	$M_2 = M_1$	$m_{\tilde{t}_L}$	$m_{\tilde{t}_R}$
6	8110 GeV <sup>2</sup>	-500 GeV	60 GeV	500 GeV	400 GeV	0

mass spectrum

$m_h$	$m_A$	$m_H$	$m_{\tilde{t}_1}$	$m_{\chi_1^\pm}$	$m_{\chi_1^0}$
82.28 GeV	117.9 GeV	124.0 GeV	167.8 GeV	457.6 GeV	449.8 GeV

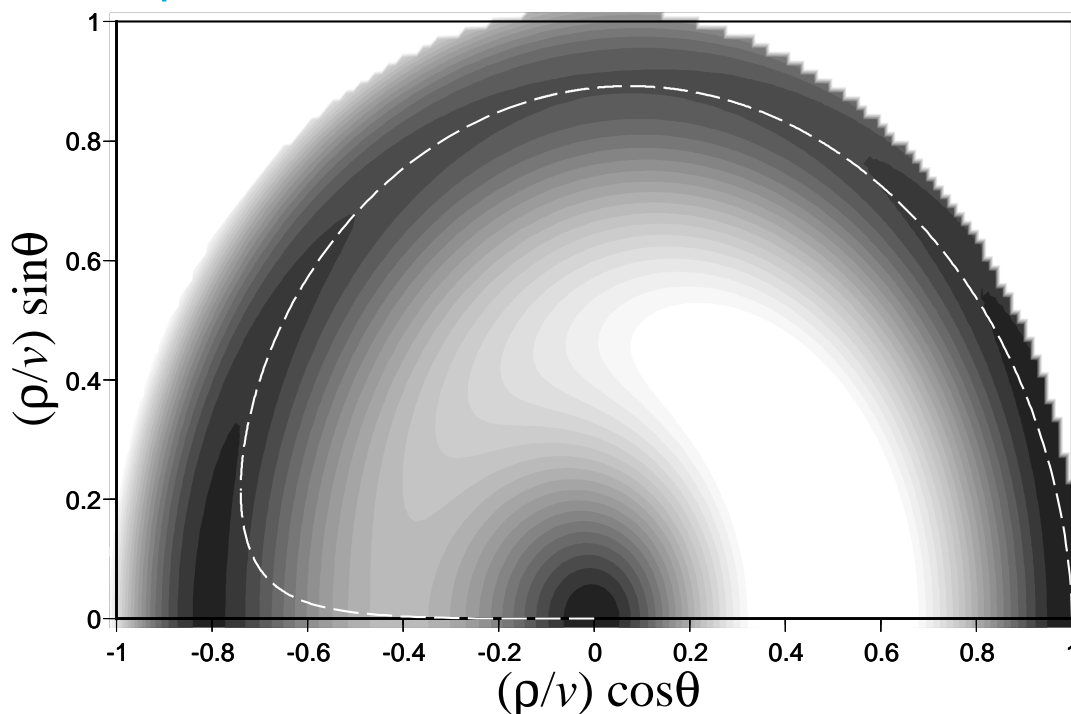
at the EWPT

$$T_C = 93.4 \text{ GeV}, \quad v_C = 129.17 \text{ GeV}, \quad \tan \beta = 7.292,$$

inverse wall thickness:  $a = \frac{\sqrt{8V_{\max}}}{v} = 13.23 \text{ GeV} \sim \frac{T_C}{7}$

thinner than the MC result

lowest- $\mathcal{E}$  wall profile



Introduce an explicit CP violation by

$$\bar{m}_3^2 \cos \theta \rightarrow \frac{1}{2} \left( \bar{m}_3^2 e^{i(\theta+\delta)} + \text{h.c.} \right)$$

Net chiral charge flux

$$F_Q^{net} = \frac{N^+ F_Q^+ - N^- F_Q^-}{N^+ + N^-}.$$

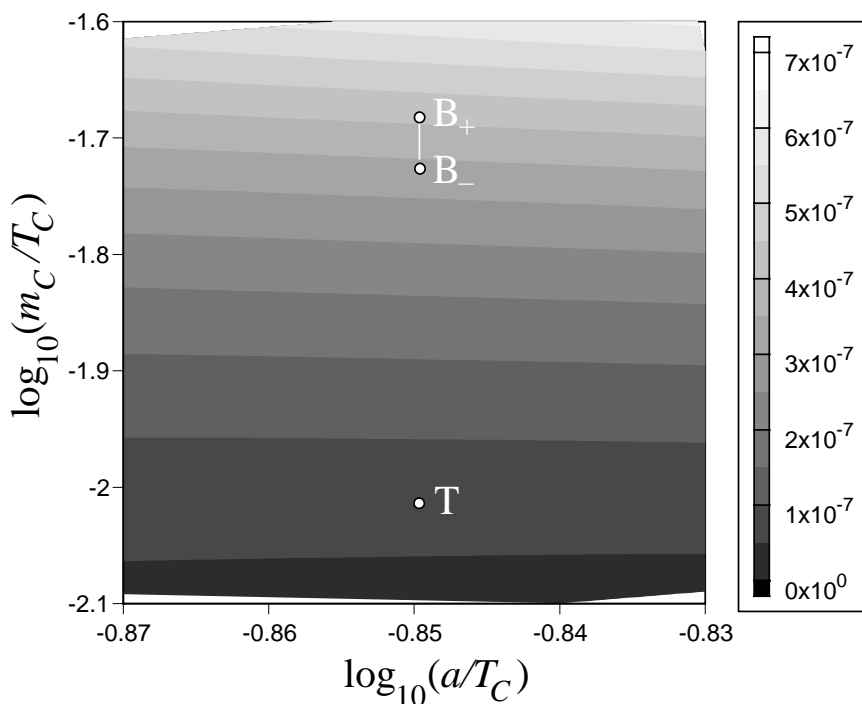
where

$$\frac{N^-}{N^+} = \begin{cases} 0.361 & \text{for } \delta = 0.001 \\ 0.601 & \text{for } \delta = 0.002 \end{cases}$$

by charge transport mechanism

$$\frac{n_B}{s} \sim 10^{-7} \times \frac{F_Q^{net}}{u} \times \frac{\tau}{T_C^2},$$

$$u = 0.1, \delta = 10^{-3} \Rightarrow \begin{cases} n_B/s < 10^{-12} & \text{for } b \text{ quark} \\ n_B/s \sim 10^{-(10-12)} & \text{for } \tau \text{ lepton} \end{cases}$$



$$\frac{F_Q^{net}}{(Q^L - Q^R) T_C^3 u}$$

$$|\theta_2| \propto \cos^2 \beta \ll 1$$

$$m_C = m \frac{v_C \cos \beta_C}{v_0 \cos \beta_0}$$

## ♠ Enhancement of an explicit $CP$ violation

$$\alpha = \text{Arg}(\mu M_2) = \text{Arg}(\mu M_1), \quad \beta = \text{Arg}(\mu A_t^*),$$

then

$$\bar{m}_3^2 = m_3^2 + \Delta_{\phi^\pm}^{(0)} m_3^2 + e^{i\alpha} \Delta_\chi^{(0)} m_3^2 + e^{i\beta} \Delta_{\tilde{t}}^{(0)} m_3^2,$$

$$\lambda_5 = \Delta_{\phi^\pm}^{(0)} \lambda_5 + e^{i2\alpha} \Delta_\chi^{(0)} \lambda_5 + e^{i2\beta} \Delta_{\tilde{t}}^{(0)} \lambda_5,$$

$$\lambda_{6,7} = \Delta_{\phi^\pm}^{(0)} \lambda_{6,7} + e^{i\alpha} \Delta_\chi^{(0)} \lambda_{6,7} + e^{i\beta} \Delta_{\tilde{t}}^{(0)} \lambda_{6,7}$$

$\Delta^{(0)} \equiv$  correction without explicit  $CP$  violation

If  $\Delta_\chi^{(0)} \gg \Delta_{\tilde{t}}^{(0)}, \Delta_{\phi^\pm}^{(0)}$ , by rephasing,  $\lambda_{5,6,7} \in \mathbf{R}$  and

$$e^{-i\alpha} \bar{m}_3^2 = e^{-i\alpha} m_3^2 + \Delta_\chi^{(0)} m_3^2 \equiv e^{-i\delta} |\bar{m}_3^2|$$

with

$$\tan \delta = -\frac{m_3^2 \sin \alpha}{m_3^2 \cos \alpha + \Delta_\chi^{(0)} m_3^2}.$$

**N.B**  $\left| m_3^2 + \Delta_\chi^{(0)} m_3^2 \right| \ll m_3^2$  for transitional  $CP$  violation

for some parameter set, we have at  $T \simeq T_C$

$$\Delta_{\tilde{t}}^{(0)} m_3^2 = 140.69, \quad \Delta_\chi^{(0)} m_3^2 = -2356.73,$$

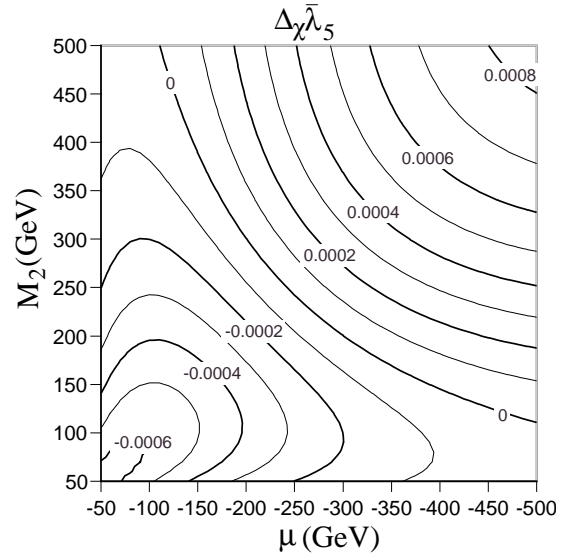
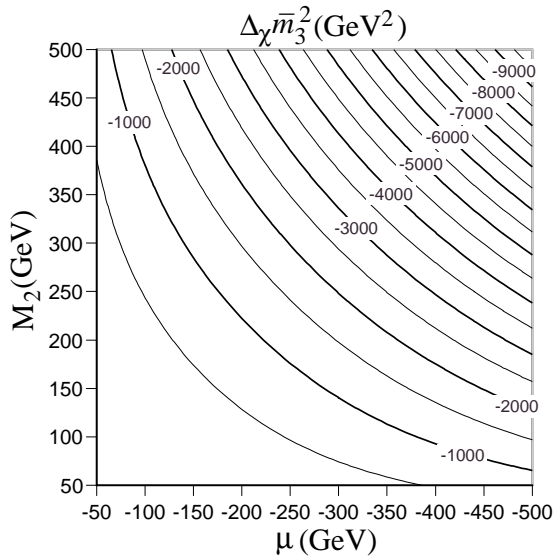
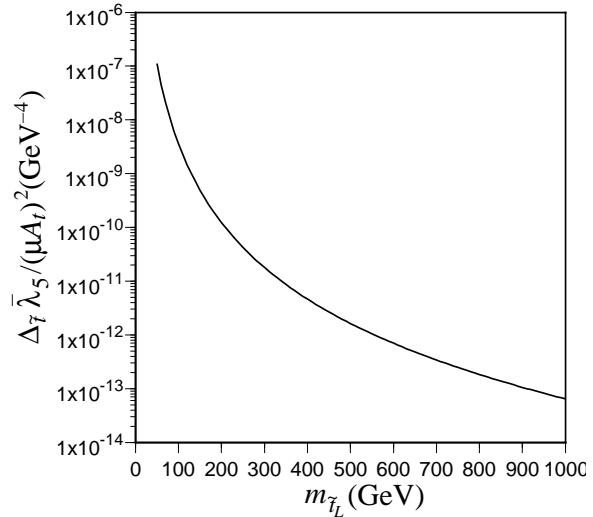
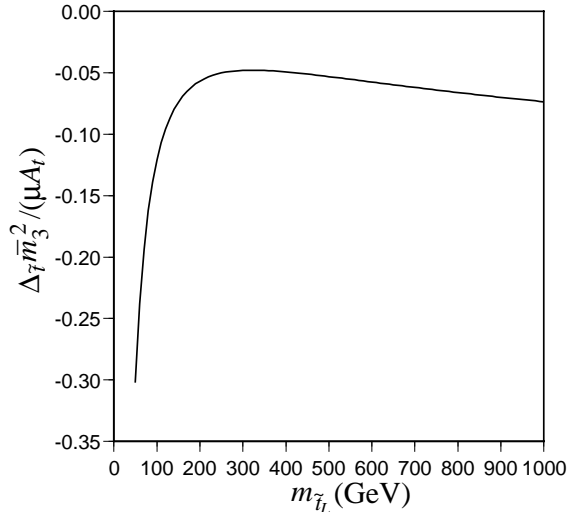
so that even for  $\alpha = 10^{-3}$ ,

$$\begin{aligned} \tan \delta &= -\frac{(m_3^2 + \Delta_{\tilde{t}}^{(0)} m_3^2) \sin \alpha}{(m_3^2 + \Delta_{\tilde{t}}^{(0)} m_3^2) \cos \alpha + \Delta_\chi^{(0)} m_3^2} \\ &\simeq \frac{10^{-3}}{6.8 \times 10^{-3}} = 0.147 \end{aligned}$$

$\implies$  only the lowest-energy bubble survives

♠ Possibility of  $F < 0$  [ $\leftrightarrow \lambda_5 < 0$ ]

for  $m_{\tilde{t}_R} = 0$ , at  $T = 95$  GeV,



★  $\lambda_5 < 0 \iff \Delta_\chi \lambda_5 < 0$   
 $\longrightarrow \Delta_\chi \bar{m}_3^2 < -1500 \text{ GeV}^2$

★  $\mu A_t$  is restricted to have  $\lambda_5 = \Delta_\chi \lambda_5 + \Delta_{\tilde{t}} \lambda_5 < 0$   
 $\longrightarrow \Delta_{\tilde{t}} \bar{m}_3^2$  is negative and bounded from below.

★ to have small  $\bar{m}_3^2$ , the tree-level  $m_3^2 \lesssim 2500 \text{ GeV}^2$   
 $\longrightarrow$  too small  $m_h$  and  $m_A$  ( $< 67.5 \text{ GeV}$ )

∴ difficult to realize transitional CP violation with  
 $F < 0$  in an acceptable MSSM

## 6. Discussions

BAU from  $B$ -symmetric universe:

1. baryon number violation
2.  $C$  and  $CP$  violation
3. departure from equilibrium

||

combination of *rare processes*

$B$ -violation :  $\left\{ \begin{array}{l} \bullet \text{ suppressed at present} \\ \bullet \text{ effective in early universe} \end{array} \right.$

U

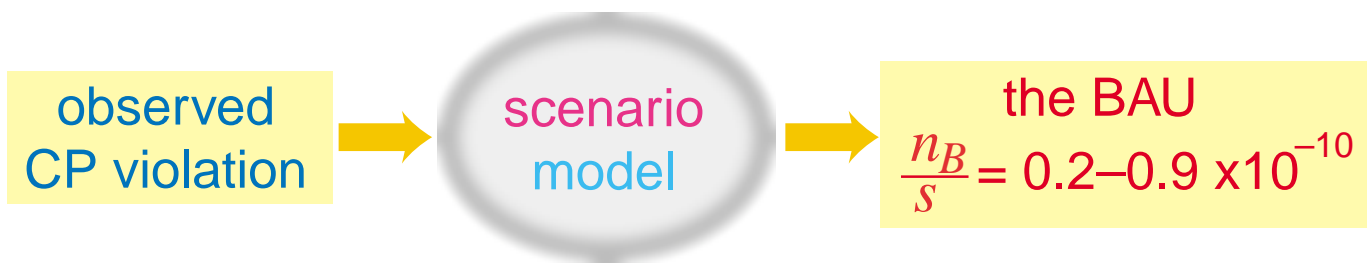
anomalous  $B + L$ -violation — sphaleron at high- $T$

$\Rightarrow \left\{ \begin{array}{l} \text{washout of } B + L \\ \text{new possibilities of } B\text{-genesis} \end{array} \right.$

★ EW baryogenesis

★  $L$ -genesis  $\longrightarrow B$

conserved charge in the sym. phase  $\rightarrow B$



scenario	scale (temperature)
GUTs	$M_{\text{GUTs}} \simeq 10^{15} \text{ GeV}$
L-genesis	$M_{\nu_R} \simeq 10^{10-12} \text{ GeV}$
Affleck-Dine	$M_{\text{SUSYbr.}} \simeq 10^{3-??} \text{ GeV}$
EW B-genesis	$M_{\text{EW}} \simeq 10^2 \text{ GeV}$

EW B-genesis by the MSM — rejected

- ✗ { strongly 1st-order EWPT (with acceptable  $m_h$ )  
sufficient  $CP$  violation

EW B-genesis by the MSSM

- ★  $m_h \leq 110 \text{ GeV}$  and  $m_{\tilde{t}_1} \leq m_t$   
 $\implies$  1st-order EWPT with  $v_C/T_C > 1$
- ★ many sources of  $CP$  violation
  - complex parameters  $\mu, M_2, M_1, A; \theta$
  - transitional  $CP$  violation

We still need to know the dynamics of EWPT.

Other extensions of the MSM

*e.g.* 2-Higgs-doublet model

many parameters  $\longrightarrow$  broad allowed region ?

## If EW baryogenesis could not work,...

▷ Leptogenesis  $\xrightarrow{\text{sphaleron}}$  BAU

$$\left\{ \begin{array}{l} L\text{-violation} \text{ — } \nu\text{-Majorana mass} \\ \text{lepton sector } CP \text{ violation} \end{array} \right.$$

heavy neutrino production

▷ Affleck-Dine mechanism —  $B$ - and/or  $L$ -genesis

- ★ potential for  $\tilde{q}, \tilde{l}$
- ★ initial condition for the coherent motion
- ★ explicit  $CP$  violation

▷ GUTs

- ★  $B - L$ -violation
- ★  $M_X > 10^{16}$  GeV for  $\tau_p > 10^{31-33}$  y



▷ Preheating or reheating after inflation